

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Monday, November 21, 2011
10:30 a.m.

State Employees' Retirement System
2101 South Veterans Parkway
Springfield, Illinois

Roll call.

1. Approval of the minutes from the October 18 meeting. (pgs.1-6)
2. Presentation of staff service awards;
 - a) 15 year award – Bruce Brown;
 - b) 20 year award – Carole Skaggs;
 - c) 25 year award – Sharon Steward;
 - d) 25 year award – Kevin Turner.
3. Report of the General Counsel
 - a. Campaign Disclosure;
Motion for reconsideration
 - 1) *SBE v. Mark J. Gernigin Campaign, 18746, 11MQ039; (pg.7)*
Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
 - 2) *SBE v. Adams County Republican Central Committee, 328, 11MA044;*
(pgs.8-11)
 - 3) *SBE v. Pike County Republican Central Committee, 591, 11JQ009; (pgs.12-15)*
 - 4) *SBE v. Democratic Party of Oak Park, 598, 11AJ021; (pgs.16-22)*
 - 5) *SBE v. Dent-IL-PAC, the Political Action Committee of the IL State Dental Society, 1043, 11AJ024; (pgs.23-33)*
 - 6) *SBE v. Kane County Republican Advisory Council, 18202, 11MA015;*
(pgs.34-37)
 - 7) *SBE v. East St. Louis Republican Central Committee, 18551, 11AJ054;*
(pgs.38-47)
 - 8) *SBE v. ILCA PAC, 19282, 11MA057; (pgs.48-54)*
 - 9) *SBE v. Republican Renaissance PAC, 21016, 11JQ245; (pgs.55-58)*
 - 10) *SBE v. Committee to Elect Nathaniel R. Howse, 23715, 11JQ202; (pgs.59-61)*
Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied
 - 11) *SBE v. Sangamon County Democratic Central Committee, 317, 11AJ016;*
(pgs.62-64)

- 12) *SBE v. Bureau County Republican Central Committee*, 331, 11MA045; (pgs.65-68)
 - 13) *SBE v. Wood River Township Democratic Precinct Committeemen's Org.*, 374, 11AJ018; (pgs.69-71)
 - 14) *SBE v. Alexander County Republican Central Committee*, 4953, 11JQ211; (pgs.72-74)
 - 15) *SBE v. Committee for Better City Government*, 14718, 11JQ070; (pgs.75-78)
 - 16) *SBE v. Friends of Ike Carothers*, 19970, 11MA017; (pgs.79-95)
 - 17) *SBE v. Committee to Elect Anita Rivkin Carothers*, 21035, 11MA020; (pgs.96-110)
 - 18) *SBE v. Zalewski for State Representative*, 21222, 11AJ064; (pgs.111-118)
 - 19) *SBE v. Preckwinkle for President*, 21644, 10AG091; (pgs.119-124)
 - 20) *SBE v. Committee to Elect Michelle Huggish Flagg*, 22202, 11JQ263; (pgs.125-127)
 - 21) *SBE v. Committee to Elect Steven G. Watkins*, 22358, 11MA069; (pgs.128-130)
 - 22) *SBE v. Friends of Sherman C. Jones*, 22764, 11AJ069; (pgs.131-135)
 - 23) *SBE v. Citizens for Julius Anderson*, 22851, 11DS357; (pgs.136-138)
 - 24) *SBE v. Rooney's Bus*, 22942, 11MA073; (pgs.139-141)
 - 25) *SBE v. Citizens to Elect Kenneth Harris*, 23286, 11JQ172; (pgs.142-144)
- Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted & denied
- 26) *SBE v. Citizens for Lyle*, 14153, 11MA028; (pgs.145-150)
 - 27) *SBE v. Citizens to Elect Valerie DeVost*, 23004, 11MA074; (pgs.151-158)
- Appeals of contribution limit fines – new appeals – hearing officer recommendation appeals be granted
- 28) *SBE v. Associated Beer Distributors of Illinois PAC*, 538, 11SQCL001; (pgs.159-166)
 - 29) *SBE v. Citizens for Kirk Dillard*, 9857, 11SQCL002; (pgs.167-170)
 - 30) *SBE v. Friends of Louise Hayes*, 23734, 11SQCL005; (pgs.171-173)
- Other campaign disclosure items
- 31) Civil Penalty Assessments/Final Orders; (pg.174)
 - 32) Contribution Limit Penalty Assessments/Final Orders; (pg.175)
 - 33) Payment of civil penalties – informational; (pgs.176-177)
- Complaint following closed hearing - proceed to public hearing (separate packet)
- 34) *SBE v. Friends of Ed Mullen*, 11CD172.

4. Report of the Executive Director

- a. Preparations for the March 20, 2012 General Primary Election;
 - 1) Candidate petition filing; (pgs.178-191)
 - 2) Anticipated amended certification; (pgs.192-193)
 - 3) Election judge training schools – informational; (pg.194)
- b. Approval of use of printers with ES&S ballot on demand feature; (pg.195)
- c. Electronic canvass update; (pg.196)
- d. Legislative update; (oral report)
- e. Campaign Finance Reform Task Force meeting; (pg.197)
- f. Metes and bounds project update; (pgs.198-199)
- g. IVRS update – informational; (pgs.200-203)
- h. Census data versus registered voters; (pg.204)
- i. Election Management System update; (pgs.205-206)
- j. Six month review of executive session minutes; (pg.207)
- k. Springfield office facility update; (pg.208)
- l. Fiscal status reports – informational;
 - 1) FY12 – month ending October 31; (pgs.209-217)
 - 2) Help Illinois Vote Fund; (pgs.218-223)

- m. **Two year plan of staff activity for the months of November & December – informational. (pgs.224-225)**
- 5. **Follow up;**
 - a. **Questions raised by Andrew Finko at the October Board meeting. (pg.226)**
- 6. **Comments from the general public. (pg.227)**
- 7. **Next Board meeting, Tuesday, December 20, 2011 in Chicago. (pg.228)**
- 8. **Executive session. (pgs.229-234)**

STATE BOARD OF ELECTIONS
MONTHLY BOARD MEETING

October 18, 2011

MINUTES

PRESENT: William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
Judith C. Rice, Member
Bryan A. Schneider, Member
Charles Scholz, Member

ALSO PRESENT: Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Darlene Gervase, Administrative Assistant III

Chairman McGuffage called the meeting to order at 9:09 a.m. and led everyone in the Pledge of Allegiance to the flag.

All members were present in the Chicago office. Chairman McGuffage held Ms. Rice's proxy until her arrival at 9:35 a.m. The Springfield office was connected via video conferencing.

Vice Chairman Smart moved and Member Coffrin seconded the motion to approve the Minutes from the September 19th meeting. The motion passed unanimously by leave for attendance roll call.

With the Chairman's approval, General Counsel Steve Sandvoss requested removal of *SBE v. Indian Trails Public Library District*, 11 MA040 from the Motion for Reconsideration category.

Mr. Sandvoss continued his report with two Motions for Reconsideration. He recommended the Motions be denied as both respondents did not avail themselves of the 30 days they had in which to appeal. No one appeared for either committee. Vice Chairman Smart moved to deny the Motion to Reconsider on Items 2(a)(1) *SBE v. The Friends of Andre J. Smith, Sr.*, 11MA030 and 2(a)(3) *SBE v. Citizens for Robin Lisboa*, 11MA042. Member Gowen seconded the motion which passed unanimously by roll call vote.

Mr. Robert Wegner, Chairman, was present for the respondent in *SBE v. Naperville Township Republican Org.*, 4987, 11AM073. This matter was carried over from the August meeting wherein the hearing officer recommended the appeal be denied. Mr. Wegner addressed the Board and indicated the A-1 was an in-kind contribution for rent for the committee's headquarters and the date reported was their choice, which could have been any day of the month. He added that since this committee has had no previous infractions and since the hearing officer found no willfulness the penalty should be stayed. Mr. Sandvoss stated that he concurred with the recommendation that the appeal be denied. Vice Chairman Smart moved to adopt the hearing officer's and general counsel's recommendations and deny the appeal. Member Coffrin seconded the motion which passed unanimously by roll call vote.

Mr. Sandvoss indicated that Mr. Steven Gold, Treasurer, of ActBlue Illinois would like to address the Board, but he is flying in from Boston and his plane is expected to land at 9:20 a.m. It was agreed that the matter would be continued until Mr. Gold arrived.

New appeals of campaign disclosure fines wherein the hearing officer recommended granting the appeals were presented. Ms. Katelyn Hanley Semelbauer, treasurer of Welcome Wal-Mart, was present to answer any questions. Member Coffrin moved to adopt the hearing officer and general counsel's recommendations and grant the appeals. Member Scholz seconded the motion which passed unanimously by roll call vote. Appeals were granted to: *SBE v. Friends of Berrios*, 4758, 11DS335; *SBE v. PAC of the Structural Engineers Assn. of IL (SEA PAC)*, 4943, 11JQ021; *SBE v. Citizens for Suarez*, 6555, 11DS336; *SBE v. Friends of Deborah Seyller*, 11740, 11JQ055; *SBE v. Friends of Michael Howley*, 14040, 11JQ065; *SBE v. Brandon Phelps for State Representative*, 16309, 11AJ007; *SBE v. Friends of George A. Cardenas*, 17290, 11AM090; *SBE v. St. Charles Education Assn. - IPACE*, 17812, 11AM092; *SBE v. Supporters of Jack D. Franks*, 19464, 11MA041; *SBE v. Citizens for Potkonjak for Circuit Judge*, 19534, 11JQ237; *SBE v. Welcome Wal-Mart*, 22729, 11MA050; *SBE v. PAC 34, of the IBEW Local 34*, 22824, 11AJ070; *SBE v. Fiscal Responsibility for New Trier PAC*, 23196, 11AJ073; *SBE v. Friends of Jay Farquhar*, 23421, 11JQ273; *SBE v. Friends of Ramona Thomas*, 23610, 11MQ099; and *SBE v. Citizens to Elect Judge Lorna Propes*, 23627, 11AJ080.

New Appeals of Campaign Disclosure fines wherein the hearing officers recommended the appeals be denied were considered. General Counsel Sandvoss recommended denying the appeals as a whole as to Items 22 through 27, 28, 31 through 38, 40 through 43, 45-49; but he disagreed with the hearing officer and recommended granting the appeal in Item 2.a.28. Vice Chairman Smart moved to adopt the General Counsel's recommendations in the aforementioned appeals. Member Scholz seconded the motion which passed 8-0 by roll call vote. Appeals were denied in *SBE v. Friends of John Phelan*, 23434, 11JQ274; *SBE v. Citizens for Joseph E. Watrach*, 21778, 11JQ253; *SBE v. Citizens to Elect Robert Eastern III*, 20519, 11JQ243; *SBE v. Citizens for Andy Lam*, 22872, 11MQ079; *SBE v. Friends of Mayor Kerry Durkin*, 22849, 11AJ071; *SBE v. Friends of Jim Moody*, 22175, 11AJ066; *SBE v. Mary Beth Welch Collins Campaign Fund*, 20735, 11MA063; *SBE v. Friends of Carol Johnson*, 23347, 11DS361; *SBE v. Committee to Elect Brad Shields*, 20408, 11JQ242; *SBE v. Citizens to Elect Richard Wooten*, 23114, 11MA078; *SBE v. Citizens for Dennis M. Fleming*, 18007, 11JQ227; *SBE v. Egan Forward 43*, 22805, 11AM123; *SBE v. Citizens for Jim Langfelder*, 12048, 11AJ038; *SBE v. Friends of Artis Yancey*, 23706, 11JQ201; *SBE v. Jessica O'Brien for Judge Committee*, 22487, 11JQ146; *SBE v. Friends of John Pope*, 14501, 11AM026; *SBE v. Citizens for Snyder*, 21489, 11JQ136; *SBE v. Basic Crafts Council of Mid-Central Illinois*, 18976, 11AJ056; *SBE v. Coles County Democrat Boosters*, 5233, 11JQ024; *SBE v. Independent Accountants PAC*, 1032, 11JQ014; *SBE v. Citizens for Steve Kim*, 13184, 11AG089; and granted as to *SBE v. Citizens to Re-Elect Kathy Svoboda*, 21438, 11JQ247.

Ms. Elaine Shaw, Treasurer, appeared for the respondent in *SBE v. Patricia Horton for City Clerk*, 23214, 11AM137. She indicated that she was not aware of the in-kind contribution as it was a very busy time and no one brought that information to her attention. Vice Chairman Smart stated that the new laws had to be followed and the Board couldn't be as lenient as the Treasurer would like. He therefore moved to deny the appeal and Member Gowen seconded the motion which passed unanimously by roll call vote.

Ms. Laverne Backstrom was present in Springfield and represented the next item, *SBE v. Citizens for Officer*, 17239, 11JQ224. She indicated she was recently elected treasurer and it was only the second report she filed for the committee. The Chairman replied that the mistake was not willful, but the law was enacted in the spring of 2010 and several seminars and notifications were available to committee officers to inform them of their responsibilities. Vice Chairman Smart moved and Member Gowen seconded a motion to deny the appeal. The motion passed 8-0 by roll call vote.

Ms. Carol Johnson appeared on item 2.a.32. Chairman McGuffage moved to reconsider the vote and Member Scholz seconded the motion which passed unanimously by leave for attendance roll call with no objections. Hearing none the motion was reconsidered. Ms. Johnson testified to her inexperience with the reports and that she received no help from her treasurer. She further stated that she owed \$77.48 and a final report was filed. Vice Chairman Smart moved to deny the appeal for the same reasons as stated in previous matters as the law does not allow exceptions. Member Coffrin seconded the motion which passed unanimously by roll call vote.

Mr. Sandvoss asked for the Board's reconsideration on number 36, *SBE v. Egan Forward 43*, 22805, 11AM123 as he recommends that appeal be granted. Member Scholz so moved to vacate the original order and adopt the recommendation of the General Counsel and grant the appeal. Member Gowen seconded the motion which passed 8-0 by roll call vote.

The Chairman recognized Mr. Peter Scordato in the matter of *SBE v. Citizens for Scordato*, 21595, 11DS299. He spoke to the problems he had with the IDIS program and indicated that he closed his bank account in November of 2010 and is considering running again in two years. Mr. Sandvoss indicated that any penalty assessed would technically be there for two years unless the committee reactivates. If they did not reactivate the penalty would abate in two years. Mr. Scordato offered a settlement of 50% or \$1550. Vice Chairman Smart moved to accept the settlement offer of \$1550 and if the committee does not reactivate within two years from the date of the order the penalty will be abated. Member Rice seconded the motion which passed unanimously by roll call vote.

Attorney John Fogarty was present for the respondent in *SBE v. Citizens for Jeffrey L. Junkas*, 22127, 10AG081. He indicated that the A-1 was a wire transfer and a Pay Pal contribution that did not clear the bank until the day before the election. Mr. Fogarty offered a settlement of 50% or \$1125, payable within 30 days. Vice Chairman Smart moved to accept the offer and Member Coffrin seconded the motion. The motion passed unanimously by roll call vote.

The Chairman called the three other cases Mr. Fogarty was representing, *SBE v. Joe Messer for Barrington Hills Village Trustee*, 23598, 11MA 039; *SBE v. Karen Selman for Barrington Hills Village Trustee*, 23547 11MA037; and *SBE v. Patty Meroni for Barrington Hills Village Trustee*, 23548, 11MA038. He said there was an allegation made against a political action committee that was formed to support all three candidates and confusion with the filings with regard to this committee. The candidates formed their own individual committee to reflect the donations. These committees have closed and filed final reports. Vice Chairman Smart moved to accept the hearing officer and general counsel's recommendations to deny the appeal and noted that the fine will be abated if the committees do not reopen within two years. Member Scholz seconded the motion which passed 8-0 by roll call vote.

The next matter, *SBE v. United Party of Elmwood Park*, 23129, 11AJ013, was represented by Mr. Fogarty who made a settlement offer of 50% or \$600 payable within 30 days. Member Schneider so moved and Member Byers seconded the motion which passed unanimously.

New Appeals wherein the hearing officer recommended appeals be granted and denied were considered. The chairman called *SBE v. Save 5 Acres for Barrington Hills Trustees*, 23119, 11MA036. Attorney John Fogarty appeared for the respondent and indicated that the committee reported one contribution using the date of the check rather than the date of deposit and the other was 1 day late. Vice Chairman Smart moved to accept the hearing officer and General Counsel's recommendations. Member Coffrin seconded the motion which passed unanimously by roll call vote.

The Chairman noted the arrival of Mr. Steven Gold, Treasurer of ActBlue Illinois, who wished to offer a statement regarding *SBE v. ActBlue Illinois* 11 AM 045. He explained that ActBlue accepts contributions from individuals who select candidates or committees they want to support and in turn, ActBlue makes their contribution which it collects from individuals. Committees who receive Contributions through ActBlue report the receipt of those contributions from the person who made the donation in the first place. General Counsel Sandvoss remarked that disclosure has been achieved and did not see any reason in assessing a fine and recommended the Board waive the fine entirely. Discussion was had among the board regarding the role of ActBlue. Vice Chairman Smart moved to accept the recommendation of the General Counsel to deny the appeal but waive the fine. Member Scholz seconded the motion which passed unanimously by roll call vote.

New appeals of campaign disclosure fines wherein the hearing officer recommended the appeals be granted and denied were considered. Member Byers moved to approve Item 54, *SBE v. Mason County Democratic Central Committee*, 309, 11MA060; and Item 57, *SBE v. Naperville Unit PAC for Education District 203 (NUPACE 203)9774*, 11AM073. Vice Chairman Smart seconded the motion which passed 8-0 by roll call vote.

The Board acknowledged the representation of Richard Means for the respondent in *SBE v. Personal PAC Inc.*, 497, 10AG063 who agreed with the hearing officer and General Counsel's recommendations. Vice Chairman Smart moved to adopt the hearing officer's, General Counsel's, and Mr. Means' recommendations. Member Scholz seconded the motion which passed unanimously by roll call vote.

Chairman McGuffage called *SBE v. Boone County Republican Club*, 5001, 11MA049 and acknowledged the appearance of Mr. Pat Mattison, treasurer of the committee. Mr. Mattison asked for clarification of the ruling and was advised that as this was a first violation the fine is stayed, but if there is another violation that fine would be due and owing. Mr. Mattison agreed with the recommendations. Vice Chairman Smart moved to adopt the hearing officer's, General Counsel's recommendations and noted Mr. Mattison's agreement with same, and Member Coffrin seconded the motion. The motion passed 8-0 by roll call vote.

The Board acknowledged the representation of Michael Kasper for the respondent in *SBE v. Neighbors for Harry Osterman*, 22976, 11MA025. Mr. Kasper concurred with the recommendations offered. Vice Chairman Smart moved to adopt the recommendations of the hearing officer, General Counsel with the concurrence of Mr. Kasper. Member Scholz seconded the motion which passed unanimously. There was a misunderstanding by Mr. Kasper as to the final disposition, as he had received the General Counsel's recommendation that erroneously contained an additional paragraph that stated that the appeal was granted in its entirety. Mr. Sandvoss confirmed his recommendation as to the first allegation that he recommended be granted; and the seventh and eighth allegations that should be denied but with no penalty assessment, considering the nature of the defense. After the confusion was resolved, Mr. Kasper offered to settle the assessments from the remaining allegations for 50%, which amounted to a \$325 settlement offer, payable within 30 days. The Board accepted this settlement.

Continuing with Item 60 of the General Counsel's report, Mr. Sandvoss noted that the committees on the list were to be issued final orders assessing penalties for various violations, since such committees did not appeal. He further noted the following committees should be removed from the list: on Page 341, under June 2011 quarterly report, Committee Number 128, Massac County Republican Women's Club and 287, Knox County Democratic Central Committee; on Page 342, Number 9379, Citizens for Sig; on Page 344, Number 19460, the Polish American Congress PAC; on Page 345, 21848, Ryan Higgins and 21893, Ten McKenna; on Page 346, 23136, Bryan Lynch. All have paid their fines and should be excluded from the list. Vice Chairman Smart moved to issue final orders on all committees that have fines due and owing on Pages 340-348, excluding the aforementioned committees. Member Scholz seconded the motion which passed 8-0 by roll call vote.

Mr. Sandvoss continued with an informational list of candidate committees that owe fines and face Potential Ballot Forfeiture for 2012. He noted that Citizens for Sig; Bryan P. Lynch; and Kimberly A. Lightford's names should not be on this list. No action was required.

Complaints following closed preliminary hearing wherein the hearing officer recommended the matter proceed to public hearing were considered and parties present were asked if they wanted to continue in open or executive session.

All parties involved in *Sherman v. Indian Trails Public Library District, Friends of Indian Trails Library District and Citizens for Indiana Trails Library to Support the April 5th Referendum*, 11CD023 agreed to continue in open session. Richard Means, attorney for the Complainant agreed with the recommendation of the hearing officer and General Counsel. Ken Florey on behalf of the Indian Trails Public Library District and Roger Ritzman for the Friends of the Library District and Citizens for the Library District spoke as to the recommendation. Mr. Florey indicated that they have stipulated to every fact in the complaints, and the only disagreement is regarding the questions of law. Because of their stipulations, the Board need only make a decision on whether there was a violation and if so, enter the fine and they would continue from there. Mr. Florey expressed his concern regarding the use of public money to defend the complaint so far, and argued that going to public hearing was not necessary and would only give Mr. Sherman an opportunity to grand stand. Mr. Sandvoss clarified that the recommendation found justifiable grounds and it should proceed to public hearing as there is no distinction in the statute as to questions of law or questions of fact or both. After lengthy discussion, including a discussion of the appropriateness of hearing a violation of 5/9-25.1 (a criminal provision) Member Byers moved to go to a public hearing on Allegations 2, 3 and 8. Member Rice seconded the motion which passed 7-1 with Vice Chairman Smart voting in the negative. Mr. Schneider added "with the understanding Mr. Sandvoss and his team are empowered to appropriately control the proceeding, I vote yes." Chairman McGuffage added that he would like to see a list of witnesses and what they're going to testify to. Member Schneider moved to consolidate the Indian Trails Library District cases. Member Gowen seconded the motion which passed 8-0 by roll call vote. Member Byers moved to accept the recommendation of the hearing officer on everything but 2, 3 and 8 as modified by the General Counsel. Member Gowen seconded the motion which passed unanimously by roll call vote.

Mr. Juan Thomas, candidate and respondent in *SBE v. Thomas for Illinois*, 11CD079 also requested continuing in open session. He apologized for not closing this committee before he moved out of state. Upon moving back to Illinois and reopening this committee, he discovered that he missed several different reporting periods. Mr. Sandvoss said the first step would be to adopt the recommendation, issue the penalty and Mr. Thomas would then have an opportunity to appeal the penalty before a hearing officer. The leniency he is requesting would be presented first to the hearing officer and next to the Board. Vice Chairman Smart moved to accept the recommendation of the hearing officer and general counsel. Member Coffrin seconded the motion which passed unanimously by roll call vote.

Vice Chairman Smart moved to recess to Executive Session to hear complaints following closed preliminary hearings, personnel and pending litigation. Member Schneider seconded the motion which passed 8-0 by roll call vote. The Board recessed into Executive Session at 11:45 a.m.

The Board reconvened at 12:15 p.m. Roll call was taken to ensure a quorum, resulting in all 8 members present.

Member Schneider moved with respect to Items 63 through 83 and with the exception of 76 were ordered to proceed to public hearing and Member Gowen seconded the motion. In addition, Member Schneider moved with respect to 86 through 94, that the Board found no public hearing is necessary and he asked that the record reflect their action. Member Scholz seconded the motion. The motion passed unanimously by roll call vote.

Member Schneider announced that Ms. Jane Gasperin will be appointed Acting Director of Election Information Division for a period of six months beginning November 1st. Further, Pat Freeman will be contracted with to assist Ms. Gasperin for the next six months. Member Coffrin seconded the motion which passed unanimously by roll call vote.

The Executive Director's Report began with preparations for the March 2012 primary. Executive Director, Rupert Borgsmiller, indicated that the appointment of Jane Gasperin is definitely kicking off these preparations. He added that all petitions will be scanned from the original document. Copies would cost 25 cents per page, and a CD would be \$5. The E.D. stated that the SBE encourages people to purchase the CD as they can take it to a commercial center such as Federal Express or Kinko's and have hard copies made for 8 cents, rather than the 25 cents that the SBE charges. Also, it would be much faster as the SBE is limited as to its use of the printers and copiers. Deputy General Counsel Ken Menzel was then asked to comment, and he indicated that production of paper copies is very time consuming and expensive for the agency and noted that it does not keep the money received for petition copying as it goes directly to the General Treasury. He stated that CDs are very inexpensive and they can be burned in a fraction of the time. Furthermore, once a petition is in the 30-page range, it is cheaper to buy the CD and get it commercially printed than to pay 25 cents a page. Vice Chairman Smart moved to adopt the page fee schedule and Member Rice seconded the motion. Mr. Menzel was questioned about the costs associated with a FOIA request. He responded that the cost is less than what the SBE charges, as the first 50 pages are free and it's 15 cents per page after that, but a FOIA request allows a 5 day response time. With everyone in agreement, the motion passed by leave for the attendance roll call.

Mr. Menzel was asked to report on his memo regarding the status of the Green Party. Mr. Andrew Finko was present for the Green Party. The Green Party received more than 5 per cent of the votes statewide in the prior gubernatorial election which established the Green Party as an established party at every level. However, in the immediately preceding gubernatorial election, the Green Party did not get 5 percent and ceased that distinction at each level of the state. The Green Party asks the Board to recognize the Green Party as established in those territories where at some lower level a Green Party candidate did receive 5 percent of the vote and that recognition would be in the Candidate's Guide and other publications. Mr. Finko referred to certain Court cases for authority. Mr. Finko stated that in the *Preuter* decision, the State Officers Electoral Board struck the candidate's name from the ballot and the trial court reversed that decision. *Preuter* affirmed the trial court stating the Libertarian Party in that situation did have ballot access in the redistricted jurisdiction. While acknowledging that *Vestrup* ruled against the new party in its challenge to being stricken, he asked the Board to take a pro-ballot access position and adopt the stated policy of the Election Code to promote greater ballot access. Mr. Sandvoss concurred fully with the position articulated by Mr. Menzel, his memo and comments. The Board decided to do nothing that this time.

Director Borgsmiller submitted a list of election judges' schools which are provided as a service to the election authorities. The list will be much longer as the March primary date approaches.

The Chairman left at 12:30 p.m. and Vice Chairman Smart took control of the remainder of the meeting. Member Rice held the Chairman's proxy.

Director of Campaign Disclosure Sharon Steward presented a Memo on the need for external audits for political committees, and noted that it is a new process that must be undertaken per an amendment to the disclosure law of last year. Ms. Steward, recommended randomly selecting .5 percent of all active political committees before the first business day in February, 2012 via a program created by the Board's IT department. Member Coffrin moved to approve this which was seconded by Member Rice. The Motion passed unanimously by roll call vote.

Dianne Felts, Director of Voting Systems and Standards, requested a firmware modification to the Dominion Edge2 Plus to 1.2.74 which is used by Chicago and Cook County. Chicago requested the very minimal upgrade which allows the system to run more efficiently. Mr. Edwin Smith of Dominion was present, and echoed Dianne's comments. Ms. Felts ran tests on over 3,000 predetermined manually inserted ballots and recommended approval of the modification. Member Schneider moved to grant interim approval as recommended. Member Coffrin seconded the motion which passed unanimously by roll call vote.

Cris Cray, Director of Legislative Affairs reported that the Senate Executive Committee was scheduled to meet this morning, but was cancelled. The Committee has two pieces of legislation that deal with elections; one dealing with audits done election night and the other one dealing with combining the Peoria City Board of Elections and the Peoria County Board of Elections into one Board. Both will be considered at the next committee hearing. The Board is on the list to receive a supplemental appropriation, but no final draft is available yet. Ms. Cray next reported that the Campaign Finance Reform Task Force voted on two pieces of legislation to be presented to the General Assembly at their spring session. The Task Force will meet again on November 17 and December 15 and will vote on a proposal to establish a system of public financing of campaigns. Their report is due by December 31.

Kyle Thomas gave an update on the number of duplicate voter registrations and noted the jurisdictions' focus on redistricting. He reported that E. St. Louis has dropped below 100 percent in term of its registered voters versus its voting age population, and he expects more to drop as the jurisdictions purge their files. He concluded by reporting on the joint bid for a statewide election management system.

The Electronic Canvass will have at least one jurisdiction reporting electronically. Brent Davis continues to work with Dominion Voting Systems in Chicago and Cook and the SBE should soon have a final data sample. Mr. Davis added that progress continues with the development, both internally with our IT department and with Catalyst. Catalyst is working on integration into the IVRS portal. Work on ES&S continues, but we do not have a final data sample with them.

Mike Roate, Director of Administrative Services spoke to the contract and lease for the new building. He indicated that the lessor has made a few changes and CMS is evaluating it now. Their legal department will review it and we should see the changes within a few days. Things are moving well, but the facility does need modifications. The Illinois Correctional Industries installed some of the cubicles units in the current office and is available to help move those units for an extremely reduced price. Also the shredding of old documents and archiving of old files will ensure a streamline move at the end of January. Acting Chairman Smart asked if the Board could get a tour of the new site in November and Mr. Roate said he will arrange it.

Mr. Borgsmiller encouraged everyone to either get a retention order in place or shred unnecessary document so they do not have to be moved.

The Director reported on the Fiscal Reports and indicated we are right on target and constantly evaluate the budgets themselves. The two-year plan is in the packet and there are no follow-up items.

Acting Chairman Smart introduced Doreen Nelson, who is retiring from DuPage County. She introduced Joe Sobecki who will become the Assistant Executive Director. Mr. Smart voiced his appreciation for her many years of help.

Comment from members of the public was next and attorney Andrew Finko requested a chance to speak and began by stating that he was present as a voter of the state and not as an affiliate with the Green Party. He addressed the integrity of the voter database and integrity of the voting machines specifically the database used by Chicago for the objection review process. He stated that he has documentation that the official access system and the Voter View System had a discrepancy of over 800 voters. The second problem was the comingling of voters' addresses in Chicago and suburban Cook County. He claimed that one database is used for official voter registration and the second is used for the objection review process. He asked the Board to address these issues and ensure this software is consistent with the official voter database for the review process. He added another concern that the City of Chicago is not keeping track of the numbered security tags on their machines. He asked the Board to issue a directive to all election boards to keep track of its security tags, where they go, when they're put on, etc. Acting Chairman Smart asked who would be our liaison to the Chicago Board and Mr. Borgsmiller indicated Kyle Thomas, as it involves the voter registration data base. Mr. Thomas asked to have the documentation showing the discrepancies forwarded to him. Mr. Finko agreed to scan and email those documents to him. Member Schneider suggested this matter to be added to next month's agenda as a "follow-up" item.

Mr. Borgsmiller indicated that the November board meeting will be held at the State Employees Retirement System office on Veterans Parkway and Greenbriar. Mr. Sandvoss has offered to meet with interested Board members at 10:00 a.m., to discuss the objection and petition filing period that will be discussed at the December 20th meeting. The presentation will take 20-25 minutes and the regular Board meeting will start at 10:30 a.m.

Mr. Borgsmiller requested a 5 minute recess to return to Executive Session. Acting Chairman Smart commended the staff for the orderly way the agenda was put together as the volume of the packet was massive. Acting Chairman Smart moved to go into executive session and 8 voices in unison agreed. The Board recessed at 1:11 p.m. and returned to open session at 1:34 p.m.

Acting Chairman Smart asked for a motion to adjourn until November 21st in Springfield or the call of the Chairman. Mr. Scholz so moved and Member Gowen seconded the motion which passed by 8 voices in unison.

The meeting of the State board of Elections adjourned at 1:35 p.m.

DATED: October 26, 2011

Respectfully submitted,



Rupert Borgsmiller, Executive Director



Darlene Gervase, Administrative Assistant III

18746
11MQ039

FILED
11 OCT 27 AM 9:08

October 26, 2011

Reference: Mark J. Gernigin Campaign

To: General Counsel
Steve Sandvers

Request a motion to reconsider ruling in the matter of final order ID # 18746 with a hearing date of October 18, 2011.

Based on the fact I do not fundraise between elections, the fact my campaign account has been reduced to \$0 from Bank Fees charged since the account is no longer available as free, I contacted your office at 217.782.4141 I spoke to Tom Newman. He explained that I could finalize my campaign committee. With his help I decided to finalize my committee, on March 31, 2011 I faxed My Final Report.

On July 28, 2011 I opened correspondence stating my report was not filed. I called the office at 217.782.4141 and spoke to Tom Newman. He instructed me to immediately fax another report, and wait to see if it would be accepted, I did so. A letter dated August 2, accepted the report.

A letter dated August 1, 2011 informed me of a hearing for failure to file. The hearing was scheduled September 6.

I received a letter dated August 30, 2011 with assessed penalties for late filing.

I decided to contact the office again on September 6, the date of the scheduled hearing and spoke to Sharon Steward. She confirmed that my report had been accepted and did not need to attend the hearing. I believed at this point this matter was settled. My final report dated March 31, 2011 was accepted by your office.

Received another letter dated October 20, 2011 stating a final assessment of penalty.

With so many letters crossing in the mail, I hope you can understand why I was confused. Once again, I believed my obligation was met. I have been very confused with this process. I would appreciate a chance to plea my case to the board. Your consideration in this matter would be greatly appreciated.

Sincerely,



Mark J. Gernigin

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

11 MA 044

Adams County Republican Central Committee
Respondent

ID# 328

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a \$1,000 contribution on 7/8/11 and reported it on a Schedule A-1 received by the Board on 7/20/11, 3 days late, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$125 civil penalty (not appealed, stayed) for delinquently filing the December 2009 Semi-Annual Report. The total assessment is \$625.

James Bland, the Treasurer of the Committee, filed a Request for Hearing, and submitted an Appeal Affidavit.

At the hearing held on 10/24/11, Mr. Bland stated that the Committee received a \$1,000 check on July 8, and as per his usual practice, he entered the contribution into the IDIS electronic filing software as soon as the check was deposited. Mr. Bland said he then uploaded the A-1, and thought the filing had been successful, but discovered it had not been sent the next time he opened the program, at which time he re-filed the Report. Mr. Bland also indicated that he has encountered some problems and glitches in getting the IDIS software installed and operating properly on his computer, which uses a Linux operating system. He added that he intends to double-check future filings to make sure they are in fact uploaded successfully.

A review of internal records shows Mr. Bland has encountered problems with the IDIS software, primarily as a result of the Linux operating system used by his computer. As a result, in order to be consistent with previous Board rulings where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$125 civil penalty. (As of 9/30/11, this Committee reported a funds available balance of \$7,943.14.)



Tom Newman – Hearing Officer
October 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Adams County Republican Central Cmte
Randy Frese
1824 E 1635th St
Paloma, IL 62359

ID# 328

Dear Adams County Republican Central Cmte:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the second quarter of 2011:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Luke Neuser	4/6/2011	\$1000	*	58	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter of 2011:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Sharkey Transportation	7/8/2011	\$1000	7/20/2011	3	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

50 Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to ~~\$250~~, (50% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.*

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimus at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of Adams)

STATE BOARD OF ELECTIONS

11 SEP -9 AM 10:24

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Adams County Republican Central Committee)
Respondent(s).)

Case No. 11 MA 044

APPEAL AFFIDAVIT

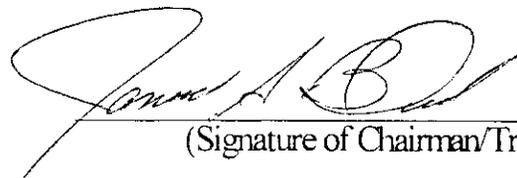
I, James S. Bland, the Treasurer of the
(Name) (Chairman/Treasurer)
Adams County Republican Central Committee
(Name of the Committee)

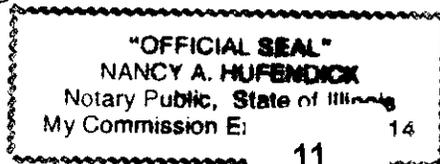
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

That on 8 July 2011, I did go and deposit into the bank a check for \$1000.00 from Sharkey Transportation (along with other smaller checks). I then came home and entered said amounts into the IDISv2 software on my computer. I then transmitted the A-1 form it generated to the State Bd of Elections. I closed up the application and did not reopen it until 18 July, when I did the IDIS software stated the A-1 report was due. I then sent the form again. I enter information into the IDISv2 software right after any deposits or expenditures. The software had a glitch and must not have sent it when I first submitted the form.

Signed and Sworn to by:

before me this 7th Day of
September, 2011
Nancy A. Hufendick
Notary Public


(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 JQ 009

Pike County Republican Central Committee ID# 591
Respondent

REPORT OF HEARING OFFICER

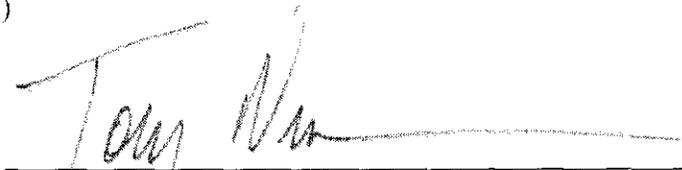
Appeal of Civil Penalty Assessment for Delinquently Filing the June 2011 Quarterly Report

The Report was received by the Board on August 4, 2011, 14 days late, resulting in a civil penalty assessment of \$700.

Gregg Carnes, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Carnes states that he failed to file the Report on time because he had other matters on his mind during the filing period, including attempting to arrange for hospice care for his father, who was dying of cancer. Mr. Carnes adds that he was also trying to run the three segments of his family's business by himself, and says he was working under too much pressure.

Given the nature of the circumstances facing Mr. Carnes at the time, especially his father's illness and subsequent death, it is understandable that he missed the filing deadline. I recommend the appeal be granted. (As of 9/30/11, this Committee reported a funds available balance of \$8,482.49.)



Tom Newman – Hearing Officer
November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Pike County Republican Central Cmte
Greg Carnes
26740 US Hwy 54
Pittsfield,, IL 62363-2224

ID# 591

Dear Pike County Republican Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on August 4, 2011, 14 days late. As such, this committee has been assessed a fine of \$700.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of Pike)

STATE BOARD OF ELECTIONS
11 SEP 28 PM 3:27

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs. Pike County Republican Central Comtee.)
Pike County Republican Central Comtee)
Respondent(s).)

Case No. 11J0009

APPEAL AFFIDAVIT

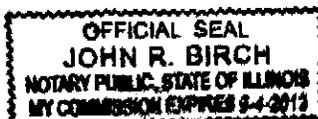
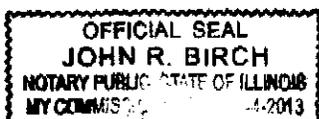
I, Gregg Carves, the Treasurer of the
(Name) (Chairman/Treasurer)
Pike County Republican Central Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I personally failed to file the report on time because I simply had my mind on too many matters during the filing time. My Father was in the process of dying from prostate cancer that had gotten into his bones. During the filing period, we were trying to get Hospice to come help my mother, as my Father wanted to stay home and did not want to be in a nursing home. I was trying to run the three segments of our family business by myself and was working under too much pressure. My Father passed away on August 30, 2011. I hope and pray that you understand.

Signed and Sworn to by:
Gregg Carves
before me this 28 Day of
Sept, 2011
John R. Birch
Notary Public

Gregg Carves, Treasurer
(Signature of Chairman/Treasurer)





I'M FREE

Don't grieve for me, for now I'm free
I'm following the path God has chosen for me.
I took His hand when I heard him call;
I turned my back and left it all.

I could not stay another day,
To laugh, to love, to work or play.
Tasks left undone must stay that way;
I've now found peace at the end of day.

If my parting has left a void,
Then fill it with remembered joys.
A friendship shared, a laugh, a kiss;
Oh yes, these things, I too will miss.
Be not burdened with times of sorrow
Look for the sunshine of tomorrow.

My life's been full, I savored much;
Good friends, good times, a loved ones touch.
Perhaps my time seems all to brief;
Don't lengthen your pain with undue grief.
Lift up your heart and peace to thee,
God wanted me now-He set me free

—Author Unknown



IN MEMORY

Donald M. Carnes

September 4, 1920 - August 30, 2011



SERVICES

11:00 A.M., Saturday, September 3, 2011
United Methodist Church, Pittsfield, Illinois

OFFICIATING

Rev. Tom Wilbur and Rev. Scott Carnes

MUSIC

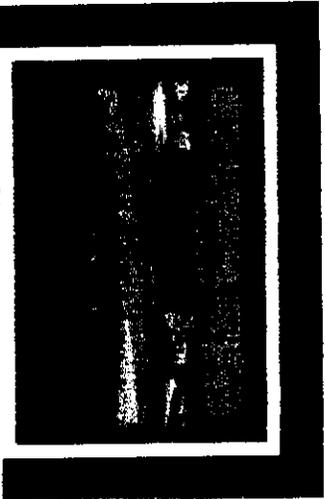
"In the Garden" Soloist: Hank Stollard
Congregational Songs
"Hymn of Promise" and "How Great Thou Art"
Organist: Charlotte Dunham

HONORARY CASKETBEARERS

Carrie Carnes	Rev. Carolyn Carnes	Ryan Carnes
Leecia Carnes	Ron Hoskin	Marilyn Losch
H.A. Walmsley	Gib Walmsley	Chris English
	Delbert Carnes	

MEMORIALS

Blessing Hospice of Pike County
Pike County 4-H Federation
Pittsfield United Methodist Church Sunday School Program



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 AJ 021

Democratic Party of Oak Park
Respondent

Cmte ID: 598

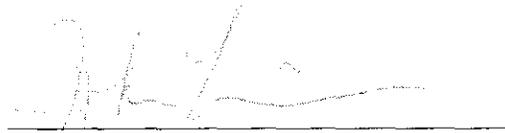
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing Schedule A-1 Reports

The Reports were received July 8, 2011, with respect to two donations of \$1000 each from Morris Esformes and Barton Management. Each donation was originally reported as received by the Committee on June 29, 2011. The total fine amount is \$1000. This is the Committee's first assessment.

Joseph Seliga, the Treasurer of the Committee, filed an Appeal Affidavit and Waiver of Appearance in this matter.

On the Affidavit, Mr. Seliga stated the Committee erred in initially reporting the dates of the contributions in question. He stated and provided extensive documentation to indicate the receipts should have been dated June 30, 2011, which would make the July 8, 2011, Schedule A-1 filings within five business days and, therefore, timely.

I recommend the appeal be granted. The representation of Mr. Seliga gives a thorough and detailed explanation of the situation and contact the Committee had with Board staff in an effort to address the matter. At the instruction of Board staff, the Committee has filed an amended Quarterly Report for the second quarter of 2011, listing the dates of receipt of the donations as June 30, 2011. As of September 30, 2011, the Committee's reported cash balance was \$20,753.26.



John Levin – Hearing Officer
October 25, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Democratic Party of Oak Park
Joseph Seliga
5329 S Merrimac Ave
Chicago, IL 60638

ID# 598

Dear Democratic Party of Oak Park:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Morris Esformes	6/29/2011	\$1000	7/8/2011	1	\$500
Barton Management	6/29/2011	\$1000	7/8/2011	1	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

11 SEP 26 AM 10:10

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Democratic Party of Oak Park)
Respondent(s).)

Case No. 11 A5021

APPEAL AFFIDAVIT

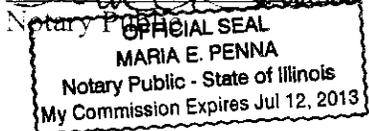
I, Joseph Seliga, the Treasurer of the
(Name) (Chairman/Treasurer)
Democratic Party of Oak Park
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached documents.

Signed and Sworn to by:
Joseph Seliga
before me this 23rd Day of
September, 2011

[Signature]
Signature of Chairman/Treasurer



July 10, 2011

State Board of Elections
James R. Thompson Center
100 West Randolph, Suite 12-100
Chicago, IL 60601

Via facsimile: 312-814-6485

Re: Letter of Correspondence In regard to Democratic Party of Oak Park A-1 Disclosures

Dear Sir or Madam:

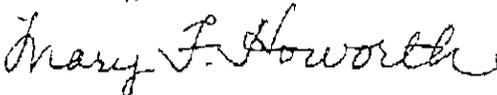
Please accept this notification as our "Letter of Correspondence" to advise the Board that an error was made in the reporting of two A-1 contributions by the Democratic Party of Oak Park.

Two contributions of \$1000 each (one from Barton Management and one from Morris Esformes) were entered into IDIS v. 2 on June 29, 2011, but the deposit of these contributions was not made to Community Bank of Oak Park and River Forest until June 30, 2011. The A-1s for these deposits were not made until July 8, 2011 which for the June 29th date would have made them 1 day late, but from the deposit date of June 30th made them within the five day notice period.

We respectfully request that the Board consider these facts when adjudicating a possible fine for late reporting.

If you need further information or details regarding this matter, please contact me at your earliest convenience.

Sincerely,



Mary Fitzpatrick Howorth
Executive Director
Democratic Party of Oak Park
1243 Woodbine, Suite 101
Oak Park, IL 60302

708-386-0090
dpop@donharmon.org

ATTACHMENT TO APPEAL AFFIDAVIT

This appeal is filed in response to correspondence from Sharon Steward, the Director of the Campaign Disclosure Division of the State Board of Elections, dated August 30, 2011, in which a civil penalty was imposed on the Democratic Party of Oak Park (the "Committee") in relation to two contributions which the State Board of Elections is asserting were delinquently filed on Schedule A-1 reports. The Committee is appealing the assessment of this civil penalty and has completed a waiver of appearance, which is attached to this affidavit.

The relevant contributions, each in the amount of \$1000, were given by Morris Esformes and Barton Management. In both cases, the date of contribution as reported on the Committee's Schedule A-1 filed July 8, 2011 was June 29, 2011, which is one business day after the five-business-day deadline imposed by 10 ILCS 5/9-10(c).

The Committee is appealing the imposition of the civil penalty in this case because the date of contribution as reported on the Committee's Schedule A-1 was incorrect and should have been reported as June 30, 2011, which places the filing of the Schedule A-1 within the five-business-day deadline imposed by 10 ILCS 5/9-10(c).

The relevant facts are described in more detail below:

The executive director of the Committee, Mary Fitzpatrick Howorth, prepared a large deposit (over 70 checks), including the two contributions relevant to this appeal, late in the afternoon of June 29, 2011. At that time, she entered all of the contributions into IDIS v2, but did not file Schedule A-1s for any of the contributions. The deposit of the checks did not occur until the morning of June 30, 2011. Attached to this affidavit is a statement from the Community Bank of Oak Park River Forest that indicates that the deposit was made on June 30, 2011. After returning to the office after the Independence Day holiday, on July 8, 2011, Ms. Howorth realized the Schedule A-1s had not been filed and filed them immediately. However, she did not update the date of the contributions in IOIS v2 to reflect the date of deposit in accordance with 10 ILCS 5/9-10(d), which provides that "a contribution is considered received on the date (i) a monetary contribution was deposited in a bank, financial institution, or other repository of funds for the committee"

On July 9, 2011, Ms. Howorth realized that the date of the actual deposit (June 30) was the date from which the Schedule A-1 "clock" should have begun and therefore she telephoned the State Board of Elections explaining the error. At the suggestion of Tony Panzani, a disclosure specialist at the State Board of Elections, a "Letter of Correspondence" was sent outlining the above information. Attached to this affidavit is a copy of that Letter of Correspondence.

Given that the actual deposit of the relevant contributions occurred on June 30, 2011, the filing of the Schedule A-1 on July 8, 2011, was within the statutory deadline. Therefore, the Committee respectfully appeals the assessment of civil penalties related to the relevant contributions.

Community Bank

of OAK PARK RIVER FOREST



Date 6/30/11
 Account Number [REDACTED]
 Enclosures **

[REDACTED] 6

DEMOCRATIC PARTY OF OAK PARK
 C/O DONALD F HARMON
 1243 WOODBINE AVE
 OAK PARK IL 60302-1213

----- CHECKING ACCOUNT -----

NOW NOT FOR PROFIT		Number of Enclosures	6
Account Number	[REDACTED]	Statement Dates	6/01/11 thru 6/30/11
Previous Balance	962.90	Days in the statement period	30
2 Deposits/Credits	18,430.00	Average Ledger	2,463.82
11 Checks/Debits	3,060.50	Average Collected	2,463.82
Service Charge	.00		
Interest Paid	.11		
Current Balance	16,332.51	2011 Interest Paid	.77

Date	Description	Amount
6/16	Deposit	4,370.00
6/16	UTIL_BIL CCD COMED	61.23-
6/17	DBT CRD 0913 06/16/11 14457167	218.14-
	DOOLIN AMU	
	511 N HALSTED ST	
	Chicago IL C#1050	
6/22	DBT CRD 0908 06/21/11 20089469	78.58-
	DAK PARK B	
	904 S OAK PARK AVE	
	OAK PARK IL C#1050	
6/23	POS DEB 1346 06/23/11 13046719	30.06-
	Staples, I	
	190 South Mannheim	
	HILLSIDE IL C#1050	
6/24	ATM w/D 1510 06/23/11 00005194	300.00-
	Community	
	1001 Lake Street -	
	Oak Park IL C#1050	
6/24	Payment PPD ATT	207.94-
6/27	DBT CRD 0924 06/23/11 00076438	71.50-
	AMBEES ENG	
	10401 W CERMAK RD	
	WESTCHESTER IL C#1050	
6/30	Deposit	14,060.00
6/30	Interest Deposit	.11



1001 LAKE STREET • OAK PARK, IL 60301 • 708.660.1000

WWW

CM





Date 6/30/11 Page: 3 of 4
 Account Number [REDACTED]
 Enclosures ** [REDACTED] 6

NOW NOT FOR PROFIT

[REDACTED] (Continued)

CHECKS IN NUMBER ORDER					
Date	Check No	Amount	Date	Check No	Amount
6/13	1602	52.54	6/27	1641*	1,300.00
6/08	1603	240.51	6/03	1909*	500.00

* Denotes missing check numbers

DAILY BALANCE SUMMARY					
Date	Balance	Date	Balance	Date	Balance
6/01	962.90	6/16	4,478.62	6/24	3,643.90
6/03	462.90	6/17	4,260.48	6/27	2,272.40
6/08	222.39	6/22	4,181.90	6/30	16,332.51
6/13	169.85	6/23	4,151.84		

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

11 AJ 024

Dent-IL-PAC, the Political Action Committee of the IL State Dental Society
Respondent

Cmte ID: 1043

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing Schedule A-1 Reports

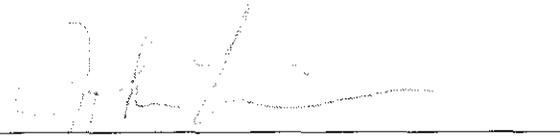
The Reports were received June 30, 2011, 55 days late, resulting in an assessment of \$875. The contributions were all dated April 6, 2011, and were reported as follows:

Fox River Dental Society	--	\$2000
GV Black Dental Society	--	\$2000
IL Association of Endodontists	--	\$2000
IL Society of Pediatric Dentists	--	\$1500
McHenry County Dental Society	--	\$2000
Julie Paulson	--	\$2000
Peoria Dist Dental Society	--	\$1000
Rock Island District Dental Society	--	\$1000
US Grant Dental Society	--	\$1000
Will County Dental Society	--	\$2000
Winnebago County Dental Society	--	\$1000

This Committee has not been previously assessed. Keith Evans, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Evans stated that the contributions listed above actually represented dues from member dentists throughout the state. He said the contribution reported as coming from Julie Paulson should have been reported as aggregated dues from the Decatur District Dental Society in the amount of \$200. On September 19, 2011, the Committee filed an amended June 2011 Quarterly Report that properly accounted for all of the above-listed contributions.

I recommend the appeal be granted. The affidavit was detailed in support of the argument that the Committee did not have A-1 requirements with respect to the contributions for which it was assessed. As of September 30, 2011, the Committee's reported cash balance was \$385,947.23.



John Levin – Hearing Officer
October 7, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Dent-IL-PAC, the Political Action Committee of the IL State Dental Society
Kevin Nelson
1116 W Loucks
Peoria, IL 61604

ID# 1043

Dear Dent-IL-PAC, the Political Action Committee of the IL State Dental Society:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Fox River Valley Dental Society	4/6/2011	\$2000	6/30/2011	55	\$1000
GV Black District Dental Society	4/6/2011	\$2000	6/30/2011	55	\$1000
IL Association of Endodontists	4/6/2011	\$2000	6/30/2011	55	\$1000
IL Society of Pediatric Dentists	4/6/2011	\$1500	6/30/2011	55	\$750
McHenry County Dental Society	4/6/2011	\$2000	6/30/2011	55	\$1000
Julie Paulson	4/6/2011	\$2000	6/30/2011	55	\$1000
Peoria District Dental Society	4/6/2011	\$1000	6/30/2011	55	\$500
Rock Island District Dental Society	4/6/2011	\$1000	6/30/2011	55	\$500
US Grant Dental Society	4/6/2011	\$1000	6/30/2011	55	\$500
Will County Dental Society	4/6/2011	\$2000	6/30/2011	55	\$1000
Winnebago County Dental Society	4/6/2011	\$1000	6/30/2011	55	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$8750 for delinquent filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

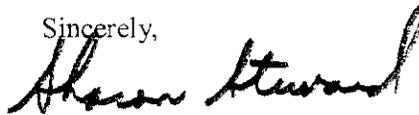
Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$875, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimus at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE BOARD OF ELECTIONS
11 SEP 26 AM 9:37

State of Illinois)
County of McLean)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Dent-IL-PAC, The Political Action)
Respondent(s).)

Case No. 11AJ024

**Committee of the Illinois State
Dental Society**

APPEAL AFFIDAVIT

I, Keith Evans, the Treasurer of the
(Name) (Chairman/Treasurer)

Dent-IL-PAC, the Political Action Committee of the Illinois State Dental
Society, (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(See Attachment A)

Signed and Sworn to by:
Keith A Evans
before me this 23rd Day of
September, 2011

[Signature]
Notary Public

[Signature]

(Signature of Chairman/Treasurer)

KEITH EVANS



ATTACHMENT 1

(CONTINUATION OF APPEAL AFFIDAVIT)

1. Dent-IL-PAC, the Political Action Committee of the Illinois State Dental Society ("Committee"), filed Form D-2 (Quarterly Report) on line on July 5, 2011 with the Illinois Board of Elections ("Board") as required by law.

2. Based upon that report, the Board forwarded a letter dated August 30, 2011 to the Committee, finding that the Committee had failed to file timely Schedule A-1 reporting eleven contributions of \$1,000 or more to the Committee.

3. After review of the law and consultations with Board staff, the Committee realized that it had erroneously reported 10 of the 11 contributions as coming from "committees" (leaving the impression that the donations were from other PAC groups) as opposed to the correct designation of "small aggregate organizations", which term correctly describes the donors. In addition, an 11th contribution listed as \$2,000 should have been listed as \$200.

4. The Committee on August 31, 2011, filed an amended Form D-2 online designed to correct that report.

5. The following information is submitted to support the appeal of the Committee.

6. The Illinois State Dental Society ("ISDS") is part of a tripartite organization consisting of the American Dental Association (a national organization based in Chicago, Illinois); the ISDS, a state-wide organization based in Springfield, Illinois and 23 local components located throughout the state of Illinois. The national organization, the state-wide organization and the local components are each separate not-for-profit corporations formed under Illinois law.

7. Every member dentist in the state pays the same dues (depending upon his or her classification) to the American Dental Association and to ISDS. However, as shown by Exhibit A, members of local components pay local component dues in different amounts, depending upon which component is their home component and at what level the component has set local dues. In the case of all eight components listed as being in violation (Fox River Valley, GV Black, McHenry County, Peoria, Rock Island, US Grant, Will County and Winnebago County), the bulk of income each year that they receive comes from dues. ISDS collects the dues for the ADA, ISDS and the various components and forwards those

dues to the appropriate entities. A typical member dues statement (with member name redacted) is attached as Exhibit B.

8. Exhibit C is a summary of Membership by Class and Components, which summary was put together three months before the filing of the report. As can be seen from reviewing the left-hand column, the active membership of the eight local components in question ranges from 33 (US Grant) to 204 (Fox River Valley). The aggregate contribution from members, pro rated, show that the total contribution from each local component does not approach the \$1,000 reporting minimum. Thus the Committee's opinion is that the eight organizations give small aggregate contributions as envisioned by the current election procedures.

9. The situation involving two of the other contributors is similar, but not exactly identical. The Illinois Association of Endodontists is the Illinois chapter of a national association of endodontic specialists, with about 157 Illinois members and average active dues of \$100. The Illinois Society of Pediatric Dentists is the Illinois chapter of a national organization of pediatric dentists, another recognized dental specialty; that chapter has about 143 members with average active dues of about \$100. Dues represent the bulk of income for both organizations. Both also would seem to meet the small aggregate contributions test.

10. The final contribution of \$2,000 shown on the initial report represented a transcription error. The figure was corrected to \$200 on the amended report of August 31, 2011. A copy of the check is enclosed as Exhibit D. In double-checking our information, however, it was learned that the \$200 was not actually the donation of Julie Paulson, but was a contribution of the Decatur District Dental Society, which was transmitted through Julie Paulson. Our report was amended online a second time on September 18, 2011, to reflect that fact. While this second correction does not appear to impact the threshold issue, the Committee wished to note the second correction for the record.

11. As noted in the communication from the Board dated August 30, 2011, the Committee has not had a delinquent Schedule A-1 filing in the past. The Committee has never had any kind of violation in the past. Since the finding of violations represents only a failure to file in the proper form rather than a failure to meet deadlines or follow the law, the Committee appeals the finding of untimely filing and asks that the notice of violation and assessment of the fine be withdrawn.

The Committee has waived notice for a hearing before a hearing examiner, but it is, of course, prepared to furnish any further information requested by such examiner. The Committee also wishes to

appear before the full Board at the time it considers this appeal to respond to any staff recommendation if necessary and/or to answer

questions from the Board. In view of the Committee's base in Springfield, the Committee respectfully asks for continuance of one month if necessary so that it may appear at a Springfield meeting of the Board.

The information contained in this Attachment 1, plus Exhibits, is hereby incorporated into the Appeal Affidavit and is subject to the oath on Page 1 of the Affidavit, as sworn to by its Treasurer.

2011 Dues

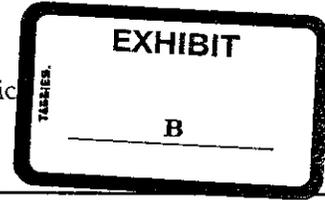
COMPONENT	1	3	7	A	B	C	D	L	S	W	Y or 2
GV Black	\$65.00	\$65.00	\$0.00	\$0.00	\$32.50	\$65.00	\$65.00	\$0.00	\$16.25	\$0.00	\$32.50
Danville	\$100.00	\$100.00	\$0.00	\$100.00	\$100.00	\$100.00	\$100.00	\$0.00	\$25.00	\$0.00	\$50.00
Chicago	\$125.00	\$35.00	\$35.00	\$0.00	\$32.00	\$63.00	\$94.00	\$63.00	\$32.00	\$0.00	\$63.00
Decatur	\$75.00	\$75.00	\$0.00	\$45.00	\$60.00	\$75.00	\$75.00	\$0.00	\$18.75	\$0.00	\$37.50
Eastern Illinois	\$60.00	\$50.00	\$25.00	\$30.00	\$50.00	\$50.00	\$50.00	\$40.00	\$15.00	\$0.00	\$30.00
Fox River Valley	\$263.00	\$263.00	\$69.50	\$0.00	\$69.50	\$134.00	\$193.50	\$134.00	\$69.50	\$0.00	\$134.00
TL Gilmer	\$85.00	\$85.00	\$0.00	\$85.00	\$85.00	\$85.00	\$85.00	\$0.00	\$21.25	\$0.00	\$42.50
Kankakee	\$120.00	\$120.00	\$0.00	\$120.00	\$120.00	\$120.00	\$120.00	\$120.00	\$30.00	\$0.00	\$60.00
Prairie Valley	\$140.00	\$140.00	\$0.00	\$140.00	\$140.00	\$140.00	\$140.00	\$140.00	\$35.00	\$0.00	\$70.00
Illinois Valley	\$95.00	\$95.00	\$0.00	\$47.50	\$95.00	\$95.00	\$95.00	\$0.00	\$23.75	\$0.00	\$47.50
Madison	\$50.00	\$25.00	\$0.00	\$25.00	\$50.00	\$50.00	\$50.00	\$50.00	\$12.50	\$0.00	\$25.00
McLean	\$110.00	\$110.00	\$0.00	\$110.00	\$110.00	\$110.00	\$110.00	\$55.00	\$27.50	\$0.00	\$55.00
US Grant	\$125.00	\$125.00	\$0.00	\$0.00	\$62.50	\$125.00	\$125.00	\$75.00	\$31.25	\$0.00	\$62.50
Peoria	\$200.00	\$0.00	\$0.00	\$0.00	\$50.00	\$100.00	\$150.00	\$100.00	\$50.00	\$0.00	\$100.00
Rock Island	\$165.00	\$165.00	\$0.00	\$0.00	\$0.00	\$165.00	\$165.00	\$165.00	\$41.25	\$0.00	\$82.50
t. Clair	\$60.00	\$60.00	\$0.00	\$0.00	\$30.00	\$40.00	\$50.00	\$0.00	\$15.00	\$0.00	\$30.00
outhern Illinois	\$95.00	\$35.00	\$0.00	\$0.00	\$23.75	\$47.50	\$71.25	\$47.50	\$23.75	\$0.00	\$47.50
abash River	\$25.00	\$25.00	\$0.00	\$0.00	\$25.00	\$25.00	\$25.00	\$25.00	\$6.25	\$0.00	\$12.50
Illini	\$150.00	\$150.00	\$0.00	\$0.00	\$37.50	\$75.00	\$112.50	\$75.00	\$37.50	\$0.00	\$75.00
Whiteside Lee	\$125.00	\$125.00	\$125.00	\$125.00	\$125.00	\$125.00	\$125.00	\$125.00	\$31.25	\$0.00	\$62.50
Will County	\$170.00	\$170.00	\$0.00	\$0.00	\$42.50	\$85.00	\$127.50	\$0.00	\$42.50	\$0.00	\$85.00
Winnebago	\$325.00	\$0.00	\$0.00	\$0.00	\$128.00	\$226.00	\$325.00	\$100.00	\$81.25	\$0.00	\$162.50
McHenry County	\$150.00	\$150.00	\$0.00	\$0.00	\$37.50	\$75.00	\$112.50	\$0.00	\$37.50	\$0.00	\$75.00
ADA	\$505.00	\$30.00	\$127.00	\$0.00	\$127.00	\$253.00	\$379.00	\$253.00	\$127.00	\$0.00	\$253.00
ADA Assessment	\$23.00	\$0.00	\$6.00	\$0.00	\$6.00	\$12.00	\$18.00	\$12.00	\$6.00	\$0.00	\$12.00
ISDS	\$340.00	\$30.00	\$40.00	\$0.00	\$85.00	\$170.00	\$255.00	\$340.00	\$85.00	\$0.00	\$170.00
ISDS Assessment	\$18.00	\$0.00	\$0.00	\$0.00	\$5.00	\$9.00	\$14.00	\$18.00	\$5.00	\$0.00	\$9.00
Total	\$886.00	\$60.00	\$173.00	\$0.00	\$223.00	\$444.00	\$666.00	\$623.00	\$223.00	\$0.00	\$444.00

1 - Active, 3 - Grad Student, 7 - Retired, A - 1st Year Out, B - 2nd Year Out, C - 3rd Year Out, D - 4th Year Out, L - Active Life, S - 75%, W - Retired Life, Y or 2 - 50%



2011 ANNUAL DUES

Please Mail Dues Remittance To:
Illinois State Dental Society • P.O. Box 809126 • Chicago, IL 60680-9126



800/475-4737 • 217/525-1406

Or Pay Online At: ISDS.org

75880189 14A
Dr. [Redacted]

	<u>Contributing Member</u>	<u>Sustaining Member</u>	<u>Patron Member</u>
IDA Full Active Dues	\$505.00	\$505.00	\$505.00
IDA Assessment (Technology)	\$23.00	\$23.00	\$23.00
SDS Active Member Dues	\$340.00	\$340.00	\$340.00
SDS Assessment (Building)	\$18.00	\$18.00	\$18.00
IV Black Active Member Dues	\$65.00	\$65.00	\$65.00
<i>DENT-IL-PAC**</i>	\$125.00	<i>Governor's Club**</i> \$250.00	<i>President's Club**</i> \$500.00
<i>ISDS Foundation**</i>	\$ 50.00	<i>Enhanced ISDS Foundation**</i> \$100.00	<i>Enhanced ISDS Foundation**</i> \$200.00
	<i>Total 1</i> \$1,126.00	<i>Total 2</i> \$1,301.00	<i>Total 3</i> \$1,651.00

*Alliance of the Illinois State Dental Society (Spouse Organization)*** \$62.00 **Total \$** _____

Mandatory Contributions are in Bold

Grand Total _____

** These are suggested amounts for voluntary contributions. Contributions to PACs (political action committees) and ISDS Foundation do not affect your Tripartite membership status. See back of this page for more information.

Please retain upper portion for your records/receipt

Return bottom portion with payment

Please Mail Dues Remittance To:

Illinois State Dental Society * P.O.Box 809126 * Chicago, IL 60680-9126

My check is enclosed payable to the Illinois State Dental Society

Please mark the chosen total for payment

Charge To:

Visa MasterCard AMEX Discover

____ Total 1 \$1,126.00
____ Total 2 \$1,301.00
____ Total 3 \$1,651.00
____ Other \$ _____

Card Number: _____

Security Code: _____ Exp. Date: _____

Billing Address for Card:

Address: _____

City: _____ State: _____ Zip: _____

Spouse's Name: _____

Corporate or Personal payment?

175880189 14A
Dr. [Redacted]
2325 W White Oaks Dr Ste B
Springfield, IL 62704-7420

Summary of Membership by Class and Component

April 1, 2011

		Active	Ret'd	Act. Life	Ret. Life	Disab	Total	NonRen
A	G.V. Black District Dental Society	111	1	12	17	1	142	12
B	Danville District Dental Society	13	0	1	7	0	21	1
C	Chicago Dental Society	3015	59	304	563	33	3974	387
D	Decatur District Dental Society	54	1	7	12	0	74	2
E	Eastern Illinois Dental Society	22	0	5	6	0	33	2
F	Fox River Valley Dental Society	204	3	12	35	0	254	25
G	T.L. Gilmer Dental Society	32	0	5	7	0	44	6
H	Kankakee District Dental Society	31	0	6	9	1	47	2
I	Prairie Valley Dental Society	25	0	2	14	0	41	2
J	Illinois Valley Dental Society	53	0	4	14	0	71	4
K	Madison District Dental Society	119	5	9	21	0	154	19
L	McLean County Dental Society	73	1	4	13	0	91	5
M	U.S. Grant Dental Society	33	1	2	3	0	39	2
N	Peoria District Dental Society	134	10	16	30	3	193	9
O	Rock Island District Dental Society	67	0	5	20	2	94	4
P	St. Clair District Dental Society	96	2	7	20	1	126	5
Q	Southern Illinois Dental Society	73	3	10	14	3	103	6
R	Wabash River Section Dental Society	58	0	5	16	1	80	5
S	Illini District Dental Society	73	1	7	23	1	105	6
T	Whiteside-Lee County Dental Society	23	3	2	6	3	37	2
U	Will County Dental Society	108	0	7	23	2	140	16
V	Winnebago County Dental Society	122	2	10	32	0	166	7
W	McHenry County Dental Society	111	1	10	10	0	132	6
Constituent Totals		4650	93	452	915	51	6161	535

PETER L PAULSON, D.M.D 0679
JULIA A PAULSON, D.M.D.
PH. 217-864-0600
4 ASHLAND CT
MT ZION, IL 62549-1277

70-256/711

No.

3946

DATE 4-7-11

EXHIBIT

D

Pay to the order of

ISDS

\$ 200⁰⁰

Two Hundred and 00/100

WARRANTS

Security Features Indicated on Back

BuseyBANK™
www.busey.com

MT ZION, IL - Pac

MT ZION

MP

[Redacted area]

03946

ANTIQUE

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

11 MA 015

Kane County Republican Advisory Council
Respondent

Cmte ID: 18202

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the GE 2010 Pre-Election Report and the December 2010 Semi-Annual Report

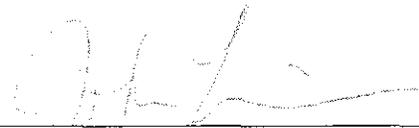
The Reports were both received on May 10, 2011. The Pre-Election Report was 139 days late, resulting in an assessment of \$1100. The Semi-Annual Report was 76 days late, resulting in an assessment of \$3800. These are the Committee's first assessments.

Dan Sergi, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Sergi stated the person charged with electronically filing the Reports had difficulties in getting the IDIS 2.0 software to work. The filing process was then turned over to a new person in May, 2011, who contacted the Board for assistance and electronically filed the Reports.

Upon review of the Committee's filing history, the Committee should not have been assessed for a delinquent GE 2010 Pre-Election Report. An initial version of the report was timely filed on October 4, 2010.

With respect to the December 2010 Semi-Annual Report, Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." The Committee might have transitioned to a new electronic filer more quickly. However, in keeping with past Board practice, I recommend the appeal be granted as a one-time waiver of the assessment because of electronic filing issues. The Committee's reported balance as of September 30, 2011, was \$29,796.95.



John Levin – Hearing Officer
October 26, 2011

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Kane County Republican Advisor Council ID# 18202
Jerry Boose
40W270B LaFox Rd
St Charles, IL 60175-7624

Dear Kane County Republican Advisor Council:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 10, 2011, 76 days late. As such, this committee has been assessed a fine of \$3800.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: Pre-Election Report of Campaign Contributions
Report Period: July 1, 2010 through October 3, 2010
Filing Period: October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on May 10, 2011, 139 days late. As such, this committee has been assessed the maximum fine of \$1100.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your violation, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS ILLINOIS

11 OCT -7 AM 9:49

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 11MA015

Kane County Republican
18202 Respondent(s). Advisory
Council

APPEAL AFFIDAVIT

I, Dan Sergi, the Treasurer of the
(Name) (Chairman/Treasurer)

Kane County Republican Advisory Council
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

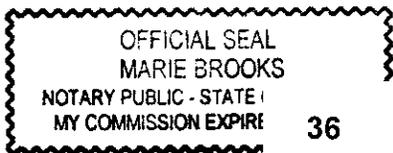
(See attached letter)

Signed and Sworn to by:

DANIEL SERGI
before me this 5th Day of
October, 2011

Marie Brooks
Notary Public

(Signature of Chairman/Treasurer)



WINE SERGI
I N S U R A N C E

State Board of Elections
General Counsel
Fax: 217-782-5959

To Whom it May Concern:

I am writing this letter as a motion to reconsider the Final Order that was placed on ID# 18202 for Kane County Republican Advisory Council in the amount of \$4900.00. When these reports were originally due, the individual who was handling this process had issues in getting the new software to work with our operating system. The filing process was then turned over to a new individual in May who IMMEDIATELY contacted the State Board of Elections for assistance in getting the software to work and get the two reports filed. I believe he stated that we would be able to appeal the process and would most likely not be charged a penalty since the upgraded IDES software was out of our control. However, we never did receive the appeal paperwork.

Today we received a letter (attached) stating that we now owe \$4900.00 in penalties for:

1. In case number 11 MA 015, a \$1100.00 civil penalty for the delinquent filing of the November 2010 Pre-election report.
2. In case number 11 MA 015, a \$3800.00 civil penalty for the delinquent filing of the December 2010 Semi-annual report.

We are asking that this motion be reconsidered and all penalties waived as now that the software is in place, we are able to file our reports timely and accurately. Please advise us of the next step. For additional information or assistance, please contact my Executive Assistant, Felicia Berger, at 630-485-5837 or via e-mail at feliciab@winesergi.com.

Sincerely,



Daniel A. Sergi, CPCU
Treasurer for Kane County Republican Advisory Council

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

11 AJ 054

East St Louis Republican Central Committee
Respondent

ID# 18551

REPORT OF HEARING OFFICER

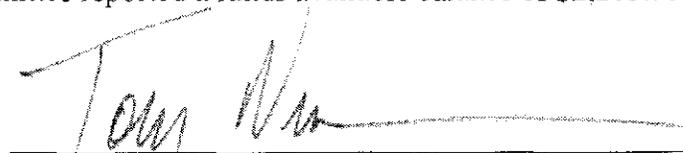
Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a \$3,600 contribution on 4/2/11, and reported it on a Schedule A-1 received by the Board on 7/14/11, 67 days late, resulting in a civil penalty assessment of \$1,800. Additionally, the Committee had previously been assessed a \$1,100 civil penalty (not appealed, stayed) for delinquently filing the Pre-Election Report for the 2010 General Election. The total assessment is \$2,900.

Sammie Ashford, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Ashford states that the money in question, from Arnold Jackson, was not a campaign contribution but a payment for office space rental. He says Mr. Jackson leases building space from the Committee at a cost of \$600 per month. Mr. Ashford also included financial documents related to the building owned by the Committee.

I agree that the money does not represent a typical contribution to the Committee, and therefore should not be subject to the A-1 reporting requirements. I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the earlier \$1,100 civil penalty. I also advise that in the future, the Committee report such monies under "Other Receipts", to help differentiate those transactions from campaign contributions. (As of 9/30/11, this Committee reported a funds available balance of \$2,205.95.)



Tom Newman – Hearing Officer

November 1, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

East St Louis Republican Central Committee
Sammie Ashford
507 N 41st St
East St Louis, IL 62205

ID# 18551

Dear East St Louis Republican Central Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Arnold Jackson	4/2/2011	\$3600	7/14/2011	67	\$1800

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1800 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$180, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1 through October 3, 2010	Pre-Election	\$1100
TOTAL AMOUNT NOW DUE		\$1280

State of Illinois)
County of St. Clair)

FILED UNDER BY ELECTIONS

11 SEP 13 PM 1:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Respondent(s).)

Case No. 11AJ054

APPEAL AFFIDAVIT

I, Sammie L. Ashford, the Treasurer of the
(Name) (Chairman/Treasurer)
East St. Louis Republican Central Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

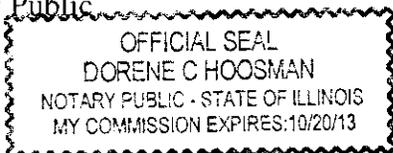
See Attachment

Signed and Sworn to by:

before me this 12th Day of
September, 2011

Dorene C. Hoosman
Notary Public

Sammie L. Ashford
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
11 SEP 13 PM 1:03

September 09, 2011

Sharon Steward
Director, Campaign Disclosure
1020 South Spring Street
Springfield, Illinois 62708

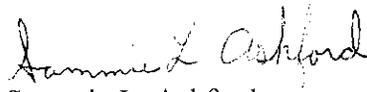
Dear Sharon Steward:

The East Saint Louis Republican Central Committee also known as "E.S.L.R.C.C." has not received any campaign contributions from Mr. Arnold Jackson. Mr. Jackson currently leases office space from the E. St. Louis Republican Central Committee. The building is located at 1360 State Street, East St. Louis, IL. The E.S.L.R.C.C. is requesting to file an Appeal Affidavit.

The East St. Louis Republican Central Committee has strived to obey guidelines set by the State Board of Elections of Illinois. The late Edward Washington, Sr. served as the organization's Treasurer. We have experienced difficulties retrieving our mail and records during his decline in health before he passed which has caused a set back for the organization. The members of the organization have worked many dedicated hours to make sure we follow the guidelines set by the State of Illinois.

The E.S.L.R.C.C. is requesting a review of the documents listed. The documents enclosed are copies of the following: building insurance from Hudlin & Co., Bank Statement, and Quick claim Deed. Please advise if any additional information is required.

Sincerely,



Sammie L. Ashford
Treasurer

IN LOVING MEMORY
OF

Mr. Edward E. Washington, Jr.



Saturday, June 25, 2011 - 11:00 A.M.

Mt. Sinai Baptist Church

1200 St. Louis Avenue
East St. Louis, Illinois

Rev. Herman L. Watson, Pastor/Officiant

February 28, 1956 - June 17, 2011

Hudlin & Co.

518 Missouri Ave.
East St. Louis, IL 62201
(618) 874-1505 Fax (618) 874-4710

INVOICE

Republican Political Party INVOICE NO: 534
DATE: August 8, 2011

Attention: Wade Wicks
Republican Political Party
541 Washington Place
East St Louis, IL 62205

RE: Commercial Package Policy
Location: 1350 State St, E St Louis, IL

COMPANY	DESCRIPTION	TERM	AMOUNT
Omega US Insurance Co.	Commercial Package Policy Policy No: Renewal of OUS038000234 1 Payment @ \$564.50 Due 8/12/11	Annual from 7/1/2011	\$1,129.00
Total			\$1,129.00
Paid			-\$564.50
Balance Due			\$564.50

Make all checks payable to: **Hudlin & Co.**
If you have any questions concerning this invoice call: Bob @ (618) 874-1505

THANK YOU FOR YOUR BUSINESS!

COMMERCIAL LINES COMMON POLICY DECLARATIONS - OUS100 (12/08)

OMEGA US INSURANCE INC - Schaumburg, IL

Renewal of Number OH99999999
 Policy Number 9999999999

Agreement No. RSM001
 SLA Countersignature #
 IL 99999999

1. NAMED INSURED: REPUBLICAN POLITICAL PARTY
 C/O WADE WICKS
 DBA:

MAILING ADDRESS: 541 WASHINGTON PLACE
 EAST ST. LOUIS, IL 62205

2. POLICY PERIOD From 07/01/2011 To 07/01/2012 at 12:01 A.M.

3. FORM OF BUSINESS OTHER OTHER DESC. NOT FOR PROFIT ORGANIZATION

4. BUSINESS DESCRIPTION PROPERTY OWNER

IN RETURN FOR THE PAYMENT OF THE PREMIUM, AND SUBJECT TO ALL THE TERMS OF THIS POLICY WE AGREE WITH YOU TO PROVIDE THE INSURANCE AS STATED IN THIS POLICY.

THIS POLICY CONSISTS OF THE FOLLOWING COVERAGE PARTS FOR WHICH A PREMIUM IS INDICATED THIS PREMIUM MAY BE SUBJECT TO ADJUSTMENT.

	PREMIUM
Commercial General Liability Coverage Part	\$ <u>400.00</u>
Commercial Property Coverage Part	\$ <u>542.00</u>
Commercial Crime Coverage Part	\$ <u>NOT COVERED</u>
Commercial Inland Marine Coverage Part	\$ <u>NOT COVERED</u>
Professional Liability Coverage Part	\$ <u>NOT COVERED</u>
Motor Truck Cargo Coverage Part	\$ <u>NOT COVERED</u>
Auto Physical Damage Coverage Part	\$ <u>NOT COVERED</u>
Equipment Breakdown Coverage	\$ <u>NOT COVERED</u>
TRIA	\$ <u>NOT COVERED</u>
5. TOTAL PREMIUM PAYABLE AT INCEPTION	\$ <u>942.00</u>
POLICY FEE	\$ <u>150.00</u>
ILLINOIS S/L TAX	\$ <u>33.00</u>
STAMPING FEE	\$ <u>1.00</u>
FIRE MARSHALL'S TAX	\$ <u>3.00</u>
	\$ _____
	\$ _____
TOTAL	\$ <u>1,129.00</u>

Notice to Policyholder

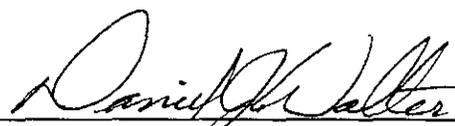
This contact is issued, pursuant to Section 445 of the Illinois Insurance Code, by a company not authorized and licensed to transact business in Illinois and as such is not covered by the Illinois Insurance Guaranty Fund.

6. FORMS AND ENDORSEMENT(S) MADE A PART OF THIS POLICY AT THE TIME OF ISSUE* - AS PER FORM OUS500 (10/07) ATTACHED

*Omits applicable Forms and Endorsements if shown in specific Coverage Part/ Coverage Form Declarations.

THESE DECLARATIONS TOGETHER WITH THE COMMON POLICY CONDITIONS, COVERAGE PART DECLARATIONS, COVERAGE PART COVERAGE FORM(S) AND FORMS AND ENDORSEMENTS, IF ANY, ISSUED TO FORM A PART THEREOF, COMPLETE THE ABOVE NUMBERED POLICY.

AGENCY CODE: 4800
RSI INTERNATIONAL, INC.
273 CLARKSON, BLDG. 1 STE. 102
ELLISVILLE, MO 63011
 NMF/JMB 08/04/2011

BY 
 Authorized Representative

ASSOCIATED BANK N.A.
 200 N ADAMS ST
 PO BOX 19097
 GREEN BAY WI 54307-9097
 1-800-728-3501

FINANCIAL STATEMENT OF ACCOUNTS

Primary Account: [REDACTED]

Statement Activity Period
 02/27/2010 - 02/28/2010

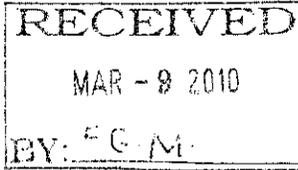
Bank: 001

Mail Code: 0



#BWNHHVQ
 EAST ST LOUIS REPUBLICAN CENTRAL
 UNDELV MS7012 011310
 1360 STATE STREET
 EAST ST LOUIS IL 62201

1642



Effective 3/1/10, customers with a minimum \$20 annual fee for Checking Reserve Line protection will now have a \$30 annual fee.

FINANCIAL SUMMARY	ACCOUNT#	BALANCE
DEPOSIT ACCOUNTS		
BUSINESS CHOICE 100	[REDACTED]	\$2,481.84
DEPOSIT ACCOUNTS		
BUSINESS CHOICE 100		
Beginning Balance		0.00
Plus: Deposits and Other Additions		2,481.84
ENDING BALANCE ON 02/28/2010		\$2,481.84
Deposits and Other Additions		
02/26/2010 CUSTOMER DEPOSIT		2,481.84
	TOTAL	\$2,481.84
	TOTAL # OF ITEMS	1
Statement Period Ledger Average Balance		\$2,481.84

Recording Requested by
East St. Louis Republican
Central Committee

Space Reserved for use by Recorder's Office

When Recorded, mail to:

East St. Louis Republican Central Committee
912 Indian Springs Road
O'Fallon, IL 62269

Document Prepared by:

East St. Louis Republican Central Committee
912 Indian Springs Road
O'Fallon, IL 62269

Property Tax Parcel/Account Number: 02-18.0-310-003

Quickclaim Deed

This Quickclaim Deed is made on October 9, 2009, between Metro Republican Organization, Grantor, of 912 Indian Springs Road, City of O'Fallon, State of Illinois, and East St. Louis Republican Central Committee, Grantee, of 912 Indian Springs Road, City of O'Fallon, State of Illinois

For valuable consideration, the Grantor hereby quitclaims and transfers all right, title and interest held by the Grantor in the following described real estate and improvements to the Grantee, and his or her heirs and assigns, to have and hold forever, located at 1360 State Street, City of East St. Louis, State of Illinois:

FEKETE PLACE NO. 2

LOT/SEC-3-BLK/RG-1 LOTS 1,2 & 3 AS IN 3329-793

Subject to, if any, all easements, rights of way, protective covenants, and mineral reservations of record. Taxes for the tax year 2009 shall be paid by the Grantee.

Dated Oct 12, 09

[Handwritten Signature]

Signature of Grantor
T. Wendell Campbell, Chairman
Metro Republican Organization (Grantor)

[Handwritten Signature]

Signature of Witness # 1

Craig Stacker

Printed Name of Witness # 1

[Handwritten Signature]

Signature of Witness # 2

Stella Thomas

Printed Name of Witness # 2

State of Illinois County of St. Clair

On October 12, 2009, the Grantor, T. Wendell Campbell, personally came before me and, being duly sworn, did state and prove that he is the person described in the above document and that he signed the above document in my presence.

[Handwritten Signature]

Notary Signature

Notary Public,
In and for the County of St. Clair, State of Illinois
My Commission Expires 01/06/10



Send all Tax Statements to Grantee.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 MA 057

ILCA PAC
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2010 Semi-Annual Report and the March 2011 Quarterly Report

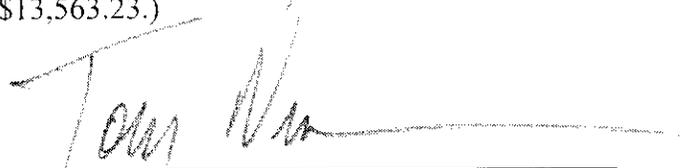
The Semi-Annual Report was received by the Board on July 15, 2011, 122 days late, resulting in a civil penalty assessment of \$5,000. The Quarterly Report was received by the Board on July 15, 2011, 63 days late, resulting in a civil penalty assessment of \$5,000. Additionally, the Committee was previously assessed a \$100 civil penalty (not appealed, stayed) for delinquently filing the June 2009 Semi-Annual Report. The total assessment is \$10,100.

Scott Grams, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Grams states that the basis of the Committee's appeal is that they never received a notice from the Board about the need to file the two Reports. Mr. Grams says in the past, the Committee would receive a letter before each filing period, reminding it of the need to file the upcoming Report. He says he contacted the Board in July of 2011, around the time Semi-Annual Reports had been due in the past, to ask why he had not received a notice about the need to file. At that time, Mr. Grams says he learned the Committee had failed to file the December 2010 Semi-Annual Report, and also missed filing the March 2011 Quarterly Report. He says without a written or emailed reminder, it apparently became too easy to forget the filing deadlines.

A review of the filing history for this Committee reveals why it was not receiving reminder notifications. In January of 2010, the Committee mistakenly filed a Final Report instead of a Semi-Annual Report. This resulted in the Committee's status being changed from "active" to "final" in the Board's electronic records storage system, and so when reminder letters were generated using that system the Committee was not included on the list. The error was apparently not noticed until Mr. Grams contacted the Board in July of 2011, at which time the Committee's status was reset to "active." The bottom line is that from January of 2010 through July of 2011, the Committee did not receive report reminder letters or other Board mailings such as those outlining changes in the law. Section 9-16 (7) of the Disclosure Act in place in 2010 stated in part, "...at least 30 days before each filing date for reports of campaign contributions and for semi-annual reports of campaign contributions and expenditures, the Board shall send by first class mail to each political committee...a notice of obligations under this Article, and appropriate forms for filing

the report.” Although the fault lies partly with the Committee for incorrectly filing a Final Report, the fact remains that the Committee was not sent the required notice, so I recommend the appeal be granted in regards to the delinquent Semi-Annual Report. Similarly, in regards to the delinquent Quarterly Report, the Committee was not sent a required notification. Section 9-15 (9) of the Act now in place states that the Board is required, *“to annually notify each political committee...of the filing dates for each quarterly report, provided that such notification shall be made by first-class mail unless the political committee opts to receive notification electronically via email.”* Since the Committee was not sent the notification, I recommend the appeal for the delinquent Quarterly Report also be granted. If these recommendations are accepted by the Board, the stay would be returned to the previous \$100 civil penalty. (As of 9/30/11, this Committee reported a funds available balance of \$13,563.23.)



Tom Newman – Hearing Officer
November 3, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

ILCA PAC (IL Landscape Contractors Assn) ID# 19282
Terry Culver
28270 N Bradley Rd
Libertyville, IL 0045

Dear ILCA PAC (IL Landscape Contractors Assn):

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 15, 2011, 122 days late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 15, 2011, 63 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.***

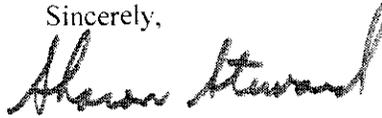
If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through June 30, 2010	Semi-Annual	\$100
<i>TOTAL AMOUNT NOW DUE</i>		\$11000

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward, Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of DuPage)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
ILCA PAC)
Respondent(s).)

Case No. 11MA 057

APPEAL AFFIDAVIT

I, Scott Grams, the Chairman of the
(Name) (Chairman/Treasurer)
ILCA PAC (Illinois Landscape Contractors Assn.)
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached letter.

Signed and Sworn to by:
Deirdre M. Rice
before me this 8 Day of
September, 2011
Deirdre M. Rice
Notary Public

[Signature]
(Signature of Chairman/Treasurer)





**ILLINOIS LANDSCAPE
CONTRACTORS ASSOCIATION**

2625 Butterfield Road Suite 204W
Oak Brook IL 60523-1257
630-472-2851 – fax 630-472-3150
www.ilca.net / information@ilca.net

Serving Landscape Contractors
Since 1959

President

Joe Hobson, CLP
The Brickman Group, Ltd.
(847) 438-8211

Vice President

Tim Caldwell, CLT
The Savanna Group, Inc.
(630) 561-9552

Secretary/Treasurer

Charlie Keppel
The Care of Trees
(847) 382-4120

Immediate Past President

Bob Bertog, CLP, CSP
Bertog Landscape Co.
(847) 279-0028

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Steve Fiore
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(847) 680-1207

Ed Gomez
Paradise Landscape Design, Ltd.
(847) 683-3553

Lisa Fiore Kositzki
Don Fiore Company, Inc.
(847) 234-0020

Rusty Maulding
Nature's View Landscaping
(773) 647-7912

Christa Orum-Keller
Midwest Groundcovers
(847) 742-1790

Maureen Scheitz
Acres Group
(847) 526-4554

Jeff True
Hursthouse, Inc.
(630) 759-3500

Kevin Vancina
Vancina Landscaping, Inc.
(815) 726-2300

Executive Director
Scott Grams

September 14, 2011

State Board of Elections
Attn: Campaign Disclosure
1020 S. Spring Street
Springfield, IL 62704

I appreciate the opportunity to appeal the fine of \$11,000 potentially levied on the Illinois Landscape Contractors Association Political Action Committee (ID#19282). I am embarrassed that I have placed my organization in this position. This is the biggest oversight of my professional career and I hope the State Board of Elections can appreciate my situation and waive the penalty.

The basis for our appeal is the lack of notification we received to file. In the past, this usually came in the form of a letter. That letter would offer a reminder that we needed to file a report. Since I began at ILCA in June of 2008, we would receive those letters at the time a report was due. This would trigger action on my part that it was time to file the report. In fact, if you notice when I first contacted The State Board of Elections in July of 2011, it was right around the time the first half semiannual report was due in the past. I wondered why I had not received anything notifying me it was time to file.

When I went to the State Board of Elections website I discovered the new calendar that now required me to submit quarterly reports. This was very surprising to me as I had not received a calendar for the 2011 reporting year. When I then took the initiative to contact the State Board of Elections, they notified me that I had not filed my semiannual report for the close of 2010 as well. I was stunned by this development. I shudder to think what would have happened if I never contacted the Board myself as my fines would have continued to escalate completely unbeknownst to me.

While speaking with Jenny Ronimous over the phone on September 7, 2011, she and Tom Newman verified that I was not scheduled for email notifications nor could they find me on the mailing list for letter notifications. Without this safety net, I missed the deadlines and was not even aware of the new quarterly reporting calendar.

Strangely, on September 6, 2011 I received a thick packet from the State Board of Elections containing the calendar and reminder to file my report. It contained a D-2 form and return envelope. This is the first time I ever

ILCA's Mission...to enhance the professionalism and capabilities of members by providing leadership, education and valued services while environmental awareness within the landscape industry.

received something this lengthy and the first time I received a paper-based calendar of filing dates for 2011.

I admit that I make mistakes. However, without written or email reminders, it became too easy to forget the deadlines. Sure, the reports take time and IDIS can be cumbersome but I always filed on time in the past due to the reminder letters.

We are a small organization with a small staff and very small PAC. We write about 4-6 checks per year and often have no activity within the reporting periods. I was devastated when inaction on my part lead to fines that are almost 80% of the PAC amount. If you look at our past PAC activity, we have nothing to hide. We get small contributions in and make small contributions out. In fact, the only contributions we make are to thank legislators who take part in a once-a-year breakfast meeting between landscapers and their elected officials. We don't even make contributions based on political positions or issues. We make them to thank legislators for having breakfast with our members.

I am devastated and embarrassed I have placed my organization and the State Board of Elections in this position. I please ask for your understanding and leniency in this appeal.

Warm regards,

A handwritten signature in black ink, appearing to read 'Scott Grams', with a long horizontal flourish extending to the right.

Scott Grams
Executive Director, ILCA

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 JQ 245

Republican Renaissance PAC ID# 21016
Respondent

REPORT OF HEARING OFFICER

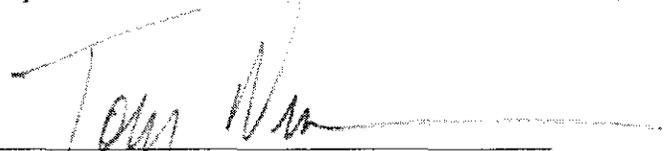
Appeal of Civil Penalty Assessment for Delinquent Filing of the June 2011 Quarterly Report

The Report was received by the Board on July 21, 2011, 4 days late, resulting in a civil penalty assessment of \$400. Additionally, the Committee had previously been assessed a \$500 civil penalty (not appealed, paid) for delinquently filing a Schedule A-1 for the 2010 General Election, a \$250 civil penalty (not appealed, paid) for delinquently filing the December 2010 Semi-Annual Report, and a \$200 civil penalty (not appealed, paid) for delinquent filing of Schedule A-1s for the 2010 General Primary Election. The total assessment is \$400.

Lidia Downs, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Downs states that the Report was filed late because of problems the Committee had with the IDIS electronic filing software. Ms. Downs says the Committee attempted to file the Report prior to the deadline, but the filing failed because of a software error. After receiving assistance from a tech support contractor and the Board's IT staff, the Report was eventually filed on July 21.

A review of IDIS service requests received by the Board shows the Committee did in fact have some technical issues that had to be solved in order for the Report to be filed. In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. (As of 9/30/11, this Committee reported a funds available balance of -\$5,449.05.)



Tom Newman – Hearing Officer
November 3, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

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Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Republican Renaissance PAC
Jack Roeser
8 E Main St.
Carpentersville, IL 60110-2624

ID# 21016

Dear Republican Renaissance PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2011 through June 30, 2011
Filing Period: July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 21, 2011, 4 days late. As such, this committee has been assessed a fine of \$400.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 1, you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 4 through November 1, 2010	Schedule A-1s	\$500
TOTAL AMOUNT NOW DUE		\$900

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director, Campaign Disclosure Division

SS: jr

State of Illinois)
County of Kane)

STATE BOARD OF ELECTIONS
11 OCT -3 PM 12:05

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
21014 Republican Renaissance PAC)
Respondent(s).)

Case No. 11JQ245

APPEAL AFFIDAVIT

I, Lidia R. Downs, the Treasurer of the
(Name) (Chairman/Treasurer)

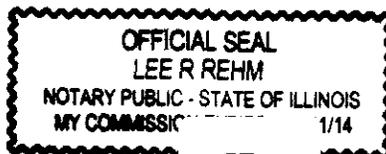
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

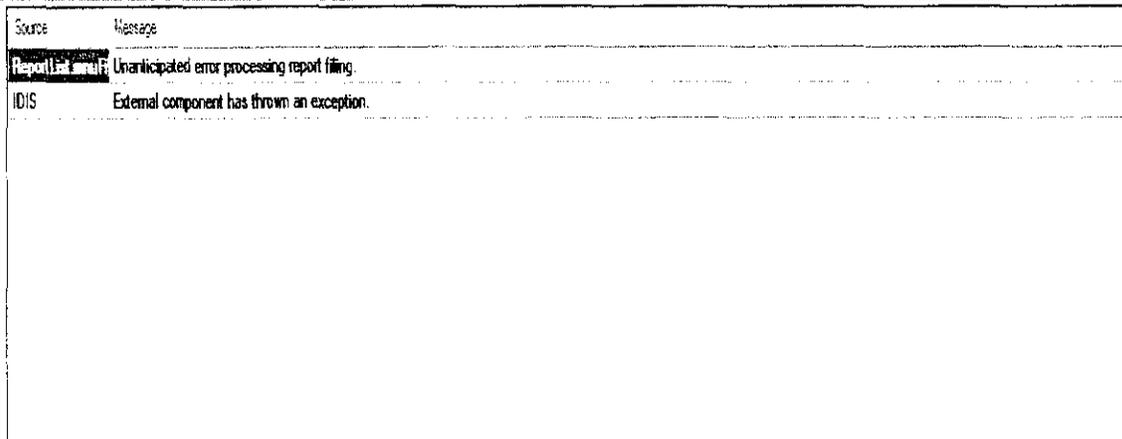
See reverse side

Signed and Sworn to by:
LEE Rehm
before me this 30th Day of
September, 2011
Lee R. Rehm
Notary Public

Lidia R. Downs
(Signature of Chairman/Treasurer)



Republican Renaissance PAC did not file its 2nd Quarterly D2 report until July 20 2011 because of technical problems with the IDIS system on our work station, particularly the MS SQL 2008 program. When attempting to file that report, we received the following error message:



Source	Message
ReportList	Unanticipated error processing report filing.
IDIS	External component has thrown an exception.

A service call to our tech support contractor was placed the same day, but he was unavailable until Monday, July 18th when he remotely accessed our computer and attempted to diagnose and repair the problem unsuccessfully. He therefore made a visit to our office on July 19th, and was able to determine that the MS SQL Server 2008 program on that computer was not working correctly. Because he was not able to fix the problem, we then set up IDIS on another computer and attempted to transfer our most recent back-up to the new work station but were unable to do the transfer due to the malfunctioning IDIS program on the original work station.

On July 20th, we contacted Ms Krishnamurthi of the ISBE's tech support office. With her assistance, we were able to transfer the back-up file to the new work station and then file our report.

On about June 21st, we'd had previous problems with the IDIS system which had been resolved with the assistance of Ms Krishnamurthi of the ISBE's tech support office. After her assistance, the IDIS program appeared to be working correctly. However, it was not until the attempt to file the 2nd Quarterly report failed on July 15th that we became aware that there continued to be a problem with the IDIS program on the computer we were using to file our IDIS reports.

The delay in filing our report was due to these technical problems. Ms. Krishnamurthi can substantiate the information we have provided regarding these technical difficulties and provide information regarding her own involvement in resolving these problems.

As the untimely filing was due to the technical problems we have described above, we request that the fine imposed regarding this matter be waived.



LRD
9/29/11

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

11 JQ 202

Committee to Elect Nathaniel R Howse 23715
Respondent

REPORT OF HEARING EXAMINER

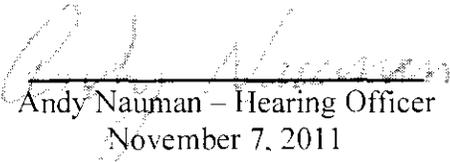
Appeal of Civil Penalty Assessment for Delinquently Filing
The June 2011 Quarterly Report

The June 2011 Quarterly Report was received by the Board on 8/1/11, 11 days late, resulting in a civil penalty assessment of \$275. The total assessment is \$275.

The Respondent was represented by attorney Steven M Ladvzinsky at the October 24 appeal hearing. Also in attendance was Virginia Johnson the treasurer of the Committee.

Mr. Ladvzinsky indicated that the Committee is appealing this fine to clear their record for the future. This organization registered as a not-for-profit with the Secretary of State in June of 2011. This was prior to a bank account being opened and any financial transactions taking place. The Committee listed the date they created with the Secretary of State as the creation date of the Committee on the Statement of Organization. The Committee did not actually reach the \$3,000 filing threshold until 9/22/11. The Committee filed the Statement of Organization on 7/14/11 and has since amended the creation date to 7/12/11 to reflect the date they signed the first Statement of Organization that was filed with the State Board of Elections.

Taking into consideration that the Committee amended the Statement of Organization to reflect a creation date outside of the period covered for the assessed violation and the fact that the Committee would not have qualified as a political committee until 9/22/11 if they would not have filed the Statement of Organization on 7/14/11 I recommend the appeal be granted. (As of 9/30/11, this Committee reported a funds available balance of \$61,582.76.


Andy Nauman – Hearing Officer
November 7, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Committee to Elect Nathaniel R Howse
John K Norris
205 W Wacker Dr, Ste 205
Chicago, IL 60606-1216

ID# 23715

Dear Committee to Elect Nathaniel R Howse:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on August 1, 2011, 11 days late. As such, this committee has been assessed a fine of \$275.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward". The signature is written in a cursive, flowing style.

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

RECEIVED

SEP 13 2011

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS **State Board of Elections**

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee to Elect)
Nathaniel R. House)
Respondent(s)

Case No. 11J0202

APPEAL AFFIDAVIT

I, Virginia Johnson, the Treasurer of the
(Name) (Chairman/Treasurer)
Committee to Elect Nathaniel R. House
(Name of the Committee)

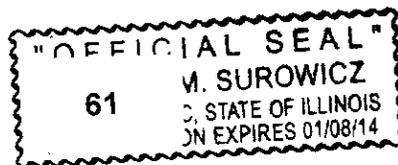
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This committee was established on 7.14.2011; consequently was not in existence during the second quarter. Although documentation may have indicated otherwise, the report for the quarterly campaign contributions was filed, which is why I am requesting this appeal.

Signed and Sworn to by:
VIRGINIA JOHNSON
before me this 12th Day of
SEPTEMBER, 2011

Virginia Johnson
(Signature of Chairman/Treasurer)

Debb H. Swore
Notary Public



STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

11 AJ 016

Sangamon County Democratic Central Committee
Respondent

ID# 317

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,000 contribution on 4/19/11, but failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$500 civil penalty (appealed, granted) for delinquently filing a Schedule A-1 for the 2010 General Election; a \$200 civil penalty (not appealed, paid) for delinquently filing two other Schedule A-1s for the 2010 General Election, and a \$5,000 civil penalty (appealed, granted) for delinquently filing a Schedule A-1 for the 2008 General Election. The total assessment is \$500.

James P. Moody, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Moody states that the A-1 was submitted late because the Committee was unaware of the changes to the Campaign Disclosure Law requiring year-round A-1 reporting for contributions of \$1,000 or more. As a result, he says the Committee Treasurer was not made aware of the contribution until the Committee's bank statement was received.

The Committee should have been aware of the A-1 reporting requirements. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$500 civil penalty will be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$1,470.35.)



Tom Newman – Hearing Officer

November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 1, 2011

Sangamon County Democratic Central Committee
James P. Moody
2000 Greenbriar
Springfield, IL 62704

ID# 317

Dear Sangamon County Democratic Central Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Jim Moody	4/19/2011	\$1000	*	55	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500, (100% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

State of Illinois)
County of Sangamon)

11 SEP 29 PM 12:54

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
SANGAMON COUNTY DEMOCRATIC COMMITTEE)
Respondent(s).)

Case No. 11A Jole

APPEAL AFFIDAVIT

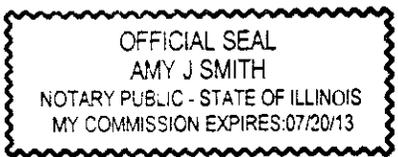
I, JAMES P. MOODY, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
SANGAMON COUNTY DEMOCRATIC CENTRAL COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Schedule A's, Report of Contributions of \$1,010.00 was submitted late because the Illinois Campaign Disclosure Act changed and the Committee was not aware of the new reporting requirement. As a result, the Treasurer was not aware of the contribution until the bank statement was received. This is a first time violation. Respondent, therefore, request the granting of this appeal.

Signed and Sworn to by:
James P. Moody
before me this 29 Day of
September, 2011
Amy J. Smith
Notary Public

James P. Moody, Chairman
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 MA 045

Bureau County Republican Central Committee
Respondent

ID# 331

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the December 2010 Semi-Annual Report and the June 2011 Quarterly Report

The Semi-Annual Report was received by the Board on June 24, 2011, 108 days late, resulting in a civil penalty assessment of \$5,000. The Quarterly Report was received by the Board on August 4, 2011, 14 days late, resulting in a civil penalty assessment of \$350. Additionally, the Committee had previously been assessed a \$250 civil penalty (not appealed, stayed) for delinquently filing the June 2010 Semi-Annual Report, and a \$150 civil penalty (appealed, granted) for delinquently filing the December 2009 Semi-Annual Report. The total assessment is \$5,600.

Michael Wendt, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Wendt states that the IDIS electronic filing software caused the Committee's computer to crash. He says reinstalling the software required the Committee to hire a technical support person, who then had to spend over an hour on the phone with the Board's IT staff in order to fix the problem.

Although the Committee apparently had some difficulty using or installing the IDIS software, I am unaware of any circumstance in which the software itself could have caused the Committee's computer to crash. Additionally, Mr. Wendt's claim fails to explain the lateness of the Committee's Report filings, particularly in the case of the Semi-Annual Report. Finally, since this Committee has previously utilized an electronic filing defense, I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$250 assessment, and the \$5,600 civil penalty will be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$48,98.)



Tom Newman – Hearing Officer

November 8, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Bureau County Republican Central Committee ID# 331
Michael Wendt
807 S Euclid Ave
Princeton, IL 61356

Dear Bureau County Republican Central Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on June 24, 2011, 108 days late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on August 4, 2011, 14day(s) late. As such, this committee has been assessed a fine of \$1050.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.***

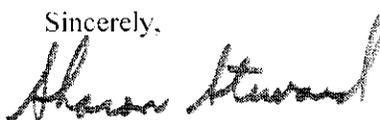
If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through June 30, 2010	Semi-Annual	\$250
<i>TOTAL AMOUNT NOW DUE</i>		\$6300

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward, Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

11 OCT 12 PM 2:56

State of Illinois)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
B.C.R.C.C.)
Respondent(s).)

Case No. 11MA045

APPEAL AFFIDAVIT

I, M. R. WENDT, the Treasurer of the
(Name) (Chairman/Treasurer)
Bureau County Republican Central Comm. TEE
(Name of the Committee)

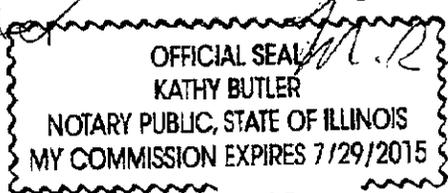
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE IDIS Program Causes our Computer to crash.
RE-INSTALLATION REQUIRED Hiring of a TECH.
OUR TECH SPENT over 1 hour on the phone with
Board of Elections tech during the process.

Signed and Sworn to by:

before me this 13th Day of
October, 2011
Kathy Butler
Notary Public

M. R. Wendt
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 AJ 018

Wood River Township Democratic Precinct Committeemen's Org ID# 374
Respondent

REPORT OF HEARING OFFICER

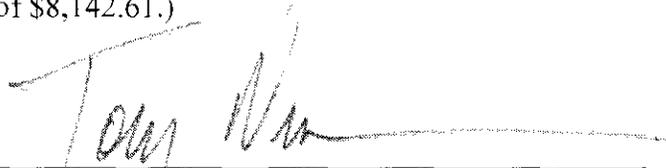
Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

The Committee received a \$1,000 contribution on 5/10/11, a \$1,000 contribution on 5/23/11 and a \$1,000 contribution on 5/26/11, but failed to report these on a Schedule A-1, resulting in a civil penalty assessment of \$1,500.

Phil Little, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Little states simply that he was unaware of the 5 day reporting requirement for a Schedule A-1.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I also recommend the penalty be reduced to 10% of the original assessment, or \$150. If these recommendations are accepted by the Board, the \$150 civil penalty will be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$8,142.61.)



Tom Newman – Hearing Officer

November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

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Jesse R. Smart, Vice Chairman
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Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Wood River Twp Democratic Precinct
Phil Little
444 Mense Dr, Apt 12
Bethalto, IL 62010-1788

ID# 374

Dear Wood River Twp Democratic Precinct:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Iron Workers Local 392	5/23/2011	\$1000	*	29	\$500
DJE LLC	5/26/2011	\$1000	*	26	\$500
Galanti Law Office	5/10/2011	\$1000	*	38	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1500 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$150, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

11 SEP 29 PM 2:56

State of Illinois)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
WOOD RIVER DEMOCRATS)
Respondent(s).)

Case No. 11A J 018

APPEAL AFFIDAVIT

I, Paul J. Wittus, the TREASURER of the
(Name) (Chairman/Treasurer)
WOOD RIVER TOWNSHIP DEMOCRATS
(Name of the Committee)

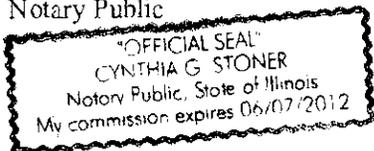
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I WAS UNAWARE OF THE 5 DAY
REPORTING REQUIREMENT.

Signed and Sworn to by
Cynthia G. Stoner
before me this 29th Day of
September 2011

[Signature]
(Signature of Chairman/Treasurer)

Notary Public



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 JQ 211

Alexander County Republican Central Committee
Cmte ID: 4953
Respondent

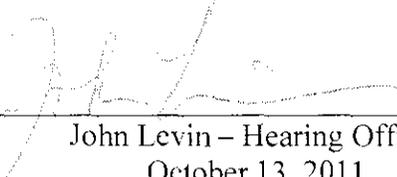
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2011 Quarterly Report

The Report was received on August 2, 2011, 12 days late, resulting in an assessment of \$600. The Committee was previously assessed \$25 for delinquently filing the June 2010 Semi-Annual Report and \$200 (not appealed, expired) for delinquently filing the GP 2002 Pre-Election Report.

Julia Schubert, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Schubert said spring floods that led to the evacuation of Cairo, Illinois, made computer records and data inaccessible and prevented the Committee from receiving advance notice from the Board through the mail.

While I sympathize with the Committee, I recommend the appeal be denied for lack of an adequate defense. The Committee is not required to file its Reports electronically and had no reported transactions during the period. Board staff fulfilled its notification requirement and was available to assist the Committee in filing a Report that would have taken only minutes to complete. If the Board accepts this recommendation, the stay on the \$25 assessment for the delinquent June 2010 Semi-Annual report would be lifted, and a total of \$625 would be due and owing. As of June 30, 2011, the Committee reported cash on hand of \$55.00.



John Levin – Hearing Officer
October 13, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Alexander County Republican Central Committee ID# 4953
Julia Schubert
424 37th St
Cairo, IL 62914

Dear Alexander County Republican Central Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2011 through June 30, 2011
Filing Period: July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on August 2, 2011, 12 days late. As such, this committee has been assessed a fine of \$600.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 1, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through June 30, 2010	Semi-Annual	\$25
TOTAL AMOUNT NOW DUE		\$625

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director, Campaign Disclosure Division

SS: jr

State of Illinois)
)
County of ALEXANDER)

11 SEP 19 PM 12:57

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
ALEXANDER COUNTY REPUBLICAN)
Respondent(s). CENTRAL)
COMMITTEE)

Case No. 11JQ211

APPEAL AFFIDAVIT

I. JULIA SCHUBERT the TREASURER of the
(Name) (Chairman/Treasurer)
ALEXANDER COUNTY REPUBLICAN CENTRAL COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

DURING the months of APRIL & MAY of 2011 CAIRO, IL
WAS EVACUATED AND BECAUSE OF FLOODING & MOVING
AND ALL THE TURMOIL SURROUNDING THESE EVENTS, MY
COMPUTER WITH RECORDS & DATA WAS NOT ACCESSABLE. WE

ALSO DID NOT RECEIVE ANY MAIL FOR OVER A MONTH
CURRENTLY WE ARE IN THE PROCESS OF MOVING AND THINGS
ARE STILL NOT IN PLACE.

before me this 15 Day of
SEPTEMBER, 2011

Julia Schubert
(Signature of Chairman/Treasurer)

Notary Public
CONNIE SIMPSON
Notary Public - Notary Seal
State of Illinois - Alexander County
Commission # 647985
My Commission Expires April 07, 2012

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 JQ 070

Committee for Better City Government
Respondent

ID# 14718

REPORT OF HEARING OFFICER

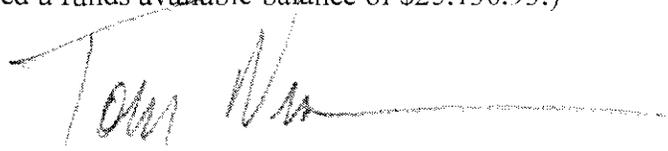
Appeal of Civil Penalty Assessment for Delinquently Filing the June 2011 Quarterly Report

The Report was received by the Board on July 20, 2011, 3 days late, resulting in a civil penalty assessment of \$150.

John H Harris II, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Harris states that he was out of the country on a trip to Germany and Turkey from June 17 through July 17, and all the Committee's records are maintained on his home computer. He says that when he returned home, he filed the Report, albeit three days late.

The Committee was notified of the upcoming Report filing in an email sent on May 27. Given this notice, sent several weeks before Mr. Harris' trip, there should have been sufficient opportunity for him to arrange for the timely filing of the Report. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 9/30/11, this Committee reported a funds available balance of \$25,130.93.)



Tom Newman – Hearing Officer
November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Committee for Better City Government
Richard C Parsons
3505 56th St Pl
Moline, IL 61265

ID# 14718

Dear Committee for Better City Government:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 20, 2011, 3 days late. As such, this committee has been assessed a fine of \$150.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

STATE BOARD OF ELECTIONS
11 SEP 29 AM 9:21

State of Illinois)
County of Rock Island)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
COMMITTEE FOR BETTER)
CITY GOVERNMENT)
Respondent)

Case No. 11JQ 070

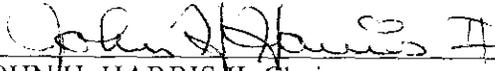
APPEAL AFFIDAVIT

I, JOHN H. HARRIS II, the Chairman of the Committee for Better City Government, first being duly sworn, deposes and states that he represents that the said Committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

As a small political action committee, all of the records of this organization are maintained on the personal computer of its Chairman at his home. The Chairman, John H. Harris II, has undertaken the responsibilities of maintaining the information, preparing the reports, and filing the same with the State Board of Elections.

Mr. Harris was out of the country from June 17, 2011 through July 17, 2011 on a trip to Turkey and Germany. When he returned to Moline, Illinois, where the records were maintained, he prepared and filed the appropriate report on or about July 20, 2011. Given the circumstances,

it is submitted that good cause existed for the failure to timely file such report and it is requested that such civil penalty be abated.

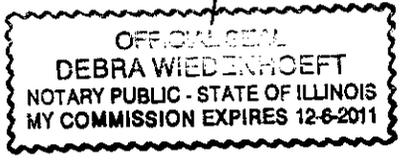


JOHN H. HARRIS II, Chairman

Subscribed and sworn to
before me this 23rd day
of September, 2011.



Notary Public



jas6100.fm

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

11 MA 017

Friends of Ike Carothers 19970
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquent Filing
The June and December 2010 Semi-Annual Reports

The June 2010 Semi-Annual Report was received by the Board on 5/6/11, 199 days late, resulting in a civil penalty assessment of \$4,975 and the December 2010 Semi-Annual Report was received by the Board on 5/6/11, 74 days late, resulting in a civil assessment of \$3,700. The total assessment is \$8,675.

The Respondent was represented by attorney Thomas Jaconetty at the September 16 appeal hearing. Also in attendance was the chairman of the Committee, Bruce Adelman.

Bruce Adelman was the person responsible for filing the reports for the Committee. Mr. Adelman has been an attorney since 1988 and he normally deals with litigation matters. However, he agreed to be an officer for Isaac Carothers because he had worked with Mr. Carothers in the past and he had known him for over 30 years. Mr. Carothers wanted a separate committee and he asked Mr. Adelman if he would create a committee for him and run it. Mr. Adelman had never run a committee in the past, but he agreed to help out Mr. Carothers. The Committee was created in 2006 and they had no issues until 2010. Mr. Adelman indicated that the Committee typically had one fundraiser a year and it wasn't your typical political event, but rather 25 to 30 attorneys and judges getting together. Mr. Adelman stated that the Committee did not raise a lot of money and the only activity in 2010 was that of bank fees for having insufficient funds. Mr. Adelman indicated that the failure to file was a result of his life coming unglued when his brother Jeffery passed away in April of 2009 (Exhibit A, death certificate), because he did not get a heart transplant. Mr. Adelman had lost his parents when he was in his early twenties and when Jeffery passed everything just started to unravel. Mr. Adelman had to deal with the passing of his brother and it really hit him hard. The loss consumed his life and he was going through the motions, but he was in a constant daze. Mr. Adelman did the best he could to help his family and his brother's wife, Carol, in dealing with the situation. Mr. Adelman has dealt with and is still dealing with legal proceedings in relation to his brother's doctor's bills, and his brother's business. Additionally Mr. Adelman and his wife of 18 years separated and he had to move out of the family home. He went through all of this and still maintained an active law practice. These are the circumstances that Mr. Adelman had to deal with and this was the primary reason why the two 2010 Semi-Annual Reports were delinquent filed.

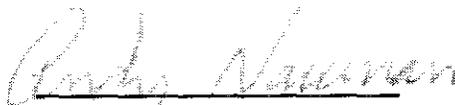
Jeffery, his brother, was a small business man who owned a used car lot with around 30 - 50 cars. The lot was run as a partnership and at the time of Jeffrey's passing nothing was set up in relation to what was to happen with the business. Mr. Adelman tried to step in and help Carol with the dealership. Carol had not worked for some time and she was not in that great of condition herself so Mr. Adelman tried to help as much as he could. Mr. Adelman was living downtown at that time and the dealership was in Algonquin so he was always traveling to spend time with Carol and trying to piece everything together at the dealership.

Complicating the matter was the fact that the business partner had been stealing from the business, and everything was a mess. Things were so bad that they looked into prosecuting the business partner, but Carol decided to let the partner go even though she was in financial restraints. The business was upside down and going under. Vehicles were sold but no money was reported as being received, customers paid for taxes, but the business had not paid the taxes, people had dealer plates that should not have, and these were only a few of the problems they were running into. Mr. Adelman tried to recover some of money that was owed, but the amount was somewhere in the range of \$100,000 – \$300,000. They had some friends who were trying to assist and inform them on what needed to be done, but, Mr. Adelman was trying to deal with this in his free time. He was still working full time as an attorney and emotionally trying to deal with the loss of his brother. This whole situation just consumed his life for he was basically a one man band. The business ended up going into foreclosure (Exhibit B) and the proceedings took place in McHenry County in which Mr. Adelman had to travel. Complicating the matter even more was the fact that his brother's mortgage for his house was with the same financial institution of that of the business and Carol was feeling the financial burden of both. The house ended up in foreclosure as well. To make things worse they could not get out from under the dealership. The Village was not going to allow another dealership to be established at that property location, so they were basically prevented from selling the business. Additionally before Jeffery passed he was in and out of the hospital for a couple of years and several of the medical bills mounted up for his health insurance did not cover everything. One of the medical facilities that was owed money filed a judgment for \$10,000 against Carol and they froze and subsequently ended up taking \$22,000 from her account (Exhibit D). Mr. Adelman tried to defend Carol in these proceedings as well and Carol leaned on him for a lot of support. These proceedings were in Kane County and they took almost a year to resolve. Again, Mr. Adelman was traveling back and forth with this matter as well. So basically the dealership was upside down, they were dealing with multiple litigations in four different counties, and Mr. Adelman was stretched thin. His entire life was coming apart at the seams. He had to withdraw from some hearings at work and he just couldn't keep up with everything. Making things worse was the fact that his wife and he separated after 18 years of marriage a little after his brother died. So he was not only coping with the loss of his brother but he was also dealing with the separation from his wife. During this time he also had to move. Mr. Adelman supplied bank statements (Exhibit E) to show that most of the Committees funds were raised and spent well prior to 2010 where the two delinquent reports came about. He indicated that the account was so low that the bank started charging the account a monthly fee. Exhibits F and G were introduced to show that the Committee has closed out the bank account and filed a Final Report. The Committee has no more funds and Mr. Adelman does not plan on reactivating this Committee or opening up a successor committee.

The Committee requests that the Board take into consideration what Mr. Adelman has gone through and they request that the Board grant the appeals as they have done so in the past when unfortunate family circumstances have been presented to the Board as in 08 AG 018 (brother in law passed away) and 09 DS 235 (breast cancer). Mr. Jaconetty indicated that the assessed amount is more money than the Committee has ever had and the Committee has no money, they already closed the account. They indicated that the Committee simply had the account open one year too long. The candidate did not run in 2010 and the little amount of money that was in the account was simply overlooked while Mr. Adelman tried to deal with his life coming unglued. The Committee has filed a Final Report and they closed the account. Mr. Jaconetty indicated that there was no bad intent, it was inadvertent, and the reports have since been filed. The Respondent asks the Board to use their discretion in granting the appeal and they indicated that this will not happen again.

I can't imagine going through what Mr. Adelman has gone through in relation to dealing with the loss of his brother and separating with his wife at relatively the same time. On top of that dealing with trying to run and save a business, dealing with multiple legal proceedings, and still trying to operate a law practice on top of that, I don't know how he did it. However, the political committee had a responsibility to file the reports and they were not filed in a timely manner. Mr. Adelman was the treasurer of Committee, but it would have been nice to see someone else within the political committee stepping up to help get the

reports filed. Additionally, the Board actually denied the two appeals Mr. Jaconetty cited. The hearing officer recommended the appeals be granted, however General Counsel recommended the appeal be denied and in both matters the Board accepted the recommendation of the General Counsel. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$8,675 will be due and owing. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.



Andy Nauman
Andy Nauman – Hearing Officer

November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Friends of Ike Carothers ID# 19970
Bruce Adelman, Anthony Carothers
33 N LaSalle St, Ste 3300
Chicago, IL 60602

Dear Friends of Ike Carothers:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2010 through June 30, 2010
Filing Period:	July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on May 6, 2011, 199 day(s) late. As such, this committee has been assessed a fine of \$4975.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 6, 2011, 74 day(s) late. As such, this committee has been assessed a fine of \$3700.

State of Illinois)
County of Cook)

CHICAGO

11 JUN 30 AM 10:10

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
FRIENDS of RKE CROTHERS)
Respondent(s).)

Case No. 11 MA 017

APPEAL AFFIDAVIT

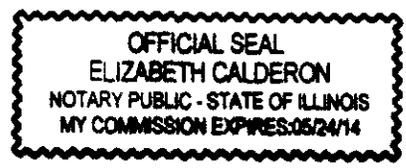
I, Bruce E. Adelman, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
FRIENDS of RKE CROTHERS
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED

Signed and Sworn to by:
Bruce E. Adelman
before me this 30th Day of
June 2011
Elizabeth Calderon
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



11 JUN 30 AM 10: 11

STATE BOARD OF ELECTIONS

June 30, 2011

To Whom It May Concern:

I am the current Chairman for the Friends of Ike Carothers Committee. This committee was created on July 5, 2006.

Prior to the reporting period(s) for the year 2010 I do not believe that any reporting or compliance problems were experienced.

On April 20, 2009 my only brother Jeff passed away at the age of 57 (I myself will turn 55 on July 1st of this year). His death was the first in my nuclear family since losing my mother and father within 11 months of each other in the period 1978-1979. I took his passing very hard and spent the next 2 years living in a state of haze.

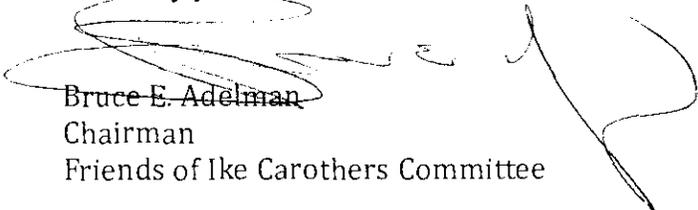
In addition to trying to help Carol (his widow) with the financial and emotional fallout following her husband's death, I was also experiencing the breakdown of my marriage of almost 20 years. In the summer of 2009 I moved out of the marital home and have been living separate and apart from my wife since that time.

All of the above weighed heavily on my mind (and still does) and contributed to my failure to complete my responsibility in a timely manner. The failure to timely file was not done intentionally. My immediate focus and concentration was dealing with my family and my own personal crisis.

As of today's date all the required reports have been filed and the committee has been closed out.

Please consider the above events in the granting of any possible leniency regarding the fine levied.

Sincerely yours,


Bruce E. Adelman
Chairman
Friends of Ike Carothers Committee

CERTIFICATION OF DEATH RECORD

CHICAGO, ILLINOIS MEDICAL CERTIFICATE OF DEATH

STATE FILE NUMBER 2009 0016575 DATE ISSUED 05/04/2009

DECEDENT'S LEGAL NAME JEFFREY A ADELMAN SEX MALE DATE OF DEATH APRIL 20, 2009

COUNTY OF DEATH COOK AGE AT LAST BIRTHDAY 56 YEARS DATE OF BIRTH MARCH 02, 1953

CITY OR TOWN ELGIN HOSPITAL OR OTHER INSTITUTION NAME 95 FORESTVIEW

PLACE OF DEATH DECEDENT'S HOME

BIRTHPLACE SAINT JOSEPH, MI SOCIAL SECURITY NUMBER 365-58-8664 MARITAL STATUS AT TIME OF DEATH MARRIED SURVIVING SPOUSE'S NAME CAROLINE SIMON EVER IN U.S. ARMED FORCES? NO

RESIDENCE 95 FORESTVIEW APT. NO. CITY OR TOWN ELGIN INSIDE CITY LIMITS? YES

COUNTY COOK STATE IL ZIP CODE 60120 FATHER'S NAME HERBERT ADELMAN MOTHER'S NAME PRIOR TO FIRST MARRIAGE ANN RAE GUISE

INFORMANT'S NAME CAROLINE ADELMAN RELATIONSHIP SPOUSE MAILING ADDRESS 95 FORESTVIEW, ELGIN, IL, 60120

METHOD OF DISPOSITION CREMATION PLACE OF DISPOSITION TWIN PINES CREMATORY LOCATION - CITY OR TOWN AND STATE EAST DUNDEE, IL DATE OF DISPOSITION MAY 04, 2009

FUNERAL HOME SALERNO'S ROSEDALE CHAPELS, 450 WEST LAKE STREET, ROSELLE, IL, 60172

FUNERAL DIRECTOR'S NAME JOSEPH G SALERNO FUNERAL DIRECTOR'S ILLINOIS LICENSE NUMBER 034010202

LOCAL REGISTRAR'S NAME DAVID ORR DATE FILED WITH LOCAL REGISTRAR MAY 1, 2009

CAUSE OF DEATH PART I. CONSTRICTIVE PERICARDITIS

IMMEDIATE CAUSE (Final disease or condition resulting in death) MONTHS

a. _____ Due to (or as a consequence of):

b. _____ Due to (or as a consequence of):

c. _____ Due to (or as a consequence of):

Due to (or as a consequence of):

APPROXIMATE INTERVAL BETWEEN ONSET AND DEATH

PART II. Enter other significant conditions contributing to death but not resulting in the underlying cause given in PART I. WAS AN AUTOPSY PERFORMED? NO

WERE AUTOPSY FINDINGS USED TO COMPLETE CAUSE OF DEATH? N/A

OID TOBACCO USE CONTRIBUTED TO DEATH? UNKNOWN FEMALE PREGNANCY STATUS NOT APPLICABLE MANNER OF DEATH NATURAL

DATE OF INJURY _____ TIME OF INJURY _____ PLACE OF INJURY _____ INJURY AT WORK? _____

LOCATION OF INJURY _____

DESCRIBE HDW INJURY OCCURRED: _____ IF TRANSPORTATION INJURY, SPECIFY: _____

ATTEND THE DECEASED? YES DATE LAST SEEN ALIVE _____ WAS MEDICAL EXAMINER OR CORONER CONTACTED? YES DATE PRONOUNCED _____ TIME OF DEATH 07:50 AM

CERTIFIER PHYSICIAN DATE CERTIFIED APRIL 30, 2009

NAME, ADDRESS AND ZIP CODE OF PERSON COMPLETING CAUSE OF DEATH GEPHART, TODD, RT 25 & 72, EAST DUNDEE, ILLINOIS, 60118 PHYSICIAN'S LICENSE NUMBER 036095773

EXHIBIT
CAROTHEES
A



This is to certify that this is a true and correct copy from the official death record filed with Illinois Department of Health.

David Orr
David Orr
Cook County Clerk



IN THE CIRCUIT COURT OF THE 22nd JUDICIAL CIRCUIT
McHENRY COUNTY, ILLINOIS

STATE BANK OF ILLINOIS)	
an Illinois Banking Institution,)	
)	
Plaintiff,)	
vs.)	Case No. 2010 CH 3153
)	
THE ESTATE OF JEFFREY A.)	
ADELMAN, CAROLINE A. ADELMAN,)	
AUTO VILLAGE, INC., UNKNOWN)	
OWNERS AND NON-RECORD)	
CLAIMANTS,)	
)	
Defendants.)	

**MOTION TO APPOINT PERSONAL REPRESENTATIVE FOR THE
ESTATE OF JEFFREY A. ADELMAN**

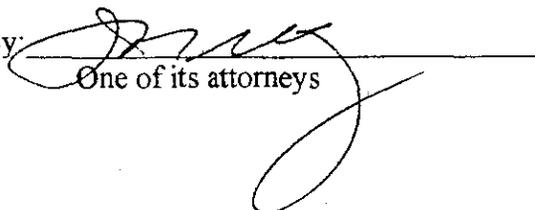
Now comes Plaintiff, STATE BANK OF ILLINOIS, by its attorneys, Konewko & Assoc., Ltd., and moves this Court for the appointment of a special representative for the Estate of Jeffrey A. Adelman, and in support thereof, states as follows:

1. This matter is a foreclosure action, for commercial property located in the Village of Algonquin, County of McHenry and State of Illinois.
2. The individual named Defendants are JEFFREY A. ADELMAN and CAROLINE ADELMAN, husband and wife, as the mortgagees on the underlying mortgage in this matter.
3. The last known residential address for the individually named Defendants JEFFREY A. ADELMAN and CAROLINE ADELMAN was 95 Forestview Drive, City of Elgin, County of Cook and State of Illinois.
4. JEFFREY A. ADELMAN died on or about April 20, 2009, with his Will filed with the Clerk of the Court for the Circuit Court of Cook County, Illinois, on or about May 19, 2009.
5. As of the date of this motion, no estate has been opened in the name of JEFFREY A. ADELMAN in Cook County, Illinois.

6. Pursuant to the decision of the Illinois Supreme Court in ABM AMRO Mortgage Group v. McGahan, 237 Ill.2d 526, 2010 WL 2222126 (Ill Sup Ct.), the trial court in a foreclosure action may appoint a personal representative for the decedent for purposes of service upon said personal representative in situations where no estate has been opened for the decedent.

WHEREFORE, Plaintiff STATE BANK OF ILLINOIS requests this Honorable Court enter its Order appointing the wife of the decedent, CAROLINE ADELMAN, as the personal representative for the decedent, JEFFREY A. ADELMAN, with summons to issue, and for such other and further relief as this Court deems just and equitable.

STATE BANK OF ILLINOIS

By: 

One of its attorneys

Konewko & Assoc., Ltd.
Attorneys for Plaintiff
29W204 Roosevelt Road
West Chicago, IL 60185
(630) 231-5500
Attorney No. 38134



501 7th Street
Rockford, IL 61104

August 5, 2010

Caroline A Adelman
POD Amanda R Cuellar
95 Forestview Dr
Elgin IL 60120-7560

Dear Caroline Adelman:

We have been served with a Citation to Discover Assets, a copy of which is enclosed for your records.

Accordingly, we have debited your deposit account (s) in the amount of \$22,101.60. We are required to withhold twice the amount of the judgment. We have placed these funds, less our non-refundable \$100.00 Legal Service Fee, in a segregated account, pending the outcome of the litigation.

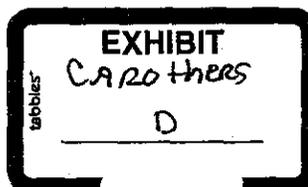
Should you have any questions regarding this matter, please feel free to call the Attorney listed on the attached enclosure.

As we are not party to the attached action, this is all of the information that we have.

Sincerely,

Service Representative II

Enclosure



Page 1
Statement Date 8-31-07
Account # [REDACTED]
MAIL STATEMENT
Image Statement

1

MDG2005 00005818 1 AV 0.312



FRIENDS OF IKE CAROTHERS
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005818

Now your everyday expenses come with rewards!

Through MB's My Bank My Rewards Program, the more you use your MB Debit MasterCard or MB Business Debit MasterCard, the more points you'll earn toward valuable rewards!

For complete details, go to www.mybankmyrewards.com.

400001 127 8 REGULAR DEMAND ACCOUNT

Previous Balance	7-31-07	2,637.50
+Deposits/Credits	1	4,050.00
-Checks/Debits		100.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		6,587.50

-----CHECKS PAID-----

No.	Date	Amount	No.	Date	Amount
106	8-13	100.00			

-----DESCRIPTIVE TRANSACTIONS-----

Date	Tracer	Description	Amount
8-20	1111111	CUSTOMER DEPOSIT	4050.00

-----DAILY BALANCE SUMMARY-----

Date	Balance	Date	Balance	Date	Balance
7-31	2637.50	8-13	2537.50	8-20	6587.50



MDG2005 00005858 1 AV 0.293



FRIENDS OF IKE CAROTHERS
33 N LA SALLE ST STE 3300
CHICAGO IL 60602-3395

005858

To you and yours

Happy Holidays! Our MB Financial Bank family extends our wishes to you for health, happiness and prosperity during this holiday season and in the new year.

400001 127 8 REGULAR DEMAND ACCDUNT

Previous Balance	11-30-06	3,980.00
+Deposits/Credits	1	1,500.00
-Checks/Debits		.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		5,480.00

* - - - - - DESCRIPTIVE TRANSACTIONS - - - - - *

Date	Tracer	Description	Amount
12-26	1111111	CUSTOMER DEPOSIT	1500.00

* - - - - - DAILY BALANCE SUMMARY - - - - - *

Date	Balance	Date	Balance	Date	Balance
11-30	3980.00	12-26	5480.00		



**

Page 1
Statement Date 12-31-07
Account # [REDACTED]
MAIL STATEMENT
Image Statement

MDG2005 00005799 1 AV 0.312



FRIENDS OF IKE CAROTHERS
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005799

Season's greetings!
We'd like to thank you for your business this year, and we
look forward to continuing to serve you throughout 2008!

400001 127 8 REGULAR DEMAND ACCOUNT

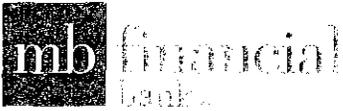
Previous Balance	11-30-D7	3,525.43
+Deposits/Credits	0	.00
-Checks/Debits		.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		3,525.43

**

-----DAILY BALANCE SUMMARY-----

Date	Balance	Date	Balance	Date	Balance
11-30	3525.43				





www.mbfinancial.com

STATEMENT

Page 1
Statement Date 12-31-08
Account # [REDACTED]
MAIL STATEMENT
Image Statement

MDG2005 00005568 1 AV 0.324



FRIENDS OF IKE CAROTHERS
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005568

For nearly 100 years, MB has successfully withstood business cycle downturns, and we are well-positioned to successfully navigate this one. MB is profitable and well capitalized, and we are committed to the communities we serve. We thank you for your continued confidence and business, and we assure you that your choice to bank with us is a sound one.

Find the perfect holiday gift for anyone on your list. MB gift cards are now available at your local MB banking center and can be used anywhere MasterCard is accepted - worldwide! For a complete listing of MB Financial Bank locations, visit www.mbfinancial.com.

Enclosed is MB Financial Bank's Privacy and Affiliate Marketing Notice.

400001 127 B REGULAR DEMAND ACCOUNT

Previous Balance	11-30-08	2,385.50
+Deposits/Credits	0	.00
-Checks/Debits		.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		2,385.50

-----DAILY BALANCE SUMMARY-----

Date	Balance	Date	Balance	Date	Balance
11-30	2385.50				



**



MDG2005 00005526 2 AV 0.460



FRIENDS OF IKE CAROTHERS
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005526

MB recently acquired the loans and deposits of Oak Forest-based InBank and the deposits of Chicago-based Corus Bank. Both transactions were facilitated by the Federal Deposit Insurance Corporation (FDIC). To learn more, visit our website at www.mbfincial.com.

400001 127 8 BUSINESS CHECKING

Previous Balance	9-30-09	673.00
+Deposits/Credits	0	.00
-Checks/Debits		.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		673.00

**

-----DAILY BALANCE SUMMARY-----
Date Balance Date Balance Date Balance
9-30 673.00

*Beginning November 2009 a monthly
service charge of \$15.00 was being
deducted.*

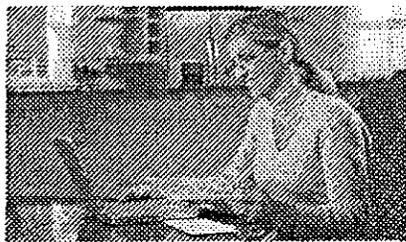


MDG2005 0002847 1 AV 0335 09231S

 FRIENDS OF IKE CAROTHERS
 33 N LA SALLE ST SUITE 3300
 CHICAGO IL 60602-3395

002847

Bank anywhere, anytime.



www.ibankmb.com
Member FDIC

Account Information

<u>Account Type</u>	<u>Account Number</u>	<u>Ending Balance</u>
BUSINESS CHECKING	xxxxxx1278	463.00

Account Summary

Beginning Balance:		478.00
Deposits:		0.00
ACH Credits:		0.00
Wire Credits:		0.00
Sweep/Internal Transfer Credits:		0.00
Miscellaneous Credits:		0.00
Checks:		0.00
ACH Debits:		0.00
Wire Debits:		0.00
Sweep/Internal Transfer Debits:		0.00
Miscellaneous Debits:	1	15.00
Ending Balance:		463.00

Account Activity

<u>Date</u>	<u>Reference #</u>	<u>Description</u>	<u>Amount</u>	<u>Balance</u>
12/31	0000999	MONTHLY SERVICE CHRG	-15.00	463.00
Ending Balance				463.00

Daily Balance Summary

<u>Date</u>	<u>Balance</u>	<u>Date</u>	<u>Balance</u>	<u>Date</u>	<u>Balance</u>
12/31	463.00				

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11 MA 020

Committee to Elect Anita Rivkin Carothers

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
The June and December 2010 Semi-Annual Reports

The June 2010 Semi-Annual Report was received by the Board on 5/9/11, 200 days late, resulting in a civil penalty assessment of \$5,000 and the December 2010 Semi-Annual Report was received by the Board on 5/9/11, 75 days late, resulting in a civil assessment of \$5,000. In addition, this Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing the June 2009 Semi-Annual Report. The total assessment is \$10,050.

The Respondent was represented by attorney Thomas Jaconetty at the September 16 appeal hearing. Also in attendance was the chairman of the Committee, Bruce Adelman.

Bruce Adelman was the person responsible for filing the reports for the Committee. Mr. Adelman has been an attorney since 1988 and he normally deals with litigation matters. However, he agreed to be an officer for Anita Rivkin-Carothers because he had known her for around 25 years and she was almost a member of the family. Mr. Adelman met Anita Rivkin-Carothers while he was in law school and he has also shared office space with her. Ms. Rivkin-Carothers asked Mr. Adelman to be her treasurer because she wanted someone she trusted and personally knew to be responsible for her filings. The Committee was created in 2008 and Mr. Adelman filed the reports timely until his brother suddenly passed away. Mr. Adelman indicated that the Committee had lots of activity in 2008 and after Ms. Rivkin-Carothers won the election that year the Committee had very little activity. Mr. Adelman indicated that the failure to file was a result of his life coming unglued when his brother Jeffery passed away in April of 2009 (Exhibit A, death certificate), because he did not get a heart transplant. Mr. Adelman had lost his parents when he was in his early twenties and when Jeffery passed everything just started to unravel. Mr. Adelman had to deal with the passing of his brother and it really hit him hard. The loss consumed his life and he was going through the motions, but he was in a constant daze. Mr. Adelman did the best he could to help his family and his brother's wife, Carol, in dealing with the situation. Mr. Adelman has dealt with and is still dealing with legal proceedings in relation to his brother's doctor's bills, and his brother's business. Additionally Mr. Adelman and his wife of 18 years separated and he had to move out of the family home. He went through all of this and still maintained an active law practice. These are the circumstances that Mr. Adelman had to deal with and this was the primary reason why the two 2010 Semi-Annual Reports were delinquently filed.

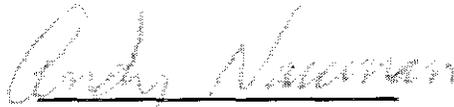
Jeffery, his brother, was a small business man who owned a used car lot with around 30 - 50 cars. The lot was run as a partnership and at the time of Jeffrey's passing nothing was set up in relation to what was to happen with the business. Mr. Adelman tried to step in and help Carol with the dealership. Carol had not worked for some time and she was not in that great of condition herself so Mr. Adelman tried to help as

much as he could. Mr. Adelman was living downtown at that time and the dealership was in Algonquin so he was always traveling to spend time with Carol and trying to piece everything together at the dealership. Complicating the matter was the fact that the business partner had been stealing from the business, and everything was a mess. Things were so bad that they looked into prosecuting the business partner, but Carol decided to let the partner go even though she was in financial restraints. The business was upside down and going under. Vehicles were sold but the partner took the money, customers paid for taxes, but the business had not paid the taxes, people had dealer plates that should not have, and these were only a few of the problems they were running into. Mr. Adelman tried to recover some of money that was owed, but the amount was somewhere in the range of \$100,000 – \$300,000. They had some friends who were trying to assist and inform them on what needed to be done, but, Mr. Adelman was trying to deal with this in his free time. He was still working full time as an attorney and emotionally trying to deal with the loss of his brother. This whole situation just consumed his life for he was basically a one man band. The business ended up going into foreclosure (Exhibit B) and the proceedings took place in McHenry County in which Mr. Adelman had to travel. Complicating the matter even more was the fact that his brother's mortgage for his house was with the same financial institution of that of the business and Carol was feeling the financial burden of both. The house ended up in foreclosure as well. To make things worse they could not get out from under the dealership. The Village was not going to allow another dealership to be established at that property location, so they were basically prevented from selling the business. Additionally before Jeffery passed he was in and out of the hospital for a couple of years and several of the medical bills mounted up for his health insurance did not cover everything. One of the medical facilities that was owed money filed a judgment for \$10,000 against Carol and they froze and subsequently ended up taking \$22,000 from her account (Exhibit D). Mr. Adelman tried to defend Carol in these proceedings as well and Carol leaned on him for a lot of support. These proceedings were in Kane County and they took almost a year to resolve. Again, Mr. Adelman was traveling back and forth with this matter as well. So basically the dealership was upside down, they were dealing with multiple litigations in four different counties, and Mr. Adelman was stretched thin. His entire life was coming apart at the seams. He had to withdraw from some hearings at work and he just couldn't keep up with everything. Making things worse was the fact that his wife and he separated after 18 years of marriage a little after his brother died. So he was not only coping with the loss of his brother but he was also dealing with the separation from his wife. During this time he also had to move. Mr. Adelman supplied a bank statement (Exhibit E) to show that the bulk of the receipts for the Committee were raised in January of 2008. Mr. Adelman also supplied a copy of the December 2009 Semi-Annual Report (Exhibits F) to show that the Committee had very little activity after she was elected and that the Committee only had \$3,217.50 at the close of the period. Mr. Jaconetty introduced Exhibit G which was a print out from the Board's website showing that the Committee filed a Final Report on 5/10/11.

The Committee requests that the Board take into consideration what Mr. Adelman has gone through and they request that the Board grant the appeals as they have done so in the past when unfortunate family circumstances have been presented to the Board as in 08 AG 018 (brother in law passed away) and 09 DS 235 (breast cancer). Mr. Jaconetty indicated that the assessed amount is very large considering the Committee has already closed the account and they had very little activity during the periods. They indicated that the Committee simply had the account open one year too long. The candidate has not run for re-election and the account was simply overlooked while Mr. Adelman tried to deal with his life coming unglued. The Committee has filed a Final Report and they closed the account. Mr. Jaconetty indicated that there was no bad intent, this was inadvertent, and the reports have since been filed. The Respondent asks the Board to use their discretion in granting the appeal and they indicated that this will not happen again.

I can't imagine going through what Mr. Adelman has gone through in relation to dealing with the loss of his brother and separating with his wife at relatively the same time. On top of that dealing with trying to run and save a business, dealing with multiple legal proceedings, and still trying to operate a law practice on top of that, I don't know how he did it. However, the political committee had a responsibility to file

the reports and they were not filed in a timely manner. Mr. Adelman was the chairman of Committee, but it would have been nice to see someone else within the political committee stepping up to help get the reports filed. Additionally, the Board actually denied the two appeals Mr. Jaconetty cited. The hearing officer recommended the appeals be granted, however General Counsel recommended the appeal be denied and in both matters the Board accepted the recommendation of the General Counsel. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$10,050 will be due and owing. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.



Andy Nauman
Andy Nauman – Hearing Officer
November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Committee to Elect Anita Rivkin Carothers ID# 21035
Anthony Carothers, Bruce Adelman
33 N LaSalle St, Ste 300
Chicago, IL 60602

Dear Committee to Elect Anita Rivkin Carothers:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: January 1, 2010 through June 30, 2010
Filing Period: July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on May 9, 2011, 200 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: Semiannual Report of Campaign Contributions and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 9, 2011, 75 day(s) late. As such, this committee has been assessed a fine of \$5000.

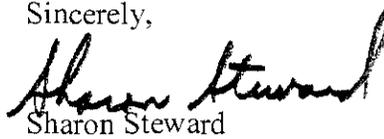
Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1 through December 31, 2009	Semi-Annual <i>10 DS/69</i>	\$50
TOTAL AMOUNT NOW DUE		\$5050

If you have questions regarding the appeal process, please call Jenny Ronimous at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of COOK)

CHICAGO

11 JUN 30 AM 10:11

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
COMMITTEE TO ELECT ANITA RINKIN-CAROTHERS)
Respondent(s).)

Case No. 11 MA020

APPEAL AFFIDAVIT

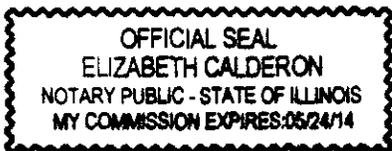
I, Bruce E. Adelman, the Treasurer of the
(Name) (Chairman/Treasurer)
COMMITTEE TO ELECT ANITA RINKIN-CAROTHERS
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED

Signed and Sworn to by:
Bruce E. Adelman
before me this 30th Day of
June, 2011
Elizabeth Calderon
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



CHICAGO

11 JUN 30 AM 10: 11

STATE BOARD OF ELECTIONS

Date: June 30, 2011

I am retaining Counsel, who will be filing Appearances in both forgoing matters. Please schedule hearings at the same time.

Thank You,
Bruce E. Adelman

A handwritten signature in black ink, appearing to read "B. A.", with a horizontal flourish underneath.

CERTIFICATION OF DEATH RECORD

CHICAGO, ILLINOIS MEDICAL CERTIFICATE OF DEATH

TATE FILE NUMBER 2009 0016575

DATE ISSUED 05/04/2009

DECEDENT'S LEGAL NAME
JEFFREY A ADELMAN

SEX
MALE

DATE OF DEATH
APRIL 20, 2009

COUNTY OF DEATH
COOK

AGE AT LAST BIRTHDAY
56 YEARS

DATE OF BIRTH
MARCH 02, 1953

CITY OR TOWN
ELGIN

HOSPITAL OR OTHER INSTITUTION NAME
95 FORESTVIEW

PLACE OF DEATH
DECEDENT'S HOME

BIRTHPLACE
SAINT JOSEPH, MI

SOCIAL SECURITY NUMBER
365-58-8664

MARITAL STATUS AT TIME OF DEATH
MARRIED

SURVIVING SPOUSE'S NAME
CAROLINE SIMON

EVER IN U.S. ARMED FORCES?
NO

RESIDENCE
95 FORESTVIEW

APT. NO.

CITY OR TOWN
ELGIN

INSIDE CITY LIMITS?
YES

COUNTY
COOK

STATE
IL

ZIP CODE
60120

FATHER'S NAME
HERBERT ADELMAN

MOTHER'S NAME PRIOR TO FIRST MARRIAGE
ANN RAE GUISE

INFORMANT'S NAME
CAROLINE ADELMAN

RELATIONSHIP
SPOUSE

MAILING ADDRESS
95 FORESTVIEW, ELGIN, IL, 60120

METHOD OF DISPOSITION
CREMATION

PLACE OF DISPOSITION
TWIN PINES CREMATORY

LOCATION - CITY OR TOWN AND STATE
EAST DUNDEE, IL

DATE OF DISPOSITION
MAY 04, 2009

FUNERAL HOME
SALERNO'S ROSEDALE CHAPELS, 450 WEST LAKE STREET, ROSELLE, IL, 60172

FUNERAL DIRECTOR'S NAME
JOSEPH G SALERNO

FUNERAL DIRECTOR'S ILLINOIS LICENSE NUMBER
034010202

LOCAL REGISTRAR'S NAME
DAVID ORR

DATE FILED WITH LOCAL REGISTRAR
MAY 1, 2009

CAUSE OF DEATH PART I. CONSTRICTIVE PERICARDITIS

IMMEDIATE CAUSE (Final disease or condition resulting in death)

a. _____ Due to (or as a consequence of): _____ MONTHS

b. _____ Due to (or as a consequence of): _____

c. _____ Due to (or as a consequence of): _____

APPROXIMATE INTERVAL BETWEEN ONSET AND DEATH

PART II. Enter other significant conditions contributing to death but not resulting in the underlying cause given in PART I.

WAS AN AUTOPSY PERFORMED? NO

WERE AUTOPSY FINDINGS USED TO COMPLETE CAUSE OF DEATH? N/A

DID TOBACCO USE CONTRIBUTE TO DEATH? UNKNOWN

FEMALE PREGNANCY STATUS NOT APPLICABLE

MANNER OF DEATH NATURAL

DATE OF INJURY

TIME OF INJURY

PLACE OF INJURY

INJURY AT WORK?

LOCATION OF INJURY

DESCRIBE HOW INJURY OCCURRED:

IF TRANSPORTATION INJURY, SPECIFY:

ATTEND THE DECEASED? YES

DATE LAST SEEN ALIVE

WAS MEDICAL EXAMINER OR CORONER CONTACTED? YES

DATE PRONOUNCED

TIME OF DEATH 07:50 AM

CERTIFIER PHYSICIAN

DATE CERTIFIED APRIL 30, 2009

NAME, ADDRESS AND ZIP CODE OF PERSON COMPLETING CAUSE OF DEATH
GEPHART, TODD, RT 25 & 72, EAST DUNDEE, ILLINOIS

PHYSICIAN'S LICENSE NUMBER
036095773

EXHIBIT
RINKIN-CARROLLS
A

This is to certify that the copy from the official death record filed with Illinois Department of Health.



David Orr
David Orr
Cook County Clerk

IN THE CIRCUIT COURT OF THE 22nd JUDICIAL CIRCUIT
McHENRY COUNTY, ILLINOIS

STATE BANK OF ILLINOIS)
an Illinois Banking Institution,)
)
Plaintiff,)
vs.)
)
THE ESTATE OF JEFFREY A.)
ADELMAN, CAROLINE A. ADELMAN,)
AUTO VILLAGE, INC., UNKNOWN)
OWNERS AND NON-RECORD)
CLAIMANTS,)
)
Defendants.)

Case No. 2010 CH 3153

NOTICE OF MOTION AND PROOF OF SERVICE

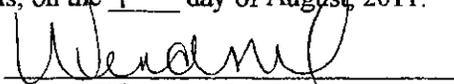
To: See Attached Service List

On August 22, 2011 at 10:00 AM, I shall appear before the Honorable Judge Michael J. Sullivan, at the McHenry County Courthouse, 2200 North Seminary Avenue, Woodstock, IL 60098-2637, in courtroom 358, or in his absence, before any other Judge that may be Presiding, and shall then and there present the attached Motion to Appoint Personal Representative for the Estate of Jeffrey A. Adelman.

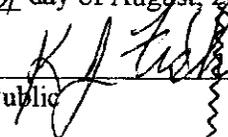
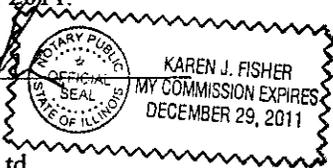

James P. Mullally

PROOF OF SERVICE

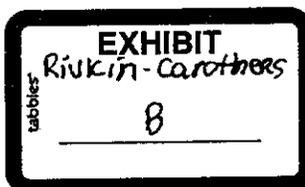
The undersigned, being first duly sworn, on oath deposes and states the he/she served a copy of the forgoing Notice and attached documents upon the persons to whom the Notice is directed by placing a copy of same in an envelope correctly addressed and bearing sufficient first-class postage prepaid and depositing same in the U.S. Mail at West Chicago, Illinois, on the 1st day of August, 2011.


Wende McLeod

SUBSCRIBED and SWORN to before me
this 1st day of August, 2011.


Notary Public 

Konewko & Associates, Ltd.
29W204 Roosevelt Road
West Chicago, Illinois 60185
(630) 231-5500
Attorney No. 6291674



IN THE CIRCUIT COURT OF THE 22nd JUDICIAL CIRCUIT
McHENRY COUNTY, ILLINOIS

STATE BANK OF ILLINOIS)
an Illinois Banking Institution,)
)
Plaintiff,)
vs.)
)
THE ESTATE OF JEFFREY A.)
ADELMAN, CAROLINE A. ADELMAN,)
AUTO VILLAGE, INC., UNKNOWN)
OWNERS AND NON-RECORD)
CLAIMANTS,)
)
Defendants.)

Case No. 2010 CH 3153

**MOTION TO APPOINT PERSONAL REPRESENTATIVE FOR THE
ESTATE OF JEFFREY A. ADELMAN**

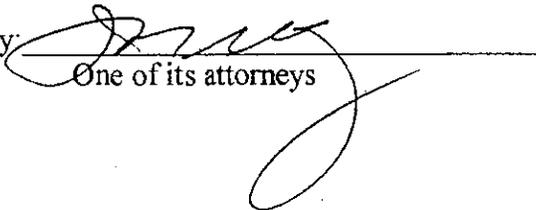
Now comes Plaintiff, STATE BANK OF ILLINOIS, by its attorneys, Konewko & Assoc., Ltd., and moves this Court for the appointment of a special representative for the Estate of Jeffrey A. Adelman, and in support thereof, states as follows:

1. This matter is a foreclosure action, for commercial property located in the Village of Algonquin, County of McHenry and State of Illinois.
2. The individual named Defendants are JEFFREY A. ADELMAN and CAROLINE ADELMAN, husband and wife, as the mortgagees on the underlying mortgage in this matter.
3. The last known residential address for the individually named Defendants JEFFREY A. ADELMAN and CAROLINE ADELMAN was 95 Forestview Drive, City of Elgin, County of Cook and State of Illinois.
4. JEFFREY A. ADELMAN died on or about April 20, 2009, with his Will filed with the Clerk of the Court for the Circuit Court of Cook County, Illinois, on or about May 19, 2009.
5. As of the date of this motion, no estate has been opened in the name of JEFFREY A. ADELMAN in Cook County, Illinois.

6. Pursuant to the decision of the Illinois Supreme Court in ABM AMRO Mortgage Group v. McGahan, 237 Ill.2d 526, 2010 WL 2222126 (Ill Sup Ct.), the trial court in a foreclosure action may appoint a personal representative for the decedent for purposes of service upon said personal representative in situations where no estate has been opened for the decedent.

WHEREFORE, Plaintiff STATE BANK OF ILLINOIS requests this Honorable Court enter its Order appointing the wife of the decedent, CAROLINE ADELMAN, as the personal representative for the decedent, JEFFREY A. ADELMAN, with summons to issue, and for such other and further relief as this Court deems just and equitable.

STATE BANK OF ILLINOIS

By: 

One of its attorneys

Konewko & Assoc., Ltd.
Attorneys for Plaintiff
29W204 Roosevelt Road
West Chicago, IL 60185
(630) 231-5500
Attorney No. 38134



501 7th Street
Rockford, IL 61104

August 5, 2010

Caroline A Adelman
POD Amanda R Cuellar
95 Forestview Dr
Elgin IL 60120-7560

Dear Caroline Adelman:

We have been served with a Citation to Discover Assets, a copy of which is enclosed for your records.

Accordingly, we have debited your deposit account (s) in the amount of \$22,101.60. We are required to withhold twice the amount of the judgment. We have placed these funds, less our non-refundable \$100.00 Legal Service Fee, in a segregated account, pending the outcome of the litigation.

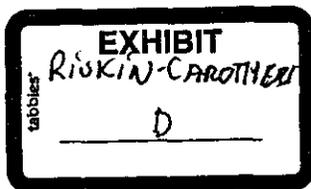
Should you have any questions regarding this matter, please feel free to call the Attorney listed on the attached enclosure.

As we are not party to the attached action, this is all of the information that we have.

Sincerely,

Service Representative II

Enclosure



Case No. 2010 SC 392

Hearing Date 08/26/2010

THIRD PARTY RESPONDENT ANSWER TO CITATION PROCEEDINGS

AMCORE Bank now Harris, certifies under penalty of perjury that with regard to the property of the judgment (Citation Respondent)

debtor, the Citation Respondent files the following answers to this Citation to Discover Assets and is in possession of the following property of the judgment debtor.

Circle one or more of the following and indicate the amount held:

- A) Savings Account (Amount withheld) \$ _____
- B) Checking and/or Now Account (Amount withheld) \$ 22,101.60
- C) Certificate of Deposit (Amount held) \$ _____
- D) Money Market Account (Amount held) \$ _____
- E) Trust Account (Amount held) \$ _____
- F) Safety Deposit Box \$ _____
- G) No Accounts _____
- H) Adverse Claimant: Name _____ Address _____
- I) Wages, Salary or Commissions _____
- J) Other Personal Property (Describe) _____

Attach a sheet for any additional information required by the Citation

Sub-Total \$22,101.60

Less right of offset for other loans \$0.00

Less deduction for fees limited by 205 ILCS 5/48.1 \$100.00

Total \$22,000.60

According to the business records kept by the Citation Respondent, we show the above information to be correct.

Chanh Phasouk-Lewis
Agent for Citation Respondent

Respondent/Agent:

8/06/2010

Date

Agent Name Chanh Phasouk-Lewis
 Business Name AMCORE Bank now Harris
 Address 501 7th Street
Rockford, IL 61104
 Phone 815-967-4377
 Fax 815-966-7631

NOTE: A copy of this Answer should be mailed to the Court, Attorney for Plaintiff or Judgment Creditor and the Defendant

3

MDG2005 00005811 2 AV 0.437



COMMITTEE TO ELECT ANITA RIVKINCAROTHER
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005811

Now your everyday expenses come with rewards!
Through MB's My Bank My Rewards Program,
the more you use your MB Debit MasterCard or
Business Debit MasterCard, the more points
you'll earn toward valuable rewards!

For complete details, go to www.mybankmyrewards.com.

MASTERCARD is a registered trademark of MasterCard International Incorporated.

400001 368 4 REGULAR DEMAND ACCOUNT

Previous Balance	12-31-07	2,000.00
+Deposits/Credits	5	46,000.00
-Checks/Debits		37,730.00 ✓
-Service Charge		.00
+Interest Paid		.00
Current Balance		10,270.00

* - - - - -CHECKS PAID- - - - - *

No.	Date	Amount	No.	Date	Amount
*	1-22	13650.00	*	1-08	1955.00
*	1-17	6125.00			

* - - - - -DESCRIPTIVE TRANSACTIONS- - - - - *

Date	Tracer	Description	Amount
1-07	1111111	CUSTOMER DEPOSIT	26000.00
1-15	1111111	CUSTOMER DEPOSIT	9700.00
1-18	1111111	CUSTOMER DEPOSIT	1000.00
1-18	1111111	DEBIT MEMO	16000.00-
1-28	1111111	CUSTOMER DEPOSIT	3300.00
1-29	1111111	CUSTOMER DEPOSIT	6000.00

* - - - - -DAILY BALANCE SUMMARY- - - - - *

Date	Balance	Date	Balance	Date	Balance
12-31	2000.00	1-07	28000.00	1-08	26045.00
1-15	35745.00	1-17	29620.00	1-18	14620.00
1-22	970.00	1-28	4270.00	1-29	10270.00





Page 1
Statement Date 12-31-08
Account # [REDACTED]
MAIL STATEMENT
Image Statement

MDG2005 00005578 1 AV 0.324



COMMITTEE TO ELECT ANITA RIVKINCAROTHER
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005578

For nearly 100 years, MB has successfully withstood business cycle downturns, and we are well-positioned to successfully navigate this one. MB is profitable and well capitalized, and we are committed to the communities we serve. We thank you for your continued confidence and business, and we assure you that your choice to bank with us is a sound one.

Find the perfect holiday gift for anyone on your list. MB gift cards are now available at your local MB banking center and can be used anywhere MasterCard is accepted - worldwide! For a complete listing of MB Financial Bank locations, visit www.mbfinancial.com.

Enclosed is MB Financial Bank's Privacy and Affiliate Marketing Notice.

400001 368 4 REGULAR DEMAND ACCOUNT

Previous Balance	11-30-08	5,017.50
+Deposits/Credits	0	.00
-Checks/Debits		.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		5,017.50

* - - - - - DAILY BALANCE SUMMARY - - - - - *

Date	Balance	Date	Balance	Date	Balance
11-30	5017.50				



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

11 AJ 064

Zalewski for State Representative 21222
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2nd Quarter of 2011

This Committee received five contributions of \$1,000 on 5/23/11 and three contributions of \$1,000 on 6/1/11 and listed these contributions on a Schedule A-1 filed on 6/14/11. Additionally, the Committee had previously been assessed a \$75 civil penalty (not appealed, stayed) for failing to file a Schedule A-1 for the 2010 General Primary. The total assessment is \$4,075.

The Respondent was represented by attorney Michael Kasper at the October 31 appeal hearing.

Michael Kasper indicated that all 8 contributions were refunded and the Committee's reports show that they have been refunded (Schedules attached). Mr. Kasper believes that since the assessments are based on a percentage of the contribution and the contributions were returned the Committee should not be penalized. The Committee has imposed a 100% fine or \$8,000 by giving back the money and that is greater than the assessed amount of \$4,000 that would have been reduced to \$2,000 (applying the standard 50% reduction for 2nd time Schedule A-1 violators). Mr. Kasper indicated it would be unfair to assess this Committee when they self imposed a greater penalty amount.

The Committee returned six of the \$1,000 contributions on 6/14/11, the day they filed the Schedule A-1, and returned the other two \$1,000 contributions on 7/21/11. The Statute does not provide a way to dispose of a contribution to avoid a Schedule A-1 violation. The Statute only provides a means to donate a contribution to a charity or return a contribution to the contributor in relation to contribution limit violations. Furthermore, if the Board were to consider contributions that are returned within a very short time frame as they did in SBE v Friends of Harry Osterman 11 MA 025 I would like to inform the Board that in my opinion these contributions were not immediately returned. Two of the contributions were returned 13 days after they were received, four of the contributions were returned 22 days after they were received, one of the contributions was returned 50 days after it was received and the last one was returned 59 days after it was received. I believe the Committee may have had good intentions on returning the contributions, but I recommend the appeal be denied for lack of an adequate defense.

Additionally, it appears that all of the original contributors except for the Construction and General Laborers Dist. Co. of Chi & Vic. Lab. PAC issued a second check for the same amount back to the Committee within a month of the contributions being returned to the contributor.

Associated Beer Distributors Of Illinois :PAC	5/23/11	Date Committee received Contribution
	6/14/11	Date Committee returned the Contribution
	6/24/11	Date a second contribution for the same amount was received

Chicago Fed of Labor & IUC Political Fund	5/23/11	Date Committee received Contribution
	7/21/11	Date Committee returned the Contribution
	8/9/11	Date a second contribution for the same amount was received
Illinois Pipe Trades PAC Account	6/1/11	Date Committee received Contribution
	7/21/11	Date Committee returned the Contribution
	8/9/11	Date a second contribution for the same amount was received
Illinois State Medical Society PAC	5/23/11	Date Committee received Contribution
	6/14/11	Date Committee returned the Contribution
	7/14/11	Date a second contribution for the same amount was received
Illinois Trial Lawyers Asscn. PAC	6/1/11	Date Committee received Contribution
	6/14/11	Date Committee returned the Contribution
	7/5/11	Date a second contribution for the same amount was received
TRK-PAC Midwest Truckers Assoc. Inc.	5/23/11	Date Committee received Contribution
	6/14/11	Date Committee returned the Contribution
	7/5/11	Date a second contribution for the same amount was received

If the Board accepts this recommendation, the stay on the assessment for the 2010 General Primary Schedule A-1 would be lifted, and a total of \$2,075 would be due and owing. (As of September 30, 2011, the Committee reported a cash balance of \$31,982.22.)



Andy Nauman
Andy Nauman – Hearing Officer

November 3, 2011

NAME OF POLITICAL COMMITTEE
Zalewski for State Representative

REPORTING PERIOD
4/1/2011 thru 3/30/2011

FILED
7/15/2011
3:22:17 PM

FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 21222

SCHEDULE B

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	AMOUNT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Associated Beer Distributors Of Illinois :PAC P O Box 396 Springfield, IL 62705	6/14/2011	\$1,000.00	\$1,000.00
Purpose: refund check 9329	Beneficiary: Associated Beer Distributors Of Illinois :PA		
Construction and General Laborers Dist. Co. o 999 McClintock Drive Suite 300 Burr Ridge, IL 60527	6/14/2011	\$1,000.00	\$1,000.00
Purpose: refund check 5394	Beneficiary: Construction and General Laborers Dist. Co o		
	6/14/2011	\$1,000.00	\$2,000.00
Purpose: refund check 5379	Beneficiary: Construction and General Laborers Dist. Co o		
Illinois State Medical Society PAC 20 N. Michigan Ste 700 Chicago, IL 60602	6/14/2011	\$1,000.00	\$1,000.00
Purpose: refund check 12819	Beneficiary: Illinois State Medical Society PAC		
Illinois Trial Lawyers Asscn. PAC 401 W. Edwards St Springfield, IL 62704	6/14/2011	\$1,000.00	\$1,000.00
Purpose: refund check 5394	Beneficiary: Illinois Trial Lawyers Asscn. PAC		
TRK-PAC Midwest Truckers Assoc, Inc. 2727 N. Dirksen Parkway Springfield, IL 62702	6/14/2011	\$1,000.00	\$1,000.00
Purpose: refund check 4935	Beneficiary: TRK-PAC Midwest Truckers Assoc, Inc.		
TOTAL FOR THIS PART:			\$6,000.00

NAME OF POLITICAL COMMITTEE
Zalewski for State Representative

REPORTING PERIOD
7/1/2011 thru 9/30/2011

FILED
10/17/2011
9:00:39 PM

FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 21222

SCHEDULE B

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	AMOUNT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Burns, Friends of Will 920 E 48th St Chicago, IL 60615 Purpose: Contribution	7/15/2011	\$250.00	\$250.00
	Beneficiary: Burns, Friends of Will		
Illinois Pipe Trades PAC Account P O Box 1626 Bloomington, IL 61702 Purpose: check refunded	7/21/2011	\$1,000.00	\$1,000.00
	Beneficiary: Illinois Pipe Trades PAC Account		
Local 399 Political Education Fund 2260 S Grove Chicago, IL 60616 Purpose: Contribution	9/2/2011	\$1,000.00	\$1,000.00
	Beneficiary: Local 399 Political Education Fund		
Tobolski, Friends of Jeff 521 S Lagrange Rd Box 134 LaGrange IL 60525 Purpose: Contribution	8/8/2011	\$250.00	\$250.00
	Beneficiary: Tobolski, Friends of Jeff		
United Citizens Party PO Box 195 Lyons, IL 60534 Purpose: Contribution	9/30/2011	\$300.00	\$300.00
	Beneficiary: United Citizens Party		
Wasowicz, Friends of Kris 7510 Cronin Justice, IL 60542 Purpose: Contribution	7/8/2011	\$250.00	\$250.00
	Beneficiary: Wasowicz, Friends of Kris		
TOTAL FOR THIS PART.			\$3,050.00

NAME OF POLITICAL COMMITTEE
Zalewski for State Representative

REPORTING PERIOD
7/1/2011 thru 9/30/2011

FILED
10/17/2011
9:00 39 PM

FOR OFFICE USE ONLY
IDENTIFICATION NO
Candidate 21222

SCHEDULE B

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	AMOUNT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Chicago Federation of Labor & IUC Political Fu. Prudential Plaza, Ste. 2600 130 East Randolph Chicago, IL 60601	7/27/2011	\$1,000.00	\$1,000.00
Purpose: check refunded	Beneficiary: Zalewski For State Representative		
Desplaines Valley News 7704 W. 62nd Street Summit, IL 60501	8/19/2011	\$238.40	\$238.40
Purpose: advertising	Beneficiary: Zalewski For State Representative		
Disability Pride Association 40 E. Chicago, Ste. 231 Chicago, IL 60611	7/27/2011	\$250.00	\$250.00
Purpose: donation	Beneficiary: Zalewski For State Representative		
Edward Razim Computer Services 1247 Raymond Avenue LaGrange, IL 60526	6/4/2011	\$200.00	\$200.00
Purpose: Computer - maintenance	Beneficiary: Zalewski For State Representative		
Ford Motor Credit PO Box 88306 Chicago, IL 60680	7/12/2011	\$492.00	\$492.00
Purpose: car payment	Beneficiary: Zalewski For State Representative		
	8/18/2011	\$492.00	\$984.00
Purpose: car payment	Beneficiary: Zalewski For State Representative		
	9/15/2011	\$492.00	\$1,476.00
Purpose: car payment	Beneficiary: Zalewski For State Representative		
Greater LaGrange YMCA 1100 E. 31st St. Lagrange Park, IL 60526	9/19/2011	\$1,500.00	\$1,500.00
Purpose: donation	Beneficiary: Zalewski For State Representative		

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Zalewski for State Representative
PO Box 721
Summit, IL 605010721

ID # 21222

Dear Zalewski for State Representative:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Associated Beer Distributors	5/23/2011	\$1000	6/14/2011	10	\$500
Chicago Fed of Labor & IUC Pol Fund	5/23/2011	\$1000	6/14/2011	10	\$500
Cnstrctn & Gnrl Lbrs Dist Co of Chicago & Vic Lab PAC	5/23/2011	\$1000	6/14/2011	10	\$500
Cnstrctn & Gnrl Lbrs Dist Co of Chicago & Vic Lab PAC	6/1/2011	\$1000	6/14/2011	4	\$500
IL Pipe Trades PAC Account	6/1/2011	\$1000	6/14/2011	4	\$500
IL State Medical Society PAC	5/23/2011	\$1000	6/14/2011	10	\$500
IL Trial Lawyers Association PAC	6/1/2011	\$1000	6/14/2011	4	\$500
TRK-PAC Midwest Truckers Assoc	5/23/2011	\$1000	6/14/2011	10	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$4000 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2000, (50% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

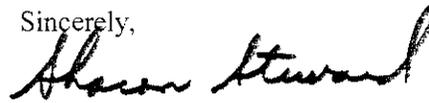
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through February 1, 2010	Schedule A-1s	\$75
<i>TOTAL AMOUNT NOW DUE</i>		\$2075

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more. * This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

CHICAGO

11 SEP 26 PM 1:45
STATE BOARD OF ELECTIONS

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Zalewski for State Representative)
Respondent(s).)

Case No. 11AJ064

APPEAL AFFIDAVIT

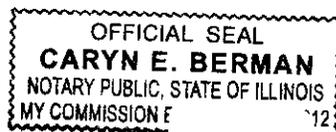
I, Michael J. Zalewski, the Chairman of the
(Name) (Chairman/Treasurer)
Zalewski for State Representative
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Committee was unaware of A-1 \$1000 reporting requirement. As soon as Chairman discovered error, all contributions in violation of the requirement were returned.

Signed and Sworn to by:
Michael J. Zalewski
before me this 22 Day of
September, 2011
Caryn E. Berman
Notary Public

Michael J. Zalewski
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

10 AG 091

Preckwinkle for President 21644
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Election

This committee received 25 contributions exceeding \$500 within the A-1 filing period for the 2010 General Election and failed to list them on a Schedule A-1, resulting in a civil penalty assessment of \$24,789.56.

The Respondent was represented by Michael Kasper at the September 14, 2011 appeal hearing. Also attending was Scott Kastrup the person responsible for the preparation of the Campaign Disclosure filings for Preckwinkle for President.

Michael Kasper indicated that the IL Hospital Association PAC in-kind contribution notification form was not received until January of 2011. Therefore, the Committee did not know the value of the contribution prior to receiving the in-kind contribution notification.

Mr. Kasper argued that the NGP Software program did not notify the Committee that Ronald Blackstone, Michael Gonzalez and Ms. Anita Ponder had in the aggregate given the Committee more than \$500 during the 30 day period preceding the election. He indicated that in all three of these instances the second contribution triggered the Schedule A-1. Mr. Kasper argued that it is his belief that the current program notifies a Committee when a Schedule A-1 is required to be filed.

Mr. Kasper indicated that the Committee was of the belief that since the Joseph Antunovich (\$2,500), Mark Erickson (\$2,500), Fass Bnvlnt Fndtn (\$1,000), Regents Park 5020 (\$1,000), Safeway Construction (\$1,500), and Patrick Vagenius (\$750) contributions were all received on the day prior to election that the Committee was not required to file them on a Schedule A-1. The contributions would then have been reported after the election and they thought the intent of the law was to disclose the contributions before the election took place. All of these contributions were received on 11/1/10 and the election was on 11/2/10.

Mr. Kasper indicated that the remaining 12 contributions were contributions that were part of larger deposits. He indicated that the some of the contributions from these deposits were filed on a Schedule A-1 and somehow 12 of those contributions were not filed on a Schedule A-1. The 12 contributions were all

reported as being received on 10/15/10 and 10/22/10. Mr. Kasper acknowledges that the 12 contributions were not filed on a Schedule A-1 and the errors were on the Committee for these contributions.

In relation to the IL Hospital Association PAC in-kind contribution I believe the Committee should have known that the IL Hospital Association PAC hosted a breakfast for their candidate. Section 100.120 (b) states that *If the donor of the expenditure does not comply with subsection (a) of this Section and if the in-kind contribution cannot be returned, the beneficiary political committee shall nonetheless have the responsibility to report such in-kind contributions or expenditures from the donor if it actually knows or reasonably should have known from the facts available to it that an in-kind contribution had been made in its behalf.* The Committee did not receive the in-kind notification until January of 2011 so they should have estimated the value of the in-kind contribution and the receipt date would have clearly been deemed to have been received during the 30 days prior to the General Election (Section 100.120 (c)) so a Schedule A-1 should have been filed with an estimated value. Furthermore, the Committee reported the receipt date as of 10/21/10 on the Semi-Annual Report and Mr. Kasper indicated that he believed that was the actual date of the breakfast was held. The Schedule A-1 period for the General Election was from 10/4/10 – 11/1/10 and this clearly fell within the Schedule A-1 reporting period. I therefore recommend that the appeal be denied, but since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment or \$134.

In relation to the Ronald Blackstone (\$200), Michael Gonzalez (\$250), and Ms. Anita Ponder (\$250) contributions the Committee offers no defense other than the program did not notify the Committee that a Schedule A-1 was required to be filed. The Committee indicated that they used NGP at the time of the filing and to my knowledge neither that program nor the one provided by the Board notified Committees at that point in time that a Schedule A-1 was required to be filed in the aggregate. This is not an electronic filing defense it is rather a complaint that the program did not offer an option that was not available at the time of the filing. Furthermore, Section 100.70 (b) indicates that *For purposes of determining the amount of contributions in excess of \$500 under Section 9-10(b-5) of the election code, all contributions received between the last date of the period covered by the last report filed prior to the election and the election from a single person, as defined in Section 9-1.6, shall be aggregated and treated as one.* I therefore recommend that the appeal be denied, but since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment or \$70.

In relation to the Joseph Antunovich, Mark Erickson, Fass Bnvlnt Fndtn, Regents Park 5020, Safeway Construction, and Patrick Vagenius contributions Section 5/9-10 indicates that any contribution of more than \$500 received between the last date of the period covered by the last report filed under subsection (b) prior to the election and the date of the election shall be filed with and must actually be received by the State Board of Elections within 2 business days after receipt of such contribution. Furthermore, the 2010 Campaign Disclosure calendar clearly shows this period to be from 10/4/11 – 11/1/11. I therefore recommend the appeal be denied. However, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$925.

In relation to the Agae Contracting (\$1,000), Arlington Glass (\$1,000), Citizens for Daniel J McLaughlin (\$1,000), Conlon Public Strategies (\$1,000), HMS Host (\$1,500), Halina Kolasa (\$2,000), Linn-Mathes

(\$1,000), Judd Malkin (\$1,000), O'Keefe Lyons & Hynes (\$1,000), Peter Spyropoulos (\$1,000), George A Zervas (\$1,000), and Plumbing Council of Chicagoland (\$1,000) contributions Mr. Kasper acknowledged that the Committee was in error by not filing these contributions. I therefore recommend that the appeal be denied, but since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment or \$1,350.

If these recommendations are accepted by the Board the civil penalty of \$2,479 will be due and owing. (As of 6/30/11, this committee reported a funds available balance of \$338,593.58.)



Andy Nauman – Hearing Officer

October 6, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

Preckwinkle for President
Ivory Mitchell
4800 S Chicago Beach Dr, #1416N
Chicago, IL 60615

ID # 21644

1st V
10% of 24,789.56 =
\$2479

Dear Preckwinkle for President:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Agae Contracting	10/15/2010	\$1000	\$1000	*	10
Joseph Antunovich	11/1/2010	\$2500	\$2500	*	1
Arlington Glass	10/15/2010	\$1000	\$1000	*	10
Ronald Blackstone	10/4/2010	\$500	**	*	**
Ronald Blackstone	10/25/2010	\$200	\$200	*	4
Citizens for Daniel J McLaughlin	10/15/2010	\$1000	\$1000	*	10
Conlon Public Strategies	10/15/2010	\$1000	\$1000	*	10
Mark Ericksen	11/1/2010	\$2500	\$2500	*	1
Fass Bnvlnt Frndtn	11/1/2010	\$1000	\$1000	*	1
Michael Gonzalez	10/5/2010	\$500	**	*	**
Michael Gonzalez	10/15/2010	\$250	\$250	*	10
HMS Host	10/15/2010	\$1500	\$1500	*	10
Halina Kolasa	10/15/2010	\$2000	\$2000	*	10
Linn-Mathes	10/22/1010	\$1000	\$1000	*	5
Judd Malkin	10/15/2010	\$1000	\$1000	*	10
Ms Anita Ponder	10/22/2010	\$500	**	*	**
Ms Anita Ponder	10/29/2010	\$250	\$250	*	1
O'Keefe Lyons & Hynes	10/15/2010	\$1000	\$1000	*	10
Regents Park 5020	11/1/2010	\$1000	\$1000	*	1
Safeway Construction	11/1/2010	\$1500	\$1500	*	1
Peter Spyropoulos	10/15/2010	\$1000	\$1000	*	10
Patrick Vagenius	11/1/2010	\$750	\$750	*	1
Georga A Zervas	10/15/2010	\$1000	\$1000	*	10
Plumbing Council of Chicagoland	10/15/2010	\$1000	\$1000	*	10
IL Hospital Association PAC	10/21/2010	\$1339.56	\$1339.56	*	6

The committee is fined a **total** of \$24,789.56 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

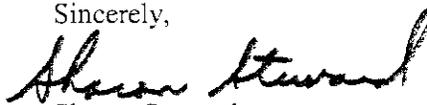
Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$12395, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date following the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimus at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

* This contribution was reported on the December 2010 Semi-Annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500, within 2 business days of receipt.

** This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500" threshold and was used as the basis for the fine assessment

State of Illinois)
)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS
11 JUL 22 PM 1:04

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Preckwinkle for President)
)
21644 Respondent(s).)

Case No. 10 AG 091

APPEAL AFFIDAVIT

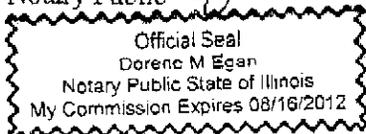
I, Michael J. Kasper, the Attorney of the
(Name) (Chairman/Treasurer)

Preckwinkle for President
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Signed and Sworn to by:
Michael J. Kasper
before me this 22nd Day of
July 2011
Dorene M Egan
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 JQ 263

Committee to Elect Michelle Huggis Flagg
Cmte ID: 22202
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2011 Quarterly Report

The Report was received on July 20, 2011, 3 days late, resulting in an assessment of \$150. The Committee was previously assessed \$125 for delinquently filing the December 2010 Semi-Annual Report.

Edgar Flagg, the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Flagg stated the candidate (his wife) was about 33 weeks pregnant at the time the Report was due. She was scheduled to deliver early, a distraction that led to the report being completed but not placed in the mail in time to be received by the filing deadline.

While I sympathize with the Committee, I recommend the appeal be denied for lack of an adequate defense. The Report in question was one page in length and reported no transactions during the period. If the Board accepts this recommendation, the stay on the assessment for the delinquent December 2010 Semi-Annual Report would be lifted, and a total of \$275 would be due and owing. As of September 30, 2011, the Committee reported a balance of \$93.21.



John Levin – Hearing Officer
October 27, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
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Chicago Illinois 60601
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Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Committee to Elect Michelle Huggis Flagg ID# 22202
Michelle Huggi Flagg
1857 E 93rd St
Chicago, IL 60617

Dear Committee to Elect Michelle Huggis Flagg:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2011 through June 30, 2011
Filing Period: July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 20, 2011, 3 days late. As such, this committee has been assessed a fine of \$150.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 1, you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31, 2010	Semi-Annual	\$125
TOTAL AMOUNT NOW DUE		\$275

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward, Director, Campaign Disclosure Division

SS: jr

State of Illinois)
County of Cook)

11 OCT - 3 PM 12:08

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee to Elect Michelle Huggis Flagg)
Respondent(s).)

Case No. 11JQ263
ID# 22202

APPEAL AFFIDAVIT

I, Edgar Flagg, the Chairman of the
(Name) (Chairman/Treasurer)
Committee to Elect Michelle Huggis Flagg
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

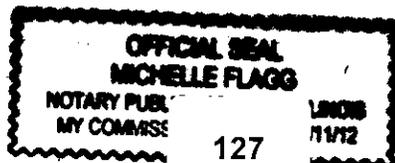
The candidate, Michelle Huggis Flagg was about 33 weeks
pregnant at the time the report was due. She was scheduled
to deliver early and we were pre-occupied at the time, which
resulted in completing the report but failure to mail the report so
that it would arrive before the deadline

Signed and Sworn to by:

Edgar Flagg
before me this 28th Day of
September, 2011

Michelle Flagg
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

11 MA 069

Committee to Elect Steven G Watkins (22358)

Respondent

REPORT OF HEARING OFFICER

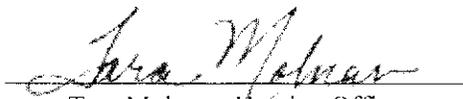
Appeal of Civil Penalty Assessment for Delinquent Filing of the
2010 June Semi-Annual Report, the 2010 December Semi-Annual Report and
the March 2011 Quarterly Report

The 2010 June Semi-Annual Report was received on July 6, 2011, 240 days late, resulting in a civil penalty assessment of \$5,000. The 2010 December Semi-Annual Report was received on July 6, 2011, 115 days late, resulting in a civil penalty assessment of \$5,000. The March 2011 Quarterly Report was received July 6, 2011, 56 days late, resulting in a \$1,400 civil penalty assessment. The total assessment is \$11,400.

Gerald Williams, committee treasurer, appeared on October 25, 2011 for the appeal hearing.

Gerald Williams indicated Steven Watkins ran for Judge in the February 2010 election. On January 20, 2010, Williams filed the 2009 December Semi Annual Report on paper, although required to file electronically. Williams attributed said action to lack of experience and misunderstanding of the electronic filing requirements. On July 6, 2011 the Chicago Board Staff assisted Williams in filing the 2010 June Semi-Annual Report, the 2010 December Semi Annual Report and the March 2011 Quarterly Report. Williams respectfully requests leniency in the Board's decision since the committee only has \$394.80. There are not enough funds the hefty fine.

The committee was created on October 13, 2009 and did not file disclosure reports since February 3, 2010. For over a year, no reports were filed, even while the candidate appeared on the ballot. Williams states he did not understand electronic filing. However, no defense was presented as to why they did not attempt to file they paper. There are a number of instructional materials and IDIS classes available for committees to educate inexperienced treasurers. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$14,200 will be due. (As of 9/30/11, this committee reported a funds available balance of \$394.80)


Tara Molnar - Hearing Officer

November 4, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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Springfield, Illinois 62708
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Chicago Illinois 60601
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Fax: 312/814-6485



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Rupert T. Borgsmiller
August 30, 2011

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William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Committee to Elect Steven G Watkins
609 E 75th St
Chicago, IL 60619-2220

ID# 22358

Dear Committee to Elect Steven G Watkins:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2010 through June 30, 2010
Filing Period:	July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 6, 2011, 240 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 1, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 6, 2011, 115 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2011 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 6, 2011, 56 day(s) late. As such, this committee has been assessed a fine of \$4200.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.*

State of Illinois)
County of Cook)

11 SEP 29 AM 9:58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee to Elect Steve G. Matthews)
Respondent(s).)

Case No. 11 MA 069

APPEAL AFFIDAVIT

I, Gerald Williams, the Treasurer of the
(Name) (Chairman/Treasurer)
Committee to Elect Steve G. Matthews
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. There is only 2340 dollars in campaign bank account
2. The first report was filed but not electronically
3. The subsequent have been filed electronically
4. Confusion regarding written vs electronic filing
5. Committee respectfully request a fine appropriate to our bank account of about 2340.00

Signed and Sworn to by:
Gerald Williams
before me this 28 Day of
Sept, 2011

Yvette Belcher
Notary Public

Gerald Williams
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11 AJ 069

Friends of Sherman C Jones 22764

Respondent

REPORT OF HEARING EXAMINER

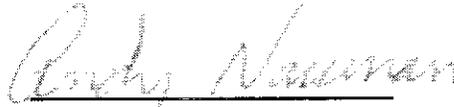
Appeal of Civil Penalty Assessment for Failure to File
A Schedule A-1 for the 2nd Quarter of 2011

This Committee received a \$1,040 contribution on 6/26/11 and a \$2,000 contribution on 6/17/11 but failed to report them on a Schedule A-1. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, not paid) for delinquently filing the June 2010 Semi-Annual Report; a \$1,100 civil penalty (not appealed, not paid) for delinquently filing a Pre-Election Report for the 2010 General Election; a \$5,000 civil penalty (not appealed, not paid) for delinquently filing the December 2010 Semi-Annual Report; a \$5,000 penalty for failure to comply with a Board Order. The total assessment is \$11,352.

The Respondent was represented by attorney Richard Means at the October 24 appeal hearing. Also in attendance was Matt Ames the candidate's top political aide.

Mr. Ames testified that Helen Brown is currently the treasurer of the Committee. She was the person who was responsible for filing the reports for the Committee. Mr. Ames believes that Ms. Brown was not aware of the law changes that went into effect in relation to the Schedule A-1 filings. Ms. Brown was suppose to testify at the appeal hearing, but she notified Mr. Ames on the morning of the hearing that she would not be able to attend do to a scheduling conflict with an appointment she had with her doctor. Mr. Ames indicated that it is the Committee's intention to replace Ms. Brown as treasurer to hopefully avoid any future violations. The Committee already has an idea of who they would like the next treasurer to be and that person has been trained on the electronic filing software. Mr. Means indicated that he as well is of the belief that Ms. Brown was not aware that the law changed as of January 1, 2011 in relation to Schedule A-1 filings. Mr. Ames also indicated that to his knowledge no one within the Committee was aware that the law changed so that a committee now has a year round filing obligation in regards to Schedule A-1 filings. Mr. Means indicated that Sherman Jones did not run during this period and this rather young committee was only aware of the Schedule A-1 period under the old law in that they only had to file contributions within the 30 days prior to the election. Mr. Means indicated that the Committee only has \$3,979.15 in the bank account as of the date of the hearing. The Committee acknowledges that they have penalties of \$11,200 in addition to the two fines they are appealing now. Mr. Means indicated that the Committee would like to offer the Board all of the funds they currently have of \$3,979.15 to the Board for a settlement of all penalties including any fines that could be assessed in relation to the two Schedule A-1 violations that are being appealed. Mr. Mean went on further to say that if the amount offered is not sufficient than the Committee would be willing to raise additional funds to settle everything. Mr. Means indicated that the Committee will do what it needs to do to make things the way they should be and that they would like to clear up all matters so they have no issues going forward.

I recommend the appeal be denied for lack of an adequate defense. The Committee should have known of the new A-1 reporting requirements; the Board sent mailings to all committees and additionally posted information on the Board website to notify and educate committees about the changes of the law. In addition, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$152. If these recommendations are accepted by the Board, the amount of \$11,352 will be due and owing. In relation to the settlement that is being offered I believe this is a matter only the Board can address. (As of 9/30/11, this Committee reported a funds available balance of \$2,588.79, which is less than the value they indicated they had at the time of the hearing of \$3,979.15.)



Andy Nauman – Hearing Officer

October 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
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217/782-4141
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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Sherman Jones
PO Box 6362
Broadview, IL 60115

ID# 22764

Dear Friends of Sherman Jones:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Ernestine Jones	6/26/2011	\$1040	*	7	\$520
Philip Fornaro Attorney	6/17/2011	\$2000	*	12	\$1000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1520 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$152, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.*

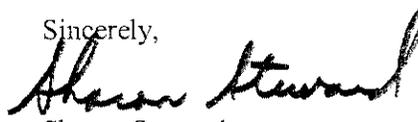
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through June 30, 2010	Semi-Annual	\$100
	Failure to Comply with a Board Order	\$5000
July 1 through October 3, 2010	Pre-Election Report	\$1100
July 1 through December 31, 2010	Semi-Annual	\$5000
TOTAL AMOUNT NOW DUE		\$11352

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of Cook)

CHICAGO

11 SEP 28 AM 11:46

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Sherman C. Jones)
Respondent(s).)

Case No. 11AJ069

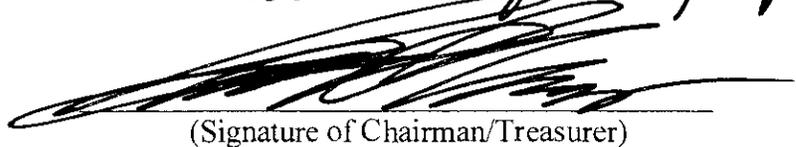
APPEAL AFFIDAVIT

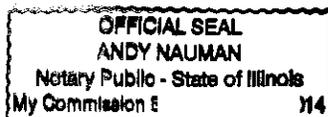
I, Sherman C. Jones, the Chairman of the
(Name) (Chairman/Treasurer)
Friends of Sherman C. Jones
(Name of the Committee)

by and through his attorney Richard K. Means who, after
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

That the Committee primarily functions to support the re-election of Jones who was first elected Village President of Broadview in 2009. His term expires in 2013 and the person who received the 2 large donations did not know to alert the bookkeeper to file A-1 reports year around and not just 30 days before an election as was formerly required.

Signed and Sworn to by:
Richard K. Means
before me this 28th Day of September, 2011
Andy Nauman
Notary Public


(Signature of Chairman/Treasurer)



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

11 DS 357

Citizens for Julius Anderson (22851)

Respondent

REPORT OF HEARING OFFICER

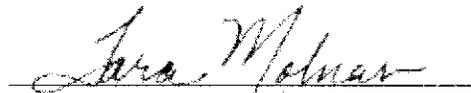
Appeal of Civil Penalty Assessment for Delinquent Filing
the December 2010 Semi Annual Report.

The December 2010 Semi-Annual Report was received on June 10, 2011, 98 days late, resulting in a civil penalty assessment of \$2,450.

Julius Anderson and Gracie Thompson appeared on October 24, 2011 for the appeal hearing.

Mr. Anderson stated he ran for Alderman in the 24th Ward. From December 2010 through May of 2011, Anderson attended to medical impairments of his fiancé and treasurer which attributed to the delinquent filing. In the later part of 2010, Anderson's fiancé suffered from severe pain and was unable to move. In December 2010 she was diagnosed with Rheumatoid Arthritis. Anderson was occupied with her care and missed the deadline for the report. Around the same time, Anderson stated his treasurer, Qald Azeez, suffered a diabetic coma, unable to act as the committee's treasurer through February 2011. Anderson lost the 2011 aldermanic election, has no aspirations to run again and intends to file a final report.

I am sympathetic to Mr. Anderson's medical struggles with his fiancé, Treasurer Mr. Azeez and the personal impacts of an illness. However, the political committee has a responsibility to file campaign disclosure reports timely. As a candidate, Mr. Anderson could have filed the documents or, to see that another agent of the committee could file the reports as allowed per Section 100.40. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, as a first violation, the penalty shall be stayed. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated. (As of 9/30/11, this committee reported a funds available balance of \$0.00)



Tara Molnar – Hearing Officer

November 7, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Citizens for Julius Anderson
Qald Azeez
1308 S. Kolin
Chicago, IL 60623

ID# 22851

Dear Citizens for Julius Anderson:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

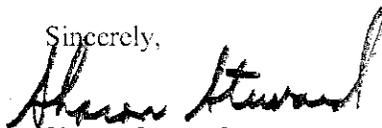
Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on June 10, 2011, 98 days late. As such, this committee has been assessed a fine of \$2450.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

11 SEP 20 PM 1: 21
STATE BOARD OF ELECTIONS

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
STATE Bd of ELECTIONS Complainant)
Vs. CITIZENS FOR)
JULIUS ANDERSON Respondent(s).)

Case No. 11 DS 357

APPEAL AFFIDAVIT

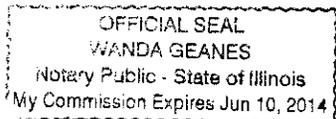
I, JULIUS ANDERSON, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CITIZENS FOR JULIUS ANDERSON
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Medical issues with me AND FRANCE.
Please note ALL FORM FILED

Signed and Sworn to by:
JULIUS ANDERSON
before me this 20 Day of
09 2011
Wanda Geanes
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

11 MA 073

Rooney's Bus (22942)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing the
December 2010 Semi Annual Report and March 2011 Quarterly Report

The December 2010 Semi-Annual Report was received on March 2, 2011, 27 days late, resulting in a civil penalty assessment of \$675. The 1st 2011 Quarterly Report was received on July 15, 2011, 63 days late, resulting in a civil penalty assessment of \$3,150. The total assessment is \$3,725.

Tom Rooney appeared on October 24, 2011 for the appeal hearing.

Tom Rooney stated he ran for Mayor of Rolling Meadows in 2011. He was an alderman while he created his committee for Mayor in October 2010. In regards to the December 2010 Semi Annual report, Rooney stated he was new to the campaign disclosure process and missed the filing deadline as he prepared to run for mayor

Rooney received a filing notice for the December 2010 report. After the deadline was missed, he received two notices regarding the delinquent filing of the December 2010 Semi Annual Report. He was confused why he received several notices and assumed the Board was making mistakes. Shortly after, Rooney received information pertaining to the 2011 Disclosure Law changes; however he disregarded it as another error by the Board. He assumed the new filing requirements applied only to statewide political committees only and did not affect local candidates. In the mean time, he prepared the Semi Annual for June 2011. He received an email stating the 1st Quarterly report was not received and immediately complied with the law accordingly.

I understand Mr. Rooney's confusion changes in campaign finance law. It is his responsibility as a candidate and treasurer to review and understand all materials sent by the Board. Rooney stated he received several notices but did not contact the Board for clarification. Assuming the Board was making errors in information distributed, without making follow up inquiries to the Board, is not a valid defense. I recommend the appeal is denied. If the Board accepts this recommendation, the civil penalty of \$3,725 will be due. (As of 9/30/11, this committee reported a funds available balance of \$322.88)



Tara Molnar – Hearing Officer

November 4, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Rooney's Bus
Tom Rooney
3002 Thrush Ln
Rolling Meadows, IL 60008-2657

ID# 22942

Dear Rooney's Bus:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on March 2, 2011, 27 days late. As such, this committee has been assessed a fine of \$675.

In addition, this committee failed to electronically re-file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January I through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 15, 2011, 63 day(s) late. As such, this committee has been assessed a fine of \$3150.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

State of Illinois)
County of COOK)

STATE BOARD OF ELECTIONS
11 SEP 29 AM 9:58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
ROONEY'S BUS)
Respondent(s).)

Case No. 11MA073

APPEAL AFFIDAVIT

I, TOM ROONEY, the CHAIRMAN AND TREASURER of the
(Name) (Chairman/Treasurer)
ROONEY' BUS
(Name of the Committee)

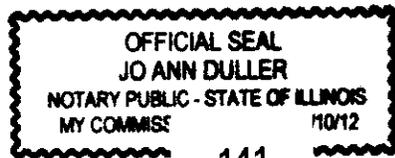
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I offer no defense for the late submission
of the Semi-Annual Report, but the change to the
quarterly report was unclear and I complied with
the spirit of the regulation by a timely submission
of the next Semi-Annual report (divided into two quarterlies.)

Signed and Sworn to by:

before me this 27 Day of
September 2011
Jo Ann Duller
Notary Public

Tom Rooney
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 JQ 172

Citizens to Elect Kenneth Harris
Cmte ID: 23286
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2011 Quarterly Report

The Report was received on July 25, 2011, 6 days late, resulting in an assessment of \$150. The Committee has not been previously assessed.

Rosc Harris, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Harris stated the Report was sent to the Board's Springfield address in advance of the filing period (July 1, 2011, through July 15, 2011). Board staff returned the Report and instructed the Committee on the proper reporting period, as is customary practice for Reports received well in advance of the filing period. The Committee subsequently forgot to file the Report in a timely manner.

I recommend the appeal be denied for lack of an adequate defense. The filing period for each Report is part of the notification sent to each Committee, and such periods must be enforced to allow for an orderly filing process for Committees and Board staff. If the Board accepts this recommendation, the assessment would be stayed as the product of a first offense. As of June 30, 2011, the Committee reported cash on hand of \$229.



John Levin – Hearing Officer
October 6, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Citizens to Elect Kenneth Harris
Kenneth Harris
PO Box 1316
Bolingbrook, IL 60440-0716

ID# 23286

Dear Citizens to Elect Kenneth Harris:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 25, 2011, 6 days late. As such, this committee has been assessed a fine of \$150.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of Will)

STATE BOARD OF ELECTIONS
11 SEP 28 AM 10:42

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens to Elect Kenneth Harris)
Respondent(s).)

Case No. 11JQ172

APPEAL AFFIDAVIT

1. Rose Harris, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens to Elect Kenneth Harris
(Name of the Committee)

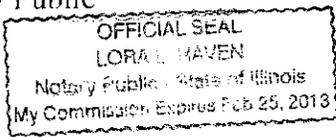
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The report was filed with the Report of a previous Report period. The
Report was returned because it was received prior to the filing period. It
was subsequently filed again but by this time ~~it~~ ^{it was} outside of the
filing period thereby making the report late. I pray that our intent
to file the report timely be taking into consideration and that the penalty
is waived.

Signed and Sworn to by:
Kenneth Harris
before me this 26th Day of
September, 2011

Rose Harris
(Signature of Chairman/Treasurer)

[Signature]
Notary Public



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11 MA 028

Citizens for Lyle 14153

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st and 2nd Quarter of 2011 and
Failure to File a Schedule A-1 for the 1st Quarter of 2011

This committee received a \$2,000 contribution on 2/13/11, a \$6,664.44 contribution on 2/21/11, a \$9,000 contribution on 3/15/11, a \$5,010 contribution on 3/15/11, and a \$1,660.41 contribution on 4/18/11 and reported these contributions to the Board between 1 and 2 business days late. This Committee also failed to file a Schedule A-1 for a \$2,000 contribution received on 2/17/11. Additionally, the Committee had previously been assessed a \$500 civil penalty (not appealed, reduced, stayed, expired) for failing to file a Schedule A-1 for the 2007 Consolidated Primary. The total assessment is \$13,170.

The Respondent was represented by attorney Chester Slaughter at the September 1 appeal hearing.

Chester Slaughter indicated that the Committee had many people working in the campaign and it is now apparent that this could have been a problem. The Committee also was of the belief they had 48 hours to file a Schedule A-1 once the treasurer of the Committee received an in-kind contribution notification.

The Unithere in-kind contribution notification for support staff was sent by fax on 2/21/11 and a campaign worker received the fax, but did not give the appropriate person the notification until 2 days after they received it. The treasurer received the notification on 2/23/11 and filed a Schedule A-1 for this \$6,664.44 in-kind contribution within 48 hours of receiving the notification.

The Unite Here Local 1 in-kind contribution notification for support staff was also sent by fax to the campaign office on 3/15/11 and a campaign worker received the fax, but did not give the appropriate person the notification until the following day. The treasurer received the notification on Wednesday, 3/16/11 and filed a Schedule A-1 for this \$5,010 in-kind contribution on Sunday, 3/20/11.

The SEIU IL Council PAC Fund in-kind contribution notification was sent by fax to the attorney for the campaign on 3/15/11 rather than where it should have been sent, the campaign office. The attorney, Mr. Slaughter, gave the in-kind notification to the campaign the next day (Wednesday the 16th), and a Schedule A-1 was filed for the \$9,000 in-kind contribution on Sunday, 3/20/11.

The S&J Properties contribution was not deposited until 2/14/11 and the Committee filed a Schedule A-1 for this contribution on 2/16/11. The Committee listed a receipt date of 2/13/11 rather than the date they should have deemed the contribution received, the deposit date, on 2/14/11.

The Chicago Teachers Union PAC sent two checks to the Committee. The first check was for \$2,000 and a campaign worker apparently misplaced the check and did not give it to the treasurer of the Committee. A second check was sent to the Committee and the treasurer thought that the second check was to replace the check that was misplaced. The second check was for \$2,500 and it was deposited on 3/31/11. The Committee filed a Schedule A-1 for the second contribution on that same day, 3/31/11. It was not discovered until later that the \$2,000 contribution was in fact deposited on 2/17/11 and the treasurer was not aware of the contribution actually being deposited until well after the Schedule A-1 would have been required to have been filed. In fact the Committee did not report this contribution until after they received a letter dated 5/18/11 from the Boards staff asking about the omission of this contribution on the original D-2. The Board staff suggested that the Committee amend the report to show the receipt of this contribution, but they did not inform the Committee to file a Schedule A-1 for this contribution. Mr. Slaughter argued that since staff did not inform the Committee that a Schedule A-1 should have been filed that this should be taken into consideration for the appeal.

The Unite Here Tip State & Local Fund in-kind contribution notification (attached) was sent by fax to the campaign. The fax was not given to the treasurer of the Committee until 4/26/11 and a Schedule A-1 was filed for that contribution on that day.

In relation to the in-kind contribution notifications it is apparent that the Committee was of the belief that they had 2 business days to file a Schedule A-1 once the treasurer of the Committee received notification of the in-kind contributions. However, Section 5/9/10 (d) (iii) states that *a contribution is considered received on the date the public official, candidate, or political committee receives the notification of contribution of goods or services as required under subsection (b) of Section 9-6*. Therefore, when the campaign workers and the Committee's attorney received the in-kind notifications the two business days would have started in relation to the Unitehere \$6,664.44, Unite Here Local 1 \$5,010, and SEIU IL Council PAC Fund \$9,000 in-kind contributions. The notifications were received during the 30 days preceding the 2011 CP and the CE Elections. The Committee failed to file these three in-kind contributions on a Schedule A-1 within 2 business days of the receipt of the notification. Therefore I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$1,034.

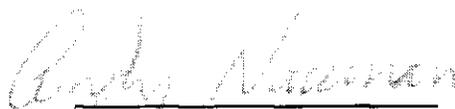
In relation to the S&J Properties contribution a print out from the bank (attached) shows the deposit date was 2/14/11, even though the Committee listed the receipt date of 2/13/11. The Committee filed a Schedule A-1 for this contribution on 2/16/11. Therefore, the Committee would have then met the 2 business day requirement to file the contribution on a Schedule A-1 considering the receipt date should have been deemed to have been received on 2/14/11. I recommend the appeal be granted in relation to the S&J Properties contribution. Furthermore, I recommend the Committee amend the 1st Quarterly Report of 2011 to reflect the actual receipt date of 2/14/11 within 30 days of the Boards Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000.

In relation to the Chicago Teachers Union PAC contribution I understand that Mr. Slaughter indicated that the treasurer of the Committee did not know that the \$2,000 contribution was actually deposited but the contribution was deposited. A monetary contribution is deemed to have been received on the date of deposit and therefore the Committee would have been required to file a Schedule A-1 for this contribution. The fact that the Board staff member did not tell the Committee to file a Schedule A-1 for this contribution I believe was the correct thing to do. The Committee deposited the contribution on 2/17/11 and they did not file a Schedule A-1 for this contribution within 2 business days as required. The treasurer did not realize that the \$2,000 contribution was actually deposited until nearly 3 months after the report should have been filed and at that point in time the IDIS program would not have allowed the Committee to file a Schedule A-1 for the contribution. Therefore, I believe the Board staff member would have been correct in not informing the Committee to file a Schedule A-1. Furthermore, when the Committee amended the Quarterly Report they listed in the description of amended information "I added a receipt from Chicago Teacher's Union PAC for \$2,000.00 date 2/17/11. I missed this check when I was adding other checks that came in that day. I deposited it on 2/17 but somehow I made the mistake of not putting the data into the computer. Please forgive the error." For the forgoing reasons I

recommend the appeal be denied for lack of an adequate defense. However, since there is no indication that this violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$100.

In relation to the Unite Here Tip State & Local Fund in-kind contribution the Respondent did not know when the notification was actually received at the time of the hearing so I requested a copy of the notification and information in relation to when it was received. After receiving the in-kind notification I noticed writing on the top of the form that said rec'd 4/18/11. I contacted Mr. Slaughter and he confirmed that the notification was received by the Committee on 4/18/11. The Committee failed to file this in-kind contribution on a Schedule A-1 within 5 business days of the receipt of the notification. Therefore, I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication that this violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment as a second violation, or \$416. This would be considered the Committee's second violation because the transaction took place during a separate reporting period.

If these recommendations are accepted by the Board, the \$1,550 civil penalty will be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$1,384.99.)



Andy Nauman – Hearing Officer
October 21, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

Citizens for Lyle
Chester Slaughter
7318 S Cottage Grove
Chicago, IL 60619

ID# 14153

Dear Citizens for Lyle:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Chicago Teachers Union PAC	2/17/2011	\$1000	\$2000	*	66
S&J Properties	2/13/2011	\$1000	\$2000	2/16/2011	1
Unitehere	2/21/2011	\$3332.22	\$6664.44	2/25/2011	2
SEIU IL Council PAC Fund	3/15/2011	\$4500	\$9000	3/20/2011	2
Unite Here Local 1	3/15/2011	\$2505	\$5010	3/20/2011	2

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$12338 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1234. (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee failed to file the following Schedule A-1s during the second quarter of 2011:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Unite Here Tip State & Local Fund	4/18/2011	\$832	\$1660.41	4/26/2011	1

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$832 for delinquently filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.

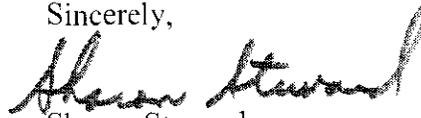
Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$416, (50% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimus at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

11 JUL 29 PM 12:14

STATE BOARD OF ELECTIONS

State of Illinois)

County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No 11 MA 028

Citizens For Lyle
Respondent(s).)

APPEAL AFFIDAVIT

I, Rose Mary McDaniel, the Treasurer of the
(Name) (Chairman/Treasurer)

Citizens For Lyle
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

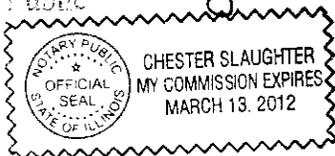
The respondent(s) state that they
have a Meritorious Defense and
extenuating circumstances

Signed and Sworn to by:

Rose Mary McDaniel
before me this 25th Day of
July, 2011

Chester Slaughter
Notary Public

Rose Mary McDaniel
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11 MA 074

Citizens to Elect Valerie DeVost 23004

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing

The December 2010 Semi-Annual Report

The March 2011 Quarterly Report

The June 2011 Quarterly Report

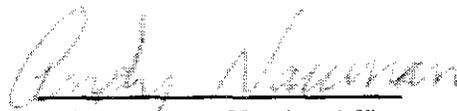
The December 2010 Semi-Annual Report was received by the Board on 4/21/11, 63 days late, resulting in a civil penalty assessment of \$1,575, the March 2011 Quarterly Report was received by the Board on 7/15/11, 63 days late, resulting in a civil penalty assessment of \$3,150 (The June 2011 Quarterly Report was received by the Board on 7/15/11, and they should not have been assessed for filing this report late). The total assessment is \$4,725.

Iris Henry the treasurer of the Committee and Valerie DeVost the candidate appeared at the October 24 appeal hearing.

Iris Henry indicated that she was going through a difficult time in her life and this greatly affected the Committee's ability to file the required reports. When Ms. Henry agreed to be the treasurer of the Committee she was in relatively good health. However, she tore her rotator cuff and this greatly affected her ability to perform her duties. She had to go in for surgery on 11/8/10 and with the recovery she was physically limited on what she could do. Additionally, Ms. Henry had some family matters that resulted in her moving out of her daughters home and effectively becoming homeless in February of 2011. Once she moved out of her daughter's residence all of the Committees records that she had regarding the campaign got put away in a storage unit and no one else within the Committee would have had access to those records for some time which prevented the Committee from filing the required reports. The candidate, Valerie DeVost, indicated that she could not get in touch with Ms. Henry and without the records she could not file the report. Once Ms. DeVost got in contact with Ms. Henry the December 2010 Semi-Annual Report was filed after the records were found. Both Ms. DeVost and Ms. Henry indicated during the hearing that the Committee stopped spending money and essentially closed the Committee on the night of the election. They also both indicated that the records on file with the State Board of Elections are not correct. The committee did not have any funds after the election and the filings were only filed to try to satisfy the Board requirements to get out of the system. They indicated that they were going to amend the Final Report to show that they closed the Committee out on November 2, 2010. They also indicated that the Committee was so small that they didn't even open up a bank account and they respectfully request that the fines are waived.

The Committee filed an amended Final Report for the reporting period of 7/1/10 – 11/2/10 on 10/28/11. This report reflects that the Committee had a balance of \$0 on 11/2/10 and that they closed the Committee as of this date. I am a little troubled that it took the Committee nearly a year to amend and file their

reports to show that they closed out the Committee on 11/2/10 when they previously reported still having funds as recently as July of this year, but that is what the amended Final Report reflects. Taking into consideration the Committee now reflects that they stopped all political activity as of 11/2/10 the Committee would not have been required to file the March or June 2011 Quarterly Reports. However, the December 2010 Semi-Annual Report should have been filed by 1/20/11 and from Ms. Henry's testimony the records were still in her possession at that point and time. I understand that she was physically limited from her surgery but I don't believe that was the primary reason on why the report was late. Ms. Henry testified that she was not even aware the December Semi-Annual Report was required to be filed, so whether she was physically able to file the report or not was not even an issue. I recommend that the appeal be denied for lack of an adequate defense for delinquently filing the December 2010 Semi-Annual Report. In relation to the March 2011 Quarterly Report the Committee filed an amended Final Report that closed the Committee prior to this reporting period so I recommend the appeal be granted. If these recommendations are accepted by the Board a \$1,575 penalty will be stayed as a first violation. In addition, since the Committee filed an amended Final Report on 10/28/11, during the two year period beginning with the date of the final Board Order, any successor committee shall be considered, for assessment purposes, as not having violated Section 9-10 if it is assessed no other penalty.



Andy Nauman – Hearing Officer

November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Citizens to Elect Valerie DeVost
Valerie DeVost
1800 Jackson St
North Chicago, IL 60064-2140

ID# 23004

Dear Citizens to Elect Valerie DeVost:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 21, 2011, 63 days late. As such, this committee has been assessed a fine of \$1575.

In addition, this committee failed to electronically re-file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 15, 2011, 63 day(s) late. As such, this committee has been assessed a fine of \$3150.

In addition, this committee failed to electronically re-file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 26, 2011, 7 day(s) late. As such, this committee has been assessed a fine of \$525.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.**

State of Illinois)
County of)

STATE BOARD OF ELECTIONS

11 SEP 27 PM 12:23

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS TO Elect Valerie DeVost)
Respondent(s).)

Case No. 11 MA 074

APPEAL AFFIDAVIT

I, Iris E. Henry, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens To Elect Valerie DeVost
(Name of the Committee)

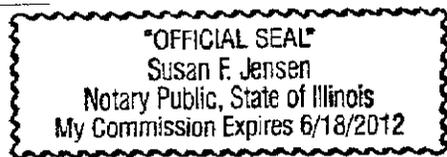
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This matter before the State Board of Elections has come about due to several factors 1) it was inadvertently due to my not knowing about all the documentation I had in my possession of all funds, records of our campaign efforts and these due dates mainly because of my immediate surgery after the election and the struggle previous to election (health) I could not locate these documents, I will explain at a date of your convenience and provide what is needed. I ask that the initial asking for the waiver be granted.
Signed and Sworn to by: This whole matter that ends up with 3 fines should not have occurred

Iris Henry
before me this 27th Day of
September, 2011
Susan F. Jensen
Notary Public

Iris E. Henry
(Signature of Chairman/Treasurer)

It was the 1st time & this is why the waiver of fines was requested in prior months



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

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100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White

MEMORANDUM

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board
Executive Director Dan White

From: Steve Sandvoss, General Counsel

Re: Recommendations of the General Counsel

Date: August 11, 2009

Appeals of Civil Penalty Assessments (Agenda Items 3.a.1-17)

SBE v. Citizens for Karen McConaughay 08 AG 018 (Agenda Item 3.a.2)

I do not concur with the Hearing Officer in his recommendation to grant this appeal. The basis of the defense was the death of the Treasurer's brother-in-law, which occurred on October 31. The contribution at issue was received on October 25, and should have been filed on October 28 (the 2nd business day following its receipt). Since the death occurred three days following the deadline, I don't see how that could form a basis for granting the appeal.

SBE v. The New 29th Ward Democratic Organization 09 MA 007 (Agenda Item 3.a.3)

I do not concur with the Hearing Officer in his recommendation to grant this appeal. Even though the committee uncovered the reporting errors during its own audit; the correction of which resulted in the delinquent reporting, this does not excuse the committee from the consequences of such errors. I did not see any basis contained in the hearing examiners report that would warrant a rescinding of the assessment. At the same time, the committee should be commended for taking the initiative in conducting the accounting audit and self discovery of the errors. Since the discovery brought to light such errors all at once (even though the seven delinquencies occurred over the span of three separate elections), I recommend that the penalty be reduced to 10% of the delinquently reported contributions: \$900.

SBE v. Maine Teachers Association PACE 09 DS 235 (Agenda Item 3.a.4)

I do not concur with the Hearing Officer in his recommendation to grant this appeal. Though I sympathize with any person who goes through the death or illness of a loved one, the Board generally

does not accept medical hardship based defenses. In this case, the treasurer had over six months after the diagnosis to find another person who would be willing to assume the duties of treasurer. There was no evidence that the treasure did this, or sought assistance from the Chairman, or anyone else who could have provided the same.

SBE v. Citizens for Flores 08 AG 022 (Agenda Item 3.a.10) Assessment amount should be \$206, not \$205.

SBE v. The 27th Ward Regular Dcmocratic Organization 08 AG 043 (Agenda Item 3.a.17) Assessment amount should be \$8, not \$7.50.

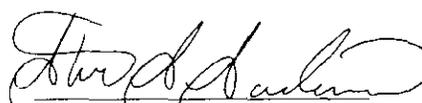
I have read the remaining Reports of the Hearing Examiner and concur with the recommendations contained therein.

Complaints following Closed and Public Hearing (Agenda Items 3.a.18-23 and 26 and 27)

Orland Township First Party (OLTP) and Paul O'Grady vs. The Orland Township Board, et al. 09 CD 011 (Agenda Item)

I concur with the recommendation of the Hearing Officer that the Respondents did violate the Election Interference Prohibition Act [10 ILCS 5/9-25.1, "the Act"] in that they expended public funds that urged the township electorate to re-elect the OLTP candidates and I further concur that the respondents be ordered to reimburse the township the prorated amount of the Focus newsletter as stated in the Hearing Officer Recommendation. In addition, I recommend that should the Board agree with the Conclusion of the Hearing Examiner that a violation of the Act occurred; an Act that carries criminal sanctions in the event of a violation, that the matter be referred to the appropriate state's attorney for their review. Finally, I recommend that the Board, as part of its disposition dismiss from this case the Orland Township Board, based on the Complainant's confirmation contained in Point II of the Recommendation (which is similar to a voluntary dismissal under Section 2-1009 of the Code of Civil Procedure) and the fact that none of the current Orland Township Board Members are respondents in this Complaint.

I have read the remaining Reports of the Hearing Examiner and concur with the recommendations contained therein.


Steven S. Sandvoss
General Counsel

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
Illinois State Board of Elections)
Complainant(s),)
Vs.)
) 08 AG 018
Citizens for Karen McConnaughay)
Respondent(s).)

FINAL ORDER

TO: Citizens for Karen McConnaughay L3899
102 Creekside Ct
St Charles, IL 60174

This matter coming to be heard this 18th day of August, 2009, as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises.

THE BOARD FINDS:

1. In case number 08 AG 018, a \$1000.00 civil penalty was initially assessed against the Respondent for failing to file a Schedule A-1 report in conjunction with the November 2008 election; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$4470.00 for failing to file Schedule A-1 reports in conjunction with the February 2008 election (08 AP 025). This assessment was not appealed, was reduced to \$470.00 by the Board, and has been paid by the committee, and
3. The recommendation of the Hearing Officer, in which the General Counsel does not concur, is that the appeal be granted, and
4. The recommendation of the General Counsel is that the appeal be denied for lack of an adequate defense, but that pursuant to section 9-10 of the Code, the assessment be reduced to \$500.00 (50% of the value of the delinquent reported contributions).

IT IS ORDERED:

1. That the recommendation of the General Counsel is adopted in part and the appeal is DENIED; and
2. A civil penalty in the amount of \$250.00 is imposed and is now due and owing within 30 days of the effective date of this Order, and
3. The effective date of this order is August 19, 2009, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/19/2009


Bryan A. Schneider, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
Illinois State Board of Elections)
Complainant(s),)
Vs.) 09 DS 235
)
Maine Teachers Assn PACE)
Respondent(s).)

FINAL ORDER

TO: Maine Teachers Assn PACE L14252
POB 2460
Des Plaines, IL 60017

This matter coming to be heard this 18th day of August, 2009, as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. In case number 09 DS 235, a \$1700.00 civil penalty was initially assessed against the Respondent for the delinquent filing of the December 2008 Semi-annual report; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$125.00, which was stayed as a first violation, for the delinquent filing of the June 2008 Semi-annual report (08 JS 094). This assessment was not appealed, and
3. The recommendation of the Hearing Officer, in which the General Counsel does not concur, is that the appeal be granted, and
4. The recommendation of the General Counsel is that the appeal be denied for lack of an adequate defense.

IT IS ORDERED:

1. The recommendation of the General Counsel is adopted and the appeal is DENIED; and
2. A civil penalty in the amount of \$1700.00 is imposed and the stay is lifted on the previously assessed penalty of \$125.00. The total amount of \$1825.00 is now due and owing within 30 days of the effective date of this Order, and
3. The effective date of this Order is August 19, 2009, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/19/2009


Bryan A. Schneider, Chairman

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

11 SQ-CL 001

Associated Beer Distributors of Illinois PAC ID# 538
Respondent

REPORT OF HEARING OFFICER

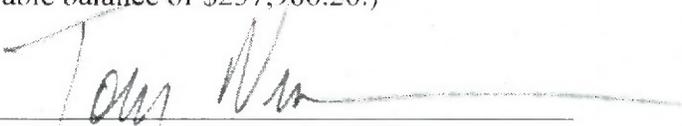
Appeal of Civil Penalty Assessment for Violation of Contribution Limits

The Committee received a total of 8 contributions from Euclid Beverage between 1/18/11 and 8/25/11 totaling \$24,748, exceeding the contribution limit by \$4,748, resulting in a required escheatment of \$4,748 and a civil penalty assessment of \$7,122. Additionally, the Committee received 7 contributions from Town & Country Distributors between 1/21/11 and 7/15/11 totaling \$20,964, exceeding the contribution limit by \$964, resulting in a required escheatment of \$964 and a civil penalty assessment of \$1,446. The total escheatment is \$5,712 and the total assessment is \$8,568.

James Rinella, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Rinella states that as required by the Disclosure Act, the Committee returned the amounts exceeding the contribution limits to the contributors within 15 days of receiving the contributions. He enclosed copies of checks showing a \$1,550 payment to Euclid Beverage on July 25, 2011, and a \$3,198 payment to Euclid Beverage on August 25, 2011, as well as a \$964 payment to Town & Country Distributors on July 18, 2011.

Section 9-8.5(j) of the Disclosure Act states, "*A political committee that receives a contribution or transfer in violation of this Section shall dispose of the contribution or transfer by returning the contribution or transfer, or an amount equal to the contribution or transfer, to the contributor or transferor or donating the contribution or transfer, or an amount equal to the contribution or transfer, to a charity. A contribution or transfer received in violation of this Section that is not disposed of as provided in this subsection within 15 days after its receipt shall escheat to the General Revenue Fund and the political committee shall be deemed in violation of this Section and subject to a civil penalty not to exceed 150% of the total amount of the contribution.*" After receiving contributions in excess of the statutory limits, the Committee properly returned the excess amounts to the contributors (on the same date received in regards to the two contributions from Euclid Beverage and within 3 days of receipt in regards to the contributions from Town & Country Distributors.) Therefore, no violation of the Act occurred, so I recommend the appeal be granted. (As of 9/30/11, this Committee reported a funds available balance of \$237,986.26.)


Tom Newman – Hearing Officer
November 3, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 29, 2011

Associated Beer Distributors
James Rinella
2001 Seminary Rd
Quincy, IL 62301

ID# 538

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 – 12/21/11 election cycle as defined in Section 5/9-1.9(5):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Euclid Beverage	1/18/11	\$3431.00		
Euclid Beverage	2/16/11	\$2441.00		
Euclid Beverage	3/21/11	\$2268.00		
Euclid Beverage	4/18/11	\$2631.00		
Euclid Beverage	5/19/11	\$2882.00		
Euclid Beverage	6/20/11	\$3779.00		
Euclid Beverage	7/25/11	\$4178.00		
Euclid Beverage	8/25/11	\$3198.00		
	TOTAL	\$24,748.00	\$20,000.00	\$4748.00
Town & Country Distributors	1/21/11	\$3436.00		
Town & Country Distributors	2/22/11	\$2219.00		
Town & Country Distributors	3/17/11	\$2244.00		
Town & Country Distributors	4/18/11	\$2644.00		
Town & Country Distributors	5/23/11	\$2764.00		
Town & Country Distributors	6/16/11	\$3653.00		
Town & Country Distributors	7/15/11	\$4004.00		
	TOTAL	\$20,964.00	\$20,000.00	\$964.00

The committee did not return the portion of the contribution exceeding \$20000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 1020 S Spring St, Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$8586.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$857, (10% of the amount in violation of the campaign contribution limit) if you choose not to file an appeal under the Board's procedures.

The total amount of \$6569.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid within 30 days of the issuance of the Board Order.

Enclosed please find Section 10 of ILCS 5/9-8.5(j) of the Act, Section 100.75(d-g) of the Rules and Regulations, and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. Appeal forms may also be found on our website, www.elections.il.gov. Notice of Appeal must be filed within 30 days of the date of this assessment letter for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-0608. If you have questions regarding the contribution limits themselves, please call the Campaign Disclosure Division at 217/782-4141.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: sk

Enclosures: appeal packet

11 OCT 14 AM 9:03

State of Illinois)
County of Sangamon)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
ASSOCIATED BEER DISTRIBUTORS)
Respondent(s).)
OF ILLINOIS PAC)

Case No. 11SQ-CL001
COMMITTEE ID NO. 538

APPEAL AFFIDAVIT

I, James Rinella, the Chairman of the
(Name) (Chairman/Treasurer)
Associated Beer Distributors of Illinois Political Action Committee
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached statement.

Signed and Sworn to by:
JAMES RINELLA
before me this 12th Day of
October 2011
Carol Z. Shirley
Notary Public

[Signature]
Signature of Chairman/Treasurer



The Associated Beer Distributors of Illinois Political Action Committee (ABDIPAC) hereby furnish the reason and defense to the assessment of a civic penalty imposed by the State Board of Elections of the State of Illinois (State Board).

1. The State Board contends ABDIPAC failed to return the portion of contributions exceeding \$20,000.00 to the contributor or otherwise failed to donate such amount to charity within 15 days as required by the provisions of the Election Code.
2. ABDIPAC, on receipt of the excess contribution immediately refunded the amounts. Attachment #1 is a check issued by ABDIPAC to Euclid Beverage on July 25, 2011 in the amount of \$1,550.00 and Attachment #2 represents a check issued by ABDIPAC to Euclid Beverage on August 25, 2011 in the amount of \$3,198.00. The total of the two checks is \$4,748.00, which amount is consistent with the purported claimed violation set forth in State Board correspondence to ABDIPAC dated September 29, 2011 and identified as "ID No. 538" (Copy attached hereto for reference purposes).

ABDIPAC issued a check to Town & County Dist. Co. on July 18, 2011 in the amount of \$964.00 which amount is set forth in above referenced ID No. 538 and identified as Attachment #3.

3. All overpayments were refunded to contributors by ABDIPAC, namely Euclid Beverage and Town & Country Dist. on the same date the purported excess contributions were received by ABDIPAC.

Conclusion

The fines assessed by the State Board have been assessed in error and ABDIPAC hereby requests the fines be dismissed and voided.

ABDI/PAC

009405

Check Number: 009405
Check Date: Jul 25, 2011
Check Amount: \$ 1,550.00

Vendor: Euclid Beverage, Ltd.

Item to be Paid	Description	Amount Paid
Beer	Contribution - REGION	1,550.00



**Associated Beer Distributors of Illinois
Political Action Committee**
P. O. Box 396
Springfield, IL 62705

BANK OF SPRINGFIELD
3400 WEST WABASH
SPRINGFIELD, IL 62707
70-886/711

009405
009405

PAY One Thousand Five Hundred Fifty and 00/100 Dollars

Jul 25, 2011 ***\$1,550.00
DATE AMOUNT

TO THE ORDER OF
Euclid Beverage, Ltd.
200 Overland Drive
North Aurora, IL 60542

William J. Olson MP
[Signature] MP

ABDI/PAC

009405

Check Number: 009405
Check Date: Jul 25, 2011
Check Amount: \$ 1,550.00

Vendor: Euclid Beverage, Ltd.

Item to be Paid	Description	Amount Paid
Beer	Contribution - REGION	1,550.00

ATTACHMENT #1

ABDI/PAC

009416

Check Number 009416
Check Date Aug 25 2011
Check Amount \$ 3,198.00

Vendor Euclid Beverage, Ltd

Item to be Paid Description Amount Paid

Dist Contribution REGION 3,198.00



Associated Beer Distributors of Illinois
Political Action Committee
P.O. Box 396
Springfield, IL 62705

BANK OF SPRINGFIELD
3400 WEST WABASH
SPRINGFIELD, IL 62707
70-885711

009416
009416

PAY Three Thousand One Hundred Ninety-Eight and 00/100 Dollars

Aug 25, 2011

***\$3,198.00

DATE

AMOUNT

TO THE
ORDER
OF

Euclid Beverage, Ltd.
200 Overland Drive
North Aurora, IL 60542

William D. Miller MP
[Signature] MP

ABDI/PAC

009416

Check Number 009416
Check Date Aug 25 2011
Check Amount \$ 3,198.00

Vendor Euclid Beverage, Ltd

Item to be Paid Description Amount Paid

Dist Contribution REGION 3,198.00

ATTACHMENT #2

ABDI/PAC

009398

Check Number 009398
Check Date Jul 18, 2011
Check Amount \$964.00

Vendor Town & Country Dist. Co., Inc.

Item to be Paid - Description	Amount Paid
Beck's Contribution - REGIONAL	\$964.00



Associated Beer Distributors of Illinois
Political Action Committee
P.O. Box 396
Springfield, IL 62705

BANK OF SPRINGFIELD
3400 WEST WABASH
SPRINGFIELD, IL 62707
70-885/711

009398

009398

PAY Nine Hundred Sixty-Four and 00/100 Dollars

Jul 18, 2011 *****\$964.00
DATE AMOUNT

TO THE
ORDER
OF

Town & Country Dist. Co., Inc.
1050 Ardmore Avenue
Itasca, IL 60143

William D. [Signature] MF

[Signature] MF

ABDI/PAC

009398

Check Number 009398
Check Date Jul 18, 2011
Check Amount \$964.00

Vendor Town & Country Dist. Co., Inc.

Item to be Paid - Description	Amount Paid
Beck's Contribution - REGIONAL	\$964.00

ATTACHMENT #3

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

11 SQ-CL002

Citizens for Kirk Dillard Cmte ID: 9857
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For a Contribution Limit Violation

The Committee filed a Schedule A-1 reporting a \$10,000 contribution from Patricia Foglia, dated August 12, 2011. As reported, the donation would violate the \$5,000 limit for individual contributions received by Candidate Committees during the election cycle of January 1, 2011, through March 20, 2012, under 10 ILCS 5/9-8.5 and defined in Section 5/9-1.9(2). The fine amount is \$7,500 (150% of the overage amount). As a first offense, the assessment would automatically be reduced to \$750 (10% of the fine amount). There is an additional escheatment of \$5,000. This is the Committee's first assessment.

David Carlin, the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Carlin stated the contribution was actually made on the joint checking account of Vincent and Patricia Fafoglia. The affidavit included a copy of the check in question, which confirmed the assertion by Mr. Carlin.

I recommend the appeal be granted. Since the contribution was written on a joint account, it is fair to interpret it as a \$5,000 contribution from each individual whose name is on the account. Accordingly, there is no contribution limit violation. The Committee's September 2011 Quarterly Report itemized \$5,000 contributions from both Vincent and Patricia Fafoglia. As of September 30, 2011, the Committee's cash balance was reported as \$80,444.32.



John Levin – Hearing Officer
November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 29, 2011

Citizens for Kirk Dillard
POB 345
Westmont, IL 60559

ID# 9857

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 – 3/20/12 election cycle as defined in Section 5/9-1.9(2):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Patricia Foglia	8/12/11	\$10000.00	\$5000.00	\$5000.00
	TOTAL	\$10000.00	\$5000.00	\$5000.00

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 1020 S Spring St, Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$7500.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$750.00, (10% of the amount in violation of the campaign contribution limit) if you choose not to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$5750.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, *only* the escheated amount of \$5000.00 must be paid within 30 days of the issuance of the Order.

State of Illinois)
County of DuPage)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS
11 OCT 20 PM 12:14

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS.)
Complainant)
Vs.)
Citizens for Kirk Dillard)
Respondent(s).)

ID
Case No. 11SQ-CL002

APPEAL AFFIDAVIT

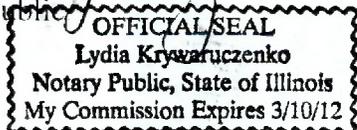
I, David CARLIN, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Kirk Dillard
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The contribution by Patricia Foglia should have been shown as
"5000.00 from Patricia Foglia" and "5000 from Vincent Foglia"
See attached joint checking account # 1275 (check #). Ex. 1
AN provided A-1 and correct listing, on D'2 will be
filed.

Signed and Sworn to by:
David CARLIN
before me this 15th Day of
October, 2011

Lydia Krywaruczenko
Notary Public



David Carlin
Signature of Chairman/Treasurer

ExL.1.7 1

VINCENT W. FOGLIA
PATRICIA A. FOGLIA
43 CASTLETON CT.
NORTH BARRINGTON, IL 60010

70-2504/719

1275

Attorneys for Trust Billard
PAY TO THE ORDER OF *Tom Thoman and wife*

\$ *10,000.00*

DATE *8-2-11*

American Chartered Bank
932 W. Randolph Street • Chicago, IL 60607
312-492-3100 • Member FDIC

MEMO

Patricia C. Foglia

DIARYS  American Chartered Bank

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 SQ-CL 005

Friends of Louise Hayes ID# 23734
Respondent

REPORT OF HEARING OFFICER

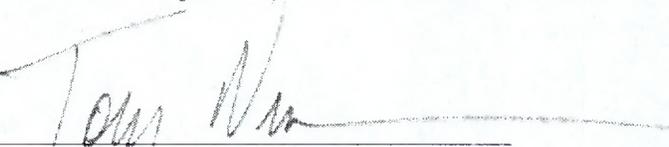
Appeal of Civil Penalty Assessment for Violation of Contribution Limits

The Committee received a \$25,000 contribution from Rhys Bryant on 9/30/11, exceeding the individual contribution limit of \$5,000, resulting in a required escheatment of \$20,000 and a civil penalty assessment of \$30,000.

Rhys Bryant, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Bryant states that he is the father of the Candidate, Louise Hayes and therefore the contribution in question did not violate contribution limits.

Section 100.75(i) of the Board's Rules and Regulations states, "*For purposes of Section 9-8.5(h), contributions or loans from a public official or a candidate, or a public official's or candidate's immediate family, to the public official's or candidate's political committee shall not be subject to the contribution limits found in Section 9-8.5.*" The legal definition of "immediate family" includes a parent of a candidate. Therefore Mr. Bryant is correct in his assertion that no violation of contribution limits occurred as a result of his contribution. I recommend the appeal be granted. (As of 9/30/11, this Committee reported a funds available balance of \$112,647.81.)



Tom Newman – Hearing Officer
November 8, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



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Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
November 2, 2011

Friends of Louise Hayes
Louise Hays, Rhys Bryant
418 E Scranton Ave
Lake Bluff, IL 60044

ID# 23734

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 - 3/20/12 election cycle as defined in Section 5/9-1.9(2):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Rhys Bryant	9/30/11	\$25000	\$5000	\$20000
	TOTAL	\$25000	\$5000	\$20000

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 1020 S Spring St, Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$30000.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$3000.00, (10% of the amount in violation of the campaign contribution limit) if you choose not to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$23000.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, **only** the escheated amount of \$20000.00 must be paid within 30 days of the issuance of the Order.

State of Illinois)
County of LAKE)

STATE BOARD OF ELECTIONS
11 NOV - 8 AM 10:35

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS OF LOUISE HAYES)
Respondent(s).)

Case No. 11SD-CL005

APPEAL AFFIDAVIT

I, RHYS BRYANT, the TREASURER of the
(Name) (Chairman/Treasurer)
FRIENDS OF LOUISE HAYES
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

IL 5/9-8.5(h) PERMITS SELF-FUNDING BY THE CANDIDATE
OR CANDIDATE'S IMMEDIATE FAMILY.

RHYS BRYANT IS THE FATHER OF CANDIDATE
LOUISE BRYANT HAYES.

THERE IS NO VIOLATION OF CONTRIBUTION LIMITS.

Signed and Sworn to by:
Rhys Bryant
before me this 4 Day of
November 2011
Samantha L. Schultz
Notary Public

Rhys Bryant
Signature of Chairman/Treasurer



STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: November 9, 2011

Listed below are committees that have been assessed a civil penalty for the delinquent filing of the December 2010 Semi-annual report, the June 2011 Quarterly report and Schedule A-1's. These violations were not appealed & should be issued a Final Board Order.

December 2010 Semi-annual Report			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
16376	Lincoln Republican Club of Bureau County	\$2700	none
June 2011 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
610	Boone County Democratic Central Cmte	\$50	None
9326	Friends to Elect Dayton	\$150	None
18128	30 th Ward Regular Democratic Org	\$25	None
18410	Friends of Mike Jacobs	\$50	Schedule A-1's (pd)
19119	Il Firefighters Political Action Fund	\$100	None
22659	Citz to elect Michelle Coady	\$75	None
Schedule A-1 (11 AJ)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
11623	Jakobsson for State Rep	\$500	\$50
14859	Downstate Democratic Caucus	\$500	\$50

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Contribution Limit Penalties Necessitating a Final Board Order

Date: November 9, 2011

Listed below are committees that have been assessed a civil penalty for violation of the contribution limits provided in 10 ILCS 5/9-8.5. These violations were not appealed & should be issued a Final Board Order.

Cmte No	Cmte Name	Amt of Fine	Percentage Reduction	Escheatment Amt
22735	Citizens for Blair	\$21750	\$2175	\$14500
22987	Carol for Chicago **	\$10500	\$1050	\$7000
23114	Citizens to Elect Richard Wooten	\$15000	\$1500	\$10000
23142	Friends of Stocks Smith	\$3255	\$325	\$2070
23576	Dads for Plains **	\$7500	\$750	\$5000

(** - DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)

STATE BOARD OF ELECTIONS

**1020 South Spring Street
Springfield, Illinois 62704
217/782-4141**

Sharon Steward, Director, Campaign Disclosure Division

To: Rupert T. Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalties - Informational

Date:

The following committees have made payment of outstanding civil penalties for the period of 10/4/11 - 11/8/11.

Cmte ID	Cmte Name	Amt of Check	
22972	Committee to Elect Gary Cornwell	\$605.00	
19460	Polish American Congress PAC	\$825.00	
803	NFIB Illinois SAFE Trust	\$50.00	
21893	Citizens for Ted McKenna	\$75.00	
7533	Committee for Chris Kachiroubas	\$200.00	
21848	Citizens for Ryan Higgins	\$75.00	
22849	Friends of Mayor Kerry Durkin	\$250.00	
20188	Friends of Luis Arroyo	\$200.00	credit card
23136	Committee to Elect Bryan P Lynch	\$300.00	
287	Knox County Democratic Central Committee	\$75.00	
9379	Citizens for Sig	\$4,775.00	
15091	Friends of Barrett F Pedersen	\$400.00	
12048	Citizens for Jim Langfelder	\$178.00	
11794	Citizens for Francisco Perez	\$3.32	
5425	Capital City Republican Women	\$150.00	
22452	Friends of Joseph A Faso	\$2,060.00	
14923	Citizens for David Miller	\$2,200.00	
18408	12th Ward Regular Democratic Organization	\$200.00	
13732	Citizens for Antonio "Tony" Munoz	\$350.00	
22418	Chang for Change	\$700.00	
9487	Citizens for Munoz	\$1,462.00	
917	22nd Ward Independent Political Organization	\$1,194.00	
16532	Citizens to Elect LewisW Powell III	\$500.00	
497	Personal PAC	\$200.00	
22656	Aaron Booker for Sheriff	\$175.00	
18976	Basic Crafts Council of Mid-Central Illinois	\$150.00	
5805	Committee to Elect Michael W Tryon	\$125.00	
19565	Northern IL Coodinated Campaign Committee	\$350.00	
15421	25th Ward Regular Democratic Organization	\$800.00	
15421	25th Ward Regular Democratic Organization	\$875.00	
12404	Citizens to Elect Patrick M Gordon	\$75.00	
21016	Republican Renaissance PAC	\$500.00	
23302	CARE for 113 Schools	\$110.00	
23393	Cmte to Elect Jeff Radford Sr	\$25.00	
11832	22nd Ward Democratic Committeeman Fund	\$4,400.00	

15077 Vote for Sam Cahnman	\$200.00
20408 Committee to Elect Brad Shields	\$500.00
19908 Friends of Steven Carlson	\$250.00
22661 Friends of Brian Duewel	\$175.00
23620 Citizens for Robin M Lisboa	\$900.00
309 Mason County Democratic Central Cmte	\$100.00
20736 Committee to Elect Marty P Leffler	\$425.00
803 NFIB Illinois SAFE Trust	\$1,750.00
23129 United Party of Elmwood Park	\$600.00
23318 Fazzini for City Council	\$210.00
4253 ICA PAC	\$50.00
23085 Citizens for Cory Jobe	\$500.00
Total Amount Paid for this Period:	\$30,272.32

Year to Date Totals:

1/8/11 – 2/10/11: \$8511
2/11/11 – 3/10/11: \$7592
3/10/11 – 4/6/11: \$3100
4/7/11 – 5/11/11: \$22506.63
6/3/11 – 6/20/11: \$8481
6/21/11 -- 8/4/11: \$35,342.50
8/5/11 - 9/7/11: \$11,192.45
9/8/11 - 10/4/11: \$30071.23
10/4/11 - 11/8/11: \$30,272.32

Payment Plan update

Cook County Democratic Women*
Friends of Marla Wilson

State Board of Elections



From the desk of....Jane Gasperin, Acting Director of Election Information

Phone: 217-782-1555

Email: jgasperin@elections.il.gov

To: All SBE Staff
Re: Filing Assignments
Date: November 7, 2011

NOVEMBER 28 THROUGH DECEMBER 5 FILING PERIOD AND ASSOCIATED ACTIVITIES

Thank you in advance for your willingness to assist with the upcoming filing period. Our success during this time requires a coordinated agency-wide effort with each staff member focusing on his/her individual assignment while strictly adhering to all established procedures.

As the filing period approaches we are finalizing the details for staff assignments and responsibilities. In order to maintain the excellent track record the agency has built through the filing periods in the past we have made some changes to procedures for the upcoming filing period. This year we will be combining the duties of Data Entry and Receipt Handler, and stationing Coders and Data Entry staff together at each station for a "one stop shop" to handle all filing activities in one step.

Staff should use the parking lot on the north side of the building. The south parking lot will be available for public use. Parking space is limited during this time so keep this in mind when arriving at work as parking may or may not be available on the premises of the agency.

Staff should **arrive** at the office no later than **7:00 a.m.** unless directed otherwise. Staff will enter the building using the back door of the building. A listing of all authorized personnel will be posted at this entrance. A state policeman will be stationed there and will ask for photo identification from each person as they enter. Everyone should be at their **assigned station** by **7:45 a.m.** unless directed otherwise.

The line for petition filers will extend from the front door heading north in a single line on the sidewalk along Spring Street and may extend east along the sidewalk to Scarritt Street depending upon the number of filers. Ken Menzel, Jeff Berry and Rick Fulle will be "working the line" to help ensure control and to assist the filers in having their documentation in order. Tables will be located along the side walk heading north for use by filers.

The **front door** to the office will open at **7:45 a.m.** with the line of filers stopping at the reception desk. Brian Zilm will be stationed at the side of the reception desk and start sorting the filers into three lines. The first line will be judicial candidates which will file in

the PI room, the second line for the legislative staffers which will file in the board room and the third line will have the rest of the filers which will file in the director's corner.

Promptly at 8:00 a.m. Joe Knoedler will let judicial candidates into the PI room, Cris Cray will escort the legislative staffers into the board room and Brian Zilm will direct the rest of the filers back towards the director's corner. Mike Roate will be standing at the entrance to the filing stations in the director's corner and direct filers to the appropriate stations.

When the legislative staff leaves the board room, additional filers will be directed into the board room.

FILING REPORTS

Candidate information will be posted to the SBE website at www.elections.il.gov when the filer signs the receipt indicating the information is correct. In order to avoid generating incomplete listings, no print capacity will be authorized in either the Springfield or Chicago office until the 8:00 a.m. filing is complete.

Two lists will be generated on the first day of filing (November 28). One list for the 8:00 a.m. filings and another printed at the end of the day (5:00 p.m.) which will be a cumulative report listing all filings for that day. These lists are for internal use and should be made available to the public on a limited basis as a print feature is available on the SBE website allowing the public to download and print candidate lists.

Because print lists are by nature outdated almost upon their production, staff should use the website when responding to filing inquiries. Please encourage the press, public, and other interested parties to use our website for this information.

PUBLIC VIEWING OF PETITIONS

After petitions are filed, they shall be made available for viewing by the public. Petition viewing will be conducted in the Board room from 3:00 p.m. – 5:00 p.m. on the first day of filing and from 8:00 a.m. – 5:00 p.m. thereafter, excluding weekends. Before petitions are made available for viewing and upon being returned, an audit of the document will be performed with the results being logged on the Petition Review Report.

PETITION REQUEST

Request for petition copies or CD's (\$.25 per sheet or \$5.00 for CD paid in advance) will be accepted in both Springfield and Chicago from 3:00 p.m. to 5:00 p.m. on the first day of filing and from 8:00 a.m. to 5:00 p.m. in the Springfield office and 8:30 a.m. – 5:00 p.m. in the Chicago office thereafter. **No petition copy requests will be entered until payment is received.** Payment may be made by cash, check, money order or credit card. This is the SBE first year for using credit cards. The credit cards we will be accepting are Master Card, American Express and Discover. No faxing of documents will occur for more than 5 pages subject to the advance payment requirement.

SCANNING PETITIONS

Once again we will be scanning all petitions during this filing period. All petition copies will be printed from the scanned copy rather than the original.

OBJECTIONS AND WITHDRAWALS

The objection period is December 6 through December 12, excluding, the weekend. Objections and candidate withdrawals may be filed in either the Springfield or Chicago office. As in the past, I anticipate an extremely heavy volume of filings in the Chicago office on the last day of the objection period.

Similar procedures will be used for the Special Judicial, Presidential Preference, Delegates and Alternate Delegates filing period.

FIRST DAY FILING ASSIGNMENTS (NOVEMBER 28)

Chicago staff should make arrangements to travel to Springfield on November 27 and plan to return to Chicago afternoon of November 28 unless asked by their division director to remain in Springfield for a longer period of time.

Filing Line Outside

November 28

6:15 a.m.

Ken Menzel (Headset)
Jeff Berry (Economic Interest Statements)
Rick Fulle
IL State Police (Positioned at end of line for 8:00 a.m. filers)

Front Door

November 28

7:00 a.m. – 7:45 a.m.

Ryan Turner

In front of Reception Desk
Economic Interest Station
SOS Index Division Staff

November 28

7:00 a.m.

Renee Paine

November 28

8:00 a.m.

Debra Steller

Reception Area

November 28

7:00 a.m.

Erica Christell
Debbie Rantanen

IT Staff (Board Room Area)

November 28

7:30 a.m.

Kim Matrisch (Headset)

Front of Board and PI Room Area

November 28

7:30 a.m.

Brian Zilm (Headset)

Backup/Relief for Breaks

Jeremy Kirk

Traffic Control Inside Doorway of P.I. Room

November 28

7:45 a.m.

Joe Knoedler

Coding and Time Stamping (P.I. Room)

(2 stations)

November 28

7:45 a.m.

Dianne Felts
Cheryl Hobson

Data Entry and Receipting (P.I. Room)

(2 stations)

November 28

7:45 a.m.

Sue Klos
Kay Walker

Traffic Control at Doorway Exiting PI Room

November 28

7:45 a.m.

Vera Bolden

Entrance to Board Room

November 28

7:30 a.m.

Cris Cray

Coding and Time Stamping (Board Room)

(6 stations)

November 28

7:45 a.m.

Brent Davis
Amy Evans
Pat Freeman
Bernadette Harrington
Andy Nauman
Kyle Thomas

Data Entry and Receipting (Board Room)

(6 stations)

November 28

7:45 a.m.

Amy Calvin
Amy Eddings
John Levin
Tara Molnar
Rose Rodriguez
Carole Skaggs

West Hallway Leading to Administrative Services (by publication rack)

November 28

7:30 a.m.

IL State Police

IT Staff (Outside Mini Board Room Area)

November 28

7:30 a.m.

Kevin Turner (Headset)

Traffic Control (Outside Mini Board Room Area)

November 28

7:45 a.m.

Mike Roate (Headset)

Backup/Relief for Breaks

Dave Grubb

Coding and Time Stamping (Outside Mini Board Room)

(4 stations)

November 28

7:45 a.m.

Eric Donnewald

Gary Nerone

Tom Newman

Sharon Steward

Data Entry and Receipting (Outside Mini Board Room)

(4 stations)

November 28

7:45 a.m.

Pat Bensken

Becky Glazier

Kelley Gray

Katie Miller

Traffic Control at Doorway Exiting Mini Board Room Area

November 28

7:45 a.m.

Linda Wentz

Traffic Control in front of John L. cubicle

November 28

7:45 a.m.

IL State Police

Back Door Exit (eastside of building)

November 28

7:45 a.m.

Jason Hinds

IL State Police

Petition Transport

November 28

8:00 a.m.

Mike Heap (Outside of Mini Board Room)

Jeremy Kirk (PI Room)

Ryan Turner (Board Room)

Petition Storage and Filing (Mini Board Room)

November 28

7:45 a.m.

Mickey Reinders

Jamye Sims

Receipt Correction

November 28

Kay Walker

Backup Coders/Time Stamping

November 28

Bruce Brown

Adam Hager

Chris Hager

Mike Montney

Backup Data Entry/Receipting

November 28

Adam Hager

Chris Hager

Kim Mrozowski

Jennifer Ronimous

After the initial wave of petition filers have been processed on November 28, all other petitions to be filed during the filing period will be processed in the PI room.

PETITION REQUEST/VIEWING

Amy Evans is responsible for petition viewing and copy request; Gary Nerone for removing petitions from the filing room and working with scanning personnel; Brent Davis for scanning petitions.

PETITION REQUEST

November 28
3:00 p.m. – 5:00 p.m.

Amy Evans
Becky Glazier

(After 5:00 p.m. Becky moves to copy/scanning area)

November 29, 30, December 1, 2, and 5
December 6, 7, 8, 9 and 12
8:00 a.m. – 5:00 p.m.

Amy Evans
Becky Glazier (as needed)

PETITION VIEWING

November 28
3:00 p.m. – 5:00 p.m.

Amy Calvin
Carole Skaggs

Mickey Reinders and Jamye Sims in petition room
(After 5:00 p.m. Amy and Carole moves to copy/scanning area)

November 29, 30, December 1, 2, 5
8:00 a.m. – 5:00 p.m.

Amy Calvin
(Lunch covered by Carole Skaggs)

Vera Bolden in petition room
(Lunch covered by Linda Wentz)

December 6, 7, 8, 9, 12
8:00 a.m. – 5:00 p.m.

Carole Skaggs
(Lunch covered by Amy Calvin)

Linda Wentz in petition room
(Lunch covered by Vera Bolden)

PETITION SCANNING/PRINTING

Brent Davis
Gary Nerone

LOGGING ORIGINAL PETITIONS BEFORE AND AFTER SCANNING

November 28
3:00 p.m. – 11:30 p.m.

Bruce Brown
Linda Wentz
Cheryl Hobson

5:00 p.m. – 11:30 p.m.

Amy Evans
Jamye Sims
Kay Walker

SCANNING PETITIONS

November 28
3:00 p.m. – 11:30 p.m.

Jeff Berry (1 temp)
Mike Montney (1 temp)
Brian Zilm (1 temp)
Amy Eddings (1 temp)
Chris Hager (1 temp)
Erica Christell (1 temp)

LOGGING ORIGINAL PETITIONS BEFORE AND AFTER SCANNING

November 29
8:00 a.m. – 5:00 p.m.

Linda Wentz
Erica Christell
Bruce Brown

SCANNING PETITIONS

November 29
8:00 a.m. – 5:00 p.m.

Jeff Berry (1 temp)
Mike Montney (1 temp)
Brian Zilm (1 temp)
Jamye Sims (1 temp)

Amy Eddings (1 temp)
Chris Hager (1 temp)

LOGGING ORIGINAL PETITIONS BEFORE AND AFTER SCANNING

November 29, 30, December 1, 2

8:00 a.m. – 5:00 p.m.

Linda Wentz
Erica Christell
Mickey Reinders (as needed)
Bruce Brown (as needed)

SCANNING PETITIONS

November 29, 30, December 1, 2

8:00 a.m. – 5:00 p.m.

Mike Montney
Jeff Berry
Brian Zilm
Amy Eddings (as needed)
Jamyne Sims (as needed)
Bruce Brown (as needed)

LOGGING ORIGINAL PETITIONS BEFORE AND AFTER SCANNING

December 5

2:00 p.m. – 10:00 p.m.

Erica Christell
Linda Wentz

December 5

5:00 p.m. – 10:00 p.m.

Cheryl Hobson
Kay Walker
Amy Evans

SCANNING PETITIONS

December 5

2:00 p.m. – 10:00 p.m.

Jeff Berry
Amy Eddings
Brian Zilm
Jamyne Sims

**December 5
5:00 p.m. – 10:00 p.m.**

Mike Montney
Chris Hager

SCANNING OBJECTIONS

December 6, 7, 8, 9, 12

Mike Montney
Jeff Berry
Brian Zilm

PROOF READING

**November 29 – December 6
(As needed)**

9:00 a.m. - ???

Kay Walker, Sue Klos, Jane Gasperin

LAST DAY OF FILING PERIOD DECEMBER 5

After the initial wave of petition filers have been processed on November 28, all other petitions filed during the November 28 through December 5 filing period will be processed in the Campaign Disclosure room (Pat Bensken) located on the first floor. Election will need addition assistance during the last day.

IT STAFF (PI ROOM)

**December 5
1:00 p.m. – 5:00 p.m.**

Kevin Turner

TRAFFIC CONTROL (PI Room Hallway side door)

**December 5
1:00 p.m. – 5:00 p.m.**

Bernadette Harrington

**3:00 p.m. – 5:00 p.m.
IL State Police**

CODING

December 5
1:00 p.m. – 5:00 p.m.

Dianne Felts
Pat Freeman
Kay Walker

COMPUTER CHECKING JUDGES NAMES

December 5
1:00 p.m. – 5:00 p.m.

Cheryl Hobson

TRAFFIC CONTROL (INSIDE PI ROOM)

December 5
1:00 p.m. – 5:00 p.m.

Kyle Thomas

DATA ENTRY

December 5
1:00 p.m. – 5:00 p.m.???

Sue Klos
Carole Skaggs

BACKUPS/RELIEF FOR BREAKS

Amy Eddings
John Levin

RECEIPTING

December 5
1:00 p.m. – 5:00 p.m.???

Adam Hagar
Chris Hagar

BACKUPS/RELIEF FOR BREAKS

Bruce Brown
Mike Montney

RECEPTION AREA

**December 5
3:00 p.m. – 5:00 p.m.**

IL State Police

ECONOMIC INTEREST STATEMENTS

**December 5
3:00 p.m. – 5:00 p.m.**

Renee Paine (SOS Index Division)

TRAFFIC CONTROL EXITING BOARD ROOM

**December 5
1:00 p.m. – 5:00 p.m.**

Erick Pitchford

ECONOMIC INTERESTS STATEMENTS

**December 5
3:00 p.m. – 5:00 p.m.**

Renee Paine (SOS Index Division)

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

MEMORANDUM

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

To: Rupert Borgsmiller, Executive Director
From: Kenneth R. Menzel, Deputy General Counsel
Re: Ballot Certification For 2012 General Primary and Anticipated Need For One Or More Amended Certifications: Request To Authorize Staff Issuance
Date: November 4, 2011

The ballot certification deadline for the 2012 General Primary is January 12, 2012, and the Board is scheduled to meet on that day to certify the ballot. We anticipate the need will arise for at least one amended certification, and perhaps a series of them, as discussed in detail below.

To avoid any unnecessary delays in issuance of amended certifications (as well as the inconveniences and practical difficulties to the Board that would arise from a series of closely spaced special meetings), we would recommend that the Board authorize and direct the staff to promptly issue amended ballot certifications without further Board action as follows:

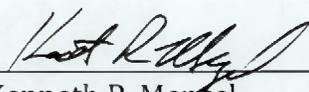
- A. To add the "objection pending" status designation with regard to Presidential or Delegate/Alternate Delegate candidates where objections are timely filed after the Board's initial certification;
- B. To remove a Presidential or Delegate/Alternate Delegate candidate who files a withdrawal after the Board's initial certification, either by the close of business on January 13, 2012 or following a timely filed objection after January 13, 2012;
- C. To remove the "objection pending" status with regard to a candidate against whom objections were filed when the objections are withdrawn so as to leave no pending objection remaining against the candidate; and/or
- D. In the event that too many Delegate and/or Alternate Delegate candidates file as committed to a particular candidate for President, to amend the certification in accordance with the affidavit filed by the affected Presidential candidate specifying which Delegate and/or Alternate Delegate candidates will appear on the ballot as committed to him/her.

Both established parties have set their Presidential and Delegate/Alternate Delegate filing periods as January 3 to January 6, 2012, so as to make January 13, 2012 the last day to file objections to those candidates. We anticipate receiving at least one objection (if not several) with respect to these candidates, most likely filed on the last day for objections, so as to generate the need to amend the ballot certification almost immediately. If there are numerous objections to a variety of candidates, there is a possibility that we might see a series of candidate withdrawals and/or withdrawn objections as the objection process plays out following the initial amended certification.

Further, the respective sets of Delegate Selection Plans filed by the Democratic and Republican parties provide that in the event that more Delegate/Alternate Delegate candidates file as committed to a particular Presidential candidate than the number which could be elected from a given Congressional District, the affected Presidential candidate's campaign must file an affidavit designating which Delegate/Alternate Delegate candidates will appear on the ballot as committed to the Presidential Candidate. The Republican Party plan deadline is January 17, 2012 (and non-selected candidates appear on the ballot as uncommitted). The Democratic Party plan deadline is January 20, 2012 (and the non-selected candidates do not appear on the ballot at all).¹

Even if no objections are filed, and no excess Delegate/Alternate Delegate candidates file, the Election Authorities will be working under challenging time constraints to meet their ballot preparations deadlines. Notable among those deadlines is the February 4, 2012 deadline for mailing UOCAVA ballots to military and overseas voters. Any objections or excess candidates will only complicate this process for them, and avoiding unnecessary delay in issuing any amended ballot certifications will be important to helping the Election Authorities in timely ballot preparation.

For these reasons, the staff recommends that the Board authorize and direct the staff to promptly issue amended certifications when any of the four situations detailed on the first page arise, without convening a special Board meeting for the purpose of considering each situation.



Kenneth R. Menzel
Deputy General Counsel

¹ If this excessive delegate filing issue exists for either party, we will know it at the close of the filing period on January 6, 2012. In that case, the staff will endeavor to get the necessary affidavits from the campaigns prior to the initial ballot certification. However, we raise this issue so that we're covered in the event that any of the affected Presidential campaigns are not sufficiently responsive.

Judges Schools GP 2012

Date	Jurisdiction	No. of Schools	Attendance	Zone
Tuesday, January 17, 2012	Aurora	3	0	Four
Wednesday, January 18, 2012	Aurora	3	0	Four
Thursday, January 19, 2012	Aurora	3	0	Four
Friday, January 20, 2012	Aurora	3		Four
Thursday, January 26, 2012	Woodford	2	0	Three
Friday, January 27, 2012	Kendall	1	0	Four
Thursday, February 02, 2012	Saline	2	0	One
Wednesday, February 15, 2012	Hardin	1	0	One
Wednesday, February 15, 2012	Pope	1	0	One
Thursday, February 16, 2012	Massac	2	0	One
Tuesday, February 21, 2012	Hamilton	2	0	One
Wednesday, February 22, 2012	Gallatin	2	0	One
Thursday, February 23, 2012	Pulaski	1	0	One
Tuesday, February 28, 2012	Monroe	3	0	One
Wednesday, February 29, 2012	Monroe	4	0	One
Thursday, March 01, 2012	Fayette	2	0	One
Tuesday, March 06, 2012	Effingham	3	0	One
Tuesday, March 06, 2012	Marion	3	0	One
Wednesday, March 07, 2012	Effingham	3	0	One
Tuesday, March 13, 2012	Williamson	3	0	One

INTEROFFICE MEMORANDUM

To: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: ES&S Printer Approvals for OKI Data Model C711 & C9650 (Ballot on Demand feature)

Date: October 25, 2011

ES&S requests the Board to approve the modification for use of two different printers for their Ballot on Demand feature (In-house printing of military and absentees). The request is for the OkI Data Models C711 and C9650 which are commercial off the shelf printers. Staff does not need to test these minor modifications but the vendor does need to request approval and receive our Board's approval before the printers can be used in Illinois. VOSS Director recommends approval.

INTEROFFICE MEMORANDUM

To: Jane Gasperin
From: Brent Davis
Subject: October Monthly eCanvass Report
Date: 11/8/2011

October updates for the eCanvass:

- Dominion Voting Systems – We have continued application development based upon the final format and content we received for the WinEds system. Dominion has also prepared an export that will be used with the GEMS system in the jurisdictions that license the software from Dominion. These data exports are currently being analyzed to ensure that they can be processed by our system and that they contain the necessary data.
- Hart Intercivic, Inc. – The data exports from Hart Intercivic systems have successfully been parsed into databases. We will continue work on the part of the program that will eventually process this data automatically.
- ES&S – Election Systems and Software has provided data files that represent the data and format that their system is able to produce. This data is currently being analyzed to determine if it needs to be modified to meet the needs of the agency.
- Pilot Jurisdictions – I have confirmed participation with two jurisdictions, Cook County and the City of Peoria, for the upcoming March primary pilot program. I anticipate adding additional jurisdictions to the pilot program as we finalize arrangements with remaining vendors and the programming necessary for those tabulation systems.
- Catalyst and SBE IT staff continue to meet weekly to work out the technical details of integration into IVRS, and the mechanisms for election data to be transferred into a permanent SBE repository.
- Progress continues to be made with regard to the programming by both Catalyst and SBE IT staff. During October all code that has been written thus far was moved onto a server with shared access which allows both parties to work on the same system simultaneously.

Memorandum

From the desk of...Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.il.gov

To: Rupert Borgsmiller, Executive Director
Chairman McGuffage
Vice Chairman Smart

Subject: Campaign Finance Reform Task Force

Date: November 8, 2011

The Illinois Campaign Finance Reform Task Force is scheduled to meet on Thursday, November 17, 2011 at 1:00 pm in the offices of the State Board of Elections.

A formal vote is to be taken at this time on proposed legislation to be presented during the Spring Session.

Materials discussed and presented at this meeting will be sent to you on Friday, November 18th.

Thank you.

State Board of Elections
1020 South Spring Street
Springfield, IL 62704

STATE BOARD OF ELECTIONS



*From the desk of.... Eric Donnewald
Director of Election Training/Resource Development
Phone: 217-782-1573
Email: edonnewald@elections.il.gov*

To: Rupert Borgsmiller, Executive Director
Re: Metes and bounds project
Date: November 7, 2011

Public Acts 97-6, 97-14 and 97-15 required the State Board of Elections to prepare and make available to the public a metes and bounds description of the new Congressional, Legislative and Cook County Board of Review districts. Metes and bounds descriptions are text used to describe boundaries for particular districts.

In June of 2011 the Board received boundary information from the Ill General Assembly for the purpose of describing the new district lines. This information was forwarded to GIS Solutions of Springfield, Illinois who in turn drafted descriptions of the lines to be reviewed by Board staff. The drafting and reviewing process continued for approximately 4 months and was completed in mid October of 2011.

The metes and bounds descriptions for Congressional and Legislative districts are currently posted on the Board's website. In addition this information has been emailed to each Illinois election authority.

Illinois election authorities were made aware of anomalies that were discovered during the course of this project. These anomalies were typically legislative and congressional district lines that divided buildings (houses or businesses). Upon request we sent this anomaly information to election authorities. This was provided for informational purposes. (See following email)

As always I am available to answer any questions regarding this project.

Donnewald, Eric

From: Donnewald, Eric
Sent: Monday, October 31, 2011 4:25 PM
To: Adams Co. Clk.; Alexander County Clk.; Bond Co. Clk.; Boone Co. Clk.; Brown Co. Clk.; Bureau Co. Clk.; Calhoun Co. Clk.; Carroll Co. Clk.; Cass Co. Clerk; Champaign Co. Clk.; Christian Co. Clk.; Chief Deputy Clerk; Clark Co. Clk.; Clay Co. Clk.; Clinton Co. Clk.; Chief Deputy Clk.; Coles Co. Clk.; Cook Co. Clk.; Crawford County Clk.; Cumberland Co. Clk.; DeKalb Co. Clk.; Chief Deputy; DeWitt Co. Clk.; Douglas Co. Clk.; Edgar Co. Clk.; Edwards Co. Clk.; Effingham Co. Clk.; Fayette Co. Clk.; Ford Co. Clk.; Franklin Co. Clk.; Fulton Co. Clk.; Gallatin Co. Clk.; Greene Co. Clk.; Grundy Co. Clk.; Hamilton Co. Clk.; Hancock Co. Clk.; Hardin Co. Clk.; Henderson County Clerk; Henry Co. Clk.; Iroquois Co. Clk.; Deputy Clerk; Jackson Co. Clk.; Jasper Co. Clk.; Jefferson Co. Clk.; Jersey Co. Clk.; JoDaviess Co. Clk.; Johnson Co. Clk.; Kane Co. Clk.; Kankakee Co. Clk.; Kendall Co. Clk.; Chief Deputy Clerk; Knox Co. Clk.; Lake Co. Clk.; Lake Co. Deputy Clerk; LaSalle Co. Clk.; Lawrence Co. Clk.; Lee Co. Clk.; Livingston Co. Clk.; Logan Co. Clk.; Macon Co. Clk.; Macoupin Co. Clk.; Madison Co. Clk.; Marion Co. Clk.; Marshall Co. Clk.; Mason Co. Clk.; Massac Co. Clk.; McDonough Co. Clk.; McHenry Co. Clk.; McLean Co. Clk.; Elections Director; Chief Deputy; Menard Co. Clk.; Mercer Co. Clk.; Monroe Co. Clk.; Montgomery Co. Clk.; Morgan Co. Clk.; Moultrie Co. Clk.; Ogle Co. Clk.; Peoria Co. Clk.; Perry Co. Clk.; Piatt Co. Clk.; Pike Co. Clk.; Pope Co. Clk.; Pulaski Co. Clk.; Putnam Co. Clk.; Randolph Co. Clk.; Richland Co. Clk.; Rock Island Co. Clk.; Rock Island Deputy Clerk; Saline Co. Clk.; Saline Co. Clk.; Sangamon Co. Clk.; Sangamon Co.; Schuyler Co. Clk.; Scott Co. Clk.; Shelby Co. Clk.; Chief Deputy; St. Clair Co. Clk.; Stark Co. Clk.; Stephenson Co. Clk.; Tazewell Co. Clk.; Union Co. Clk.; Vermillion Co. Clk.; Vermillion Deputy Co. Clk.; Wabash Co. Clk.; Wabash Co. Clk.; Warren Co. Clk.; Washington Co. Clk.; Wayne Co. Clk.; White Co. Clk.; Whiteside Co. Clk.; Will Co. Clk.; Williamson County Clerk; Winnebago Co. Clk.; Woodford Co. Clk.; Aurora Board; Bloomington Board; Chicago Board; Frank Bourelle, Deputy Finance Director; Danville Board; DuPage Co. Bd.; DuPage Co. Bd.; E. St. Louis Bd.; Galesburg Bd.; Galesburg Bd.; Peoria Bd.; Rockford Bd.

Cc: Borgsmiller, Rupert; Tenuto, Jim; List - Division Directors; List - Elec Operations
Subject: New district lines

Hello everyone:

The Illinois State Board of Elections has completed the metes and bounds project as required by Public Act 97-6 and Public Act 97-14. We want to make you aware of anomalies we have discovered during the course of this project. These anomalies are typically legislative and congressional district lines that divide buildings (houses or businesses). Upon request we can send you this anomaly information if it applies to your jurisdiction. This is provided for informational purposes. If you are interested in acquiring this information please contact Brian Zilm at 217-524-6171 or bzilm@elections.il.gov.

Thank you.

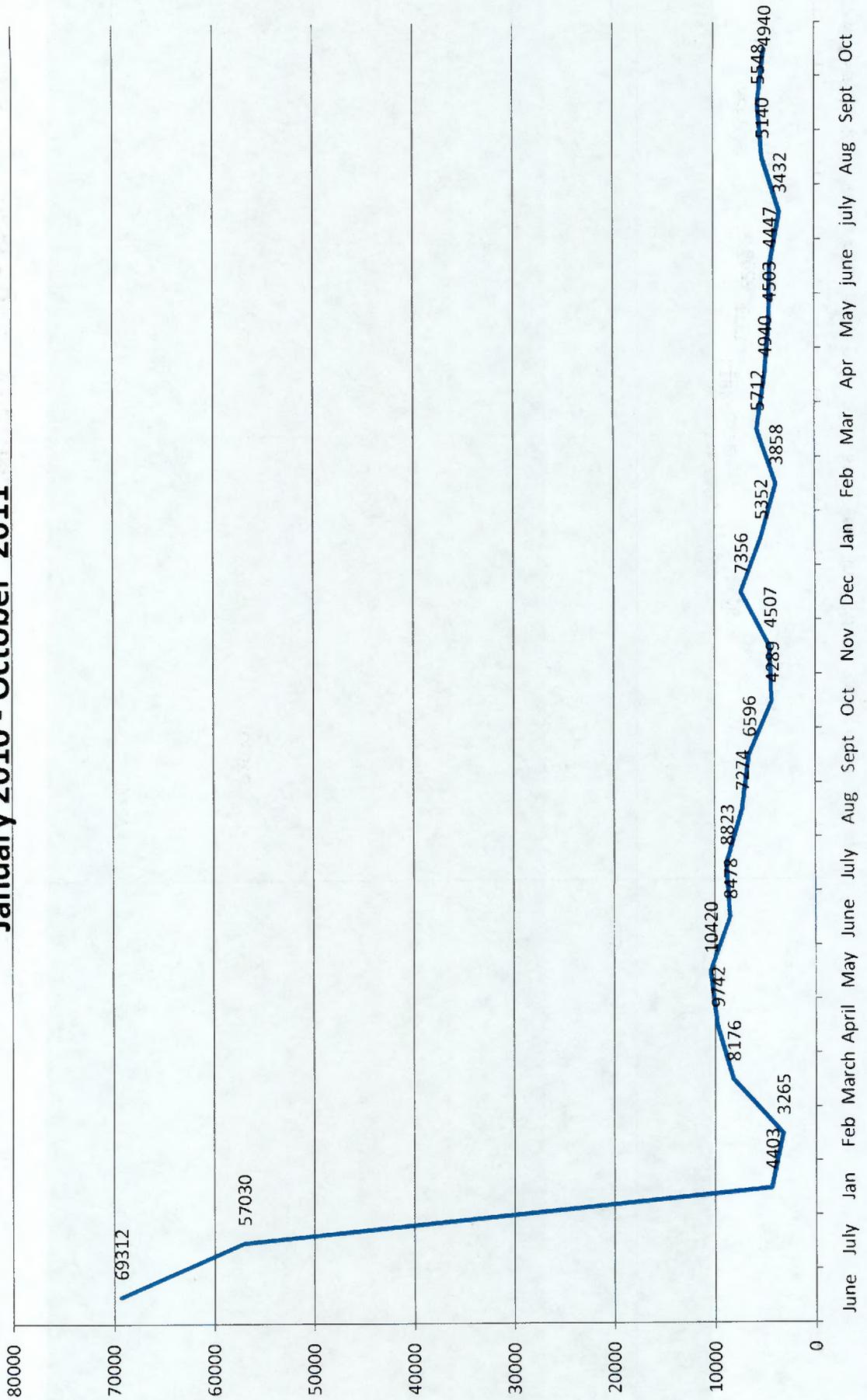
Eric Donnewald
Director, Election Training & Resource Development
Ill State Board of Elections
PO Box 4187
1020 South Spring St
Springfield, IL 62708
217-782-1573

Jurisdiction	July	Aug	Sept	Qct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Oldest Match Date
Adams County	0	0	5	4	7	7	8	5	8	7	8	6	4	16	20	19	2/6/2009
Alexander County	41	41	40	41	5	3	3	4	7	5	5	6	5	5	5	3	2/6/2009
Bond County	3	3	5	1	5	6	1	1	2	8	9	8	12	1	4	2	8/3/2009
Boone County	12	11	14	5	6	5	9	4	5	3	8	6	5	10	11	12	2/6/2009
Brown County	0	1	1	0	0	0	0	0	0	0	0	1	2	4	3	0	n/a
Bureau County	11	5	3	4	0	2	6	0	0	5	0	0	4	2	3	0	n/a
Calhoun County	0	0	0	1	0	1	1	1	0	0	0	0	0	0	0	0	n/a
Carroll County	2	3	2	0	3	1	0	0	5	1	1	1	0	1	2	0	n/a
Cass County	16	16	19	0	0	5	8	0	3	1	1	0	0	2	0	0	n/a
Champaign County	39	24	42	13	43	129	12	10	88	110	16	17	10	195	132	68	2/6/2009
Christian County	0	0	3	13	7	13	3	3	3	2	2	3	2	1	1	1	2/6/2009
Clark County	6	6	6	6	7	8	5	5	6	6	5	5	4	4	5	3	2/6/2009
Clay County	69	79	58	60	60	62	52	52	58	65	62	67	57	46	45	28	2/6/2009
Clinton County	50	50	30	28	16	20	15	16	17	16	21	11	3	6	14	7	2/6/2009
Coles County	38	34	29	31	18	16	14	14	14	5	4	4	2	6	6	7	2/6/2009
Cook County	3,231	2,477	2,277	1,271	1,446	2,357	1,770	1,393	1,988	1,773	1,679	1,717	1,270	1,943	2,085	1,874	2/6/2009
Crawford County	48	49	43	47	17	22	19	18	11	11	11	13	7	21	21	18	2/6/2009
Cumberland County	4	4	5	8	4	1	0	0	1	0	0	1	0	0	0	0	n/a
DeKalb County	66	43	35	31	22	29	20	19	23	4	4	4	4	12	14	8	2/6/2009
DeWitt County	66	61	2	4	2	4	3	2	2	2	2	6	3	2	5	3	2/6/2009
Douglas County	1	1	1	0	1	2	404	0	1	1	0	0	0	0	1	0	n/a
DuPage County	328	135	69	41	183	368	0	34	51	25	47	29	27	60	27	42	2/6/2009
Edgar County	12	12	1	1	0	0	0	0	0	0	0	0	1	0	0	0	n/a
Edwards County	3	4	2	5	2	2	2	2	2	4	4	4	5	4	3	2	2/6/2009
Effingham County	6	6	6	2	0	1	1	1	0	0	0	1	0	2	1	0	n/a
Fayette County	18	15	15	13	12	11	11	10	11	11	9	14	12	17	12	3	2/6/2009
Ford County	2	4	8	3	4	22	1	1	1	2	1	1	2	0	0	0	n/a
Franklin County	69	68	73	82	65	70	60	59	62	60	58	60	61	60	59	43	2/6/2009
Fulton County	11	11	15	7	5	9	7	4	7	7	4	4	5	6	6	9	2/6/2009
Gallatin County	0	0	0	1	2	2	1	1	1	1	1	1	1	1	1	2	11/3/2011
Greene County	7	2	1	1	4	4	3	4	1	1	4	3	7	1	3	2	11/3/2011
Grundy County	19	15	19	9	30	42	25	27	52	0	2	3	9	10	4	0	n/a
Hamilton County	0	1	1	0	0	0	0	0	0	0	0	0	2	0	1	0	n/a
Hancock County	1	1	2	1	3	3	1	1	1	2	2	1	0	2	2	2	2/6/2009
Hardin County	6	4	0	0	1	1	0	0	0	1	2	1	0	0	0	0	n/a
Henderson County	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	n/a
Henry County	27	25	22	17	17	20	17	17	19	17	13	5	6	5	7	5	4/18/2011
Iroquois County	14	20	24	28	7	15	5	5	1	2	1	2	1	5	8	3	2/6/2009

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Oldest Match Date
Jackson County	26	24	24	28	16	28	15	14	20	22	17	20	15	14	14	12	2/6/2009
Jasper County	5	6	7	10	5	3	4	0	0	0	1	2	2	4	4	5	5/26/2009
Jefferson County	6	6	1	0	0	0	0	0	1	0	0	1	0	0	0	0	n/a
Jersey County	6	6	6	8	11	9	13	12	15	15	17	17	17	19	20	20	2/6/2009
JoDaviness County	0	0	0	0	1	0	0	0	0	0	0	0	0	0	2	1	9/12/2011
Johnson County	13	11	8	7	1	2	1	1	1	0	0	1	0	0	0	0	n/a
Kane County	117	50	37	51	44	92	32	19	35	17	21	16	5	27	35	34	2/6/2009
Kankakee County	41	44	45	55	67	93	9	5	21	8	12	18	7	90	79	64	2/6/2009
Kendall County	50	23	18	9	15	12	6	4	5	0	4	2	5	6	13	7	9/12/2011
Knox County	13	15	16	18	1	5	7	7	0	1	1	5	9	9	11	14	9/10/2010
Lake County	157	118	152	47	44	214	193	18	51	23	54	32	16	36	46	41	2/6/2009
LaSalle County	106	82	17	10	10	21	14	6	22	11	16	9	4	14	15	15	2/6/2009
Lawrence County	2	2	2	2	1	2	1	0	1	0	1	1	1	0	0	0	n/a
Lee County	4	3	2	1	4	3	0	0	1	0	0	0	0	0	1	0	n/a
Livingston County	4	8	9	6	8	12	1	1	3	5	4	1	1	3	2	4	5/13/2011
Logan County	13	13	4	2	6	5	4	5	12	5	5	4	4	4	3	2	2/6/2009
Macon County	4	5	8	21	7	25	8	4	8	3	3	1	2	45	19	15	2/6/2009
Macoupin County	4	6	5	11	4	12	5	6	8	3	1	0	1	5	7	5	10/8/2010
Madison County	30	26	46	27	29	35	18	17	34	16	27	20	13	18	30	25	2/6/2009
Marion County	53	64	35	39	26	27	18	18	29	24	29	22	20	33	23	13	2/6/2009
Marshall County	2	2	1	1	1	0	3	2	0	1	2	6	0	2	3	0	n/a
Mason County	1	0	0	0	1	1	0	3	1	0	0	0	0	0	0	0	n/a
Massac County	6	6	6	9	4	4	3	3	5	3	3	3	3	3	4	3	2/6/2009
McDonough County	3	3	6	6	9	9	6	2	5	2	2	2	3	5	4	4	2/6/2009
McHenry County	31	15	50	92	35	125	50	4	7	34	69	74	4	10	22	18	2/6/2009
McLean County	39	30	3	3	6	44	7	4	20	21	9	13	5	32	18	10	2/6/2009
Menard County	38	5	5	8	2	3	3	2	2	1	1	1	1	1	1	3	2/6/2009
Mercer County	139	141	142	142	146	148	150	148	149	131	113	12	12	10	12	10	2/6/2009
Monroe County	1	5	5	6	8	6	2	2	2	2	6	7	0	2	0	2	10/19/2011
Montgomery County	0	4	2	2	4	13	4	3	9	10	13	7	10	1	0	0	n/a
Morgan County	19	16	16	12	3	11	4	3	6	3	2	0	9	12	6	5	8/5/2011
Moultrie County	0	0	1	0	3	0	0	0	0	0	0	1	0	0	0	0	n/a
Ogle County	1	3	0	1	2	7	10	0	1	0	1	1	3	3	5	2	6/3/2011
Peoria County	7	4	4	0	4	19	1	2	2	2	4	0	3	5	4	9	7/29/2011
Perry County	0	3	6	5	1	4	0	0	1	0	0	0	0	0	1	0	n/a
Piatt County	6	2	2	6	1	4	2	2	1	2	2	2	1	0	1	0	n/a
Pike County	7	7	7	9	9	12	10	10	9	11	12	13	3	0	5	7	9/12/2011
Pope County	5	1	1	1	1	2	0	0	1	2	1	1	2	1	1	0	n/a

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Oldest Match Date
Pulaski County	20	20	16	20	4	4	0	0	2	2	1	1	2	2	4	3	2/6/2009
Putnam County	2	2	1	2	0	2	0	0	2	0	0	0	0	0	0	1	10/31/2011
Randolph County	2	4	3	3	2	3	0	0	3	0	1	3	0	0	2	2	10/14/2011
Richland County	20	22	15	20	11	13	7	6	5	6	5	6	6	7	7	6	2/6/2009
Rock Island County	135	138	151	154	163	178	180	188	124	182	177	75	70	63	66	62	2/6/2009
Saline County	1	0	1	1	1	2	4	4	2	1	7	8	8	2	5	3	5/31/2011
Sangamon County	60	29	37	42	21	63	15	20	42	16	15	21	14	16	17	21	2/6/2009
Schuyler County	2	1	4	2	4	9	9	0	4	1	2	0	0	0	1	1	9/26/2011
Scott County	3	2	6	1	0	5	2	2	3	3	2	3	8	3	2	6	12/22/2010
Shelby County	1	1	1	3	1	2	0	0	0	1	1	1	0	3	1	0	n/a
Stark County	0	0	0	1	0	0	0	0	0	0	1	2	2	2	0	0	n/a
St. Clair County	47	41	46	43	50	77	26	28	43	29	46	38	16	16	13	16	2/6/2009
Stephenson County	0	1	3	2	1	2	2	2	12	2	3	2	4	7	11	7	2/6/2009
Tazewell County	17	14	37	19	17	81	19	24	16	29	39	29	21	27	21	24	2/6/2009
Union County	32	35	30	33	24	33	17	17	28	29	24	22	20	25	23	23	2/6/2009
Vermilion County	6	6	6	3	3	3	3	3	4	3	4	3	2	3	7	9	8/27/2009
Wabash County	0	1	1	0	0	2	1	0	0	0	1	1	3	6	7	2	2/6/2009
Warren County	8	9	9	3	5	7	2	0	2	1	1	2	1	5	3	3	3/18/2011
Washington County	11	13	14	16	7	7	5	4	4	4	6	6	6	6	12	4	2/6/2009
Wayne County	34	41	30	35	34	31	28	28	31	33	34	37	34	16	15	1	2/6/2009
White County	1	2	0	0	1	3	2	2	1	3	3	3	4	5	4	2	11/8/2010
Whiteside County	6	9	9	8	7	11	7	6	9	5	6	2	2	2	3	3	6/18/2010
Will County	380	265	357	340	420	578	437	412	596	586	193	138	50	233	245	58	2/6/2009
Williamson County	93	95	94	102	57	65	43	42	45	43	45	46	45	48	49	32	2/6/2009
Winneshago County	28	19	14	46	35	39	25	8	10	7	10	5	4	6	5	13	2/6/2009
Woodford County	1	1	1	0	0	4	0	0	0	0	0	0	0	2	2	2	8/3/2009
City of Aurora	27	14	19	7	17	6	12	14	3	14	2	3	4	20	23	28	2/6/2009
City of Bloomington	9	9	9	14	11	15	5	6	6	4	5	8	4	4	7	6	2/6/2009
City of Chicago	2,620	2,449	2,056	899	1,037	1,755	1,382	985	1,714	1,346	1,383	1,626	1,349	1,703	2,020	2,017	2/6/2009
City of Danville	2	2	3	2	3	7	3	2	6	3	8	3	6	4	5	7	2/6/2009
City of East St. Louis	45	18	9	5	3	12	5	5	10	8	6	11	4	3	2	3	2/6/2009
City of Galesburg	5	8	8	7	2	6	10	4	3	3	3	3	5	6	7	9	9/3/2010
City of Peoria	6	2	13	2	4	39	2	2	23	35	20	9	14	19	22	30	2/6/2009
City of Rockford	14	11	16	20	18	17	8	4	19	5	10	10	10	12	15	20	2/6/2009
	8,823	7,274	6,596	4,289	4,507	7,356	5,352	3,858	5,712	4,940	4,503	4,447	3,432	5,140	5,548	4,940	

Statewide Duplicate Voter Registrations January 2010 - October 2011



<u>County</u>	Total Voters Registered as of 11/08/2011	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 10/4/2011	% Reg. vs. 18 and Over as of 11/08/2011
ALEXANDER	7,857	8,238	95.38%	6,353	124.02%	123.67%
CLARK	12,628	16,335	77.31%	12,617	101.12%	100.09%
CLINTON	25,992	37,762	68.83%	29,180	89.88%	89.07%
HARDIN	3,096	4,320	71.67%	3,444	89.90%	89.90%
JASPER	7,188	9,698	74.12%	7,494	98.29%	95.92%
MASSAC	12,481	15,429	80.89%	11,911	104.75%	104.79%
MENARD	8,608	12,705	67.75%	9,704	88.55%	88.71%
MERCER	11,624	16,434	70.73%	12,714	104.45%	91.43%
OGLE	33,566	53,497	62.74%	40,253	84.21%	83.39%
PULASKI	5,533	6,161	89.81%	4,756	116.40%	116.34%
RICHLAND	13,089	16,233	80.63%	12,625	105.82%	103.68%
ROCK ISLAND	86,808	147,546	58.83%	114,359	75.43%	75.91%
STARK	3,999	5,994	66.72%	4,583	87.61%	87.26%
UNION	15,087	17,808	84.72%	13,980	107.78%	107.92%
City of East St. Louis	19,009	27,006	70.39%	19,098	99.65%	99.53%

STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voter Registration Services
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Rupert T. Borgsmiller; Executive Director

Re: Joint Committee Meeting-Statewide EMS

Date: November 8, 2011

October 27th marked the second meeting of the Joint Committee for a State provided Election Management System (EMS). The meeting began at 1:00 pm at the Timber Creek Inn and Suites and Convention Center in Sandwich, IL, shortly after the monthly Zone IV meeting had concluded.

We again had good attendance with all but one Zone being represented. It came as no surprise that Zone IV turned out the most representatives, with roll call showing;

- Zone 1-Steven Fox, Marion County
- Zone 2-N/A
- Zone 3-Gordy Hulten, Champaign County
Steve Bean, Macon County
- Zone 4-Katherine Shultz, McHenry County
Phyllis Bewley, Mercer County
Jan Kralovec, Cook County
- BEC - Kelley Bateman, Chicago Board
Doreen Nelson and Joe Sobecki, DuPage County Board
Lin Fechner, Aurora Board

There were also a couple other jurisdiction representatives present which are not on the committee:

- JoAnn Carretto, LaSalle County
- Brian Woessner, Carroll County
- Debbie Gillette and Renetta Michelson, Kendall County
- Jay Bennett and Lindsay Timm, Kane County

The discussions began with a review of what was mentioned at the first meeting and covering what was discovered from research conducted to find other states who have taken a similar path as Illinois, which is having a bottom-up HAVA compliant database and replacing it with a more top-down approach. We found only one state of the six other bottom-up states to be in the process of replacing their current system with a top-down approach, which was South Dakota. The other states with bottom-up systems have no plans to replace their current systems at this time. South Dakota's stated reason for moving to replace

their system was costs savings, which they expect to be significant. One other state which I feel could serve as somewhat of a model for Illinois is Texas, which has in place a "hybrid" system. This hybrid is similar to how I envision the arrangement in Illinois, which allows jurisdictions who wish to partake in the state provided system to do so and for those who wish to have their own EMS, they are able to purchase that independently, yet still connect to the state system for HAVA compliant functionality.

Our discussion then turned to the Cook County RFP, which would be the foundation for a Statewide EMS RFP. The only real addition requested by the jurisdictions at this time was for electronic poll-book functionality, which could include remote access back to the election authorities' offices. This request was made by Steve Bean of Macon County, as he currently has similar functionality that he has found to be a great improvement to his previous practices.

Several of the representatives stated that before they could give recommendations as to what they would like to see in the RFP, they would need to see what other states have in place and what types of functionality are possible. After much discussion on this matter, it was concluded that further research into what features current top-down states enjoy is necessary before trying to complete our RFP. So, I will be sending contact information for the top-down states to a few of the committee members, in order for the election authorities to assist in the research they are most interested in. I will also be consulting with the committee members in order to provide a list of questions to be asked to each state, for consistency purposes.

Again, the biggest concern shared by the committee is future costs and how they compare to our current costs. The future costs of a joint EMS cannot be accurately estimated without development of an RFP. One thing is for certain, the approach we have taken to this point is far from free and a different approach is necessary to gain needed efficiency for the future.

We adjourned just after 2:30 pm with our next meeting set for the end of January, in conjunction with the Election Official's Conference here in Springfield.

STATE BOARD OF ELECTIONS
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

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Jesse R. Smart, Vice Chairman
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MEMORANDUM

To: Chairman William M. McGuffage, Vice Chairman Jesse R. Smart
Members of the Board and Executive Director Rupert Borgsmiller

From: Steve Sandvoss, General Counsel

Re: Required Review of the Minutes of Executive Sessions

Date: November 8, 2011

Pursuant to 5 ILCS 120/2.06 (d) of the Open Meetings Act, every public body is required to review the minutes of their closed meetings to determine whether or not there is a need for continuing confidentiality of such minutes. In accordance with this requirement, the Executive Director and I reviewed the minutes of the Executive Sessions of the State Board of Elections since April 19, 2011, and have concluded that the necessity of confidentiality does not exist as to such minutes, with the following exceptions:

Those portions of the executive session minutes of the April, May 6 and May 23, June, July, August and September meetings that contain discussion of the pending litigation before the Illinois Public Labor Relations Board involving the SBE and AFSMCE, communications between SBE staff and their attorneys from the lawfirm of Laner & Muchin, and discussion of SB 1556 which would impact the AFSCME litigation.

In addition, the matter of Zurek v. Friends of Barrett F. Pedersen & Democratic Party of Leyden Township, 11 CD 02, discussed in executive session at the July 1st and August 16th meeting should remain confidential as the matter did not advance beyond the closed hearing stage.

Please note that the release of the minutes of the Executive Sessions for public inspection does not automatically release the verbatim recording of such Sessions. A specific authorization of the Board would be required to release such recordings.

**STATE BOARD OF ELECTIONS
INTER-OFFICE MEMORANDUM**

From the desk of:

Michael Roate, Director of Administrative Services/CFO

TO: Members of the Board
SUBJECT: New Springfield Office – Facility Update
DATE: November 7, 2011

Below is the present status of the Springfield office facility project:

- CMS and SBE's selection recommendation (2329 S. MacArthur Blvd.) remains posted to the Illinois Procurement Bulletin. This posting will continue on the Bulletin until the actual lease contract is finalized and posted for outside comment/protest.
- During October, representatives of the prospective lessor (Town & Country Group) continued reviewing the base document and making changes to the CMS lease 'boilerplate' language to meet the specifics of this particular lease. According to original estimates from CMS, SBE would receive a modified lease from the lessor by 10/7; however, SBE did not receive the modified lease until 10/31.
- Immediately after the lessor-modified lease was received from CMS, specific SBE staff reviewed the document. This review brought up several areas of concern, including unresolved concerns from the original base document review. Concerns existed in the following areas:
 - Lack of contract documentation re: tenant improvement allowance for buildout
 - Availability and financial responsibility for architect or space planner services
 - Height limitations (8 feet) on constructed office/conference room walls
 - Sufficient bathroom access for SBE's portion of facility - location of dividing wall between the two offices
 - Computer room HVAC temperature requirements maintenance/utility responsibility
 - Access to facility prior to February 1, 2012 for IT equipment installation & moving activities
 - Responsibility for security system for new facility (other than fire & sprinkler)
- The concerns identified above were put in a memo that was returned to Rick Green at CMS-Property Management on 11/3. Per follow-up call on 11/7, Rick indicated that our concerns were passed on to CMS management for their review/comment. In SBE's opinion, it appears that some of these concerns can be alleviated simply with additional information, while others would likely require a lease document change. Once those changes are made and a revised lease document received from the Town & Country Group, it is anticipated that the lease can be signed and published on the Procurement Bulletin (for 30 days for outside comment/protest). Once this second posting is done, space planning, construction and remodeling activities can begin.
- Other items – SBE-Fiscal Section staff researched state master contract data to find a moving services master contract. A master contract was posted on the CMS site for moving services; however, direct contact with the vendor indicated that they are vacating the contract due to continued slow payment by the State. SBE is presently contacting CMS to see if an alternative moving services master contract exists that can be utilized for our facility move.

Please let me know if you have any questions or comments.

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Oct. 31, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,280,100.00	\$1,250,054.26	\$0.00	\$3,030,045.74	29.21%
STATE PAID RETIREMENT	\$171,300.00	\$49,272.51	\$0.00	\$122,027.49	28.76%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$327,600.00	\$92,494.42	\$0.00	\$235,105.58	28.23%
CONTRACTUAL SERVICE	\$1,265,700.00	\$187,561.57	\$81,161.12	\$996,977.31	14.82%
TRAVEL	\$128,200.00	\$8,937.90	\$0.00	\$119,262.10	6.97%
PRINTING	\$51,400.00	\$4,640.45	\$0.00	\$46,759.55	9.03%
COMMODITIES	\$39,500.00	\$5,129.59	\$0.00	\$34,370.41	12.99%
EQUIPMENT	\$157,800.00	\$17,586.50	\$0.00	\$140,213.50	11.14%
TELECOMMUNICATIONS	\$143,800.00	\$25,628.63	\$0.00	\$118,171.37	17.82%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$264.25	\$0.00	\$4,635.75	5.39%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$179,158.30	\$253,668.77	\$117,172.93	32.57%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$0.00	\$15,000.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$328,500.00	\$83,857.54	\$0.00	\$244,642.46	25.53%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$7,463,800.00	\$1,904,585.92	\$334,829.89	\$5,224,384.19	25.52%
CO CLERK & RECORDER STIPENDS	\$806,000.00	\$0.00	\$0.00	\$806,000.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$1,347,100.00	\$0.00	\$0.00	\$1,347,100.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$1,251,900.00	\$0.00	\$0.00	\$1,251,900.00	0.00%
REDISTRICTING	\$90,000.00	\$45,000.00	\$0.00	\$45,000.00	50.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$300,000.00	\$1,381.51	\$0.00	\$298,618.49	0.46%
IDIS SYSTEM REPLACEMENT	\$85,000.00	\$0.00	\$0.00	\$85,000.00	0.00%
SUB-TOTAL (GRANTS)	\$3,880,000.00	\$46,381.51	\$0.00	\$3,833,618.49	1.20%
TOTAL APPROPRIATION	\$11,343,800.00	\$1,950,967.43	\$334,829.89	\$9,058,002.68	17.20%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD	FY12	YEAR TO DATE		% OF
<u>MONTH ENDING: Oct. 31, 2011</u>	<u>APPROPRIATION</u>	<u>EXPENDITURE</u>	<u>BALANCE</u>	<u>EXPENDITURE</u>
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$2,500.00	\$406.97	\$2,093.03	16.28%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00	\$1,000.00	\$0.00	100.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$17,000.00	\$3,403.25	\$13,596.75	20.02%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues	\$100.00	\$0.00	\$100.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$0.00	\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$500.00	\$120.83	\$379.17	24.17%
TRAVEL	\$19,000.00	\$3,105.44	\$15,894.56	16.34%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00	\$0.00	\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

<u>BOARD GRAND TOTAL</u>	<u>FY12</u>	<u>YEAR TO DATE</u>	<u>OBLIGATED</u>	<u>BALANCE</u>
	<u>APPROPRIATION</u>	<u>EXPENDITURE</u>	<u>MONEY</u>	
CONTRACTUAL SERVICE	\$22,700.00	\$4,931.05		\$17,768.95
TRAVEL	\$19,000.00	\$3,105.44		\$15,894.56
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$42,400.00	\$8,036.49	\$0.00	\$34,363.51

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION	FY12	YEAR TO DATE	% OF	
MONTH ENDING: Oct. 31, 2011	APPROPRIATION	EXPENDITURE	BALANCE	EXPENDITURE
PERSONAL SERVICE	\$749,900.00	\$246,140.02	\$503,759.98	32.82%
1129 State Paid Retirement	\$30,000.00	\$9,282.09	\$20,717.91	30.94%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$57,400.00	\$18,218.34	\$39,181.66	31.74%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$500.00	\$0.00	\$500.00	0.00%
1205 Freight Express & Drayage	\$700.00	\$0.00	\$700.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$13,000.00	\$2,997.40	\$10,002.60	23.06%
1223 Repair/Maint. Real Property	\$4,000.00	\$0.00	\$4,000.00	0.00%
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$35,800.00	\$669.53	\$35,130.47	1.87%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,000.00	\$3,600.00	21.74%
1233 Rental, Real Property	\$325,000.00	\$88,655.85	\$236,344.15	27.28%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00	\$0.00	\$1,000.00	0.00%
1240 Statistical & Tabulation Services	\$12,800.00	\$3,324.02	\$9,475.98	25.97%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$9,500.00	\$632.21	\$8,867.79	6.65%
1251 Gas	\$10,400.00	\$0.00	\$10,400.00	0.00%
1252 Electricity	\$80,900.00	\$14,708.10	\$66,191.90	18.18%
1253 Water	\$1,400.00	\$311.22	\$1,088.78	22.23%
1255 Utilities, NEC	\$900.00	\$194.73	\$705.27	21.64%
1261 Postage	\$75,000.00	\$355.60	\$74,644.40	0.47%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$530.00	\$270.00	66.25%
1275 Subscription & Information Services	\$1,900.00	\$1,056.25	\$843.75	55.59%
1276 Reg Fees & Conf. Expenses (Employee)	\$800.00	\$0.00	\$800.00	0.00%
1277 Association Dues	\$2,000.00	\$0.00	\$2,000.00	0.00%
1279 Tuition - Employee				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00	\$0.00	\$100.00	0.00%
1289 Contractual Services, NEC	\$1,000.00	\$0.00	\$1,000.00	0.00%
TRAVEL				
1291 In-State	\$18,000.00	\$1,023.82	\$16,976.18	5.69%
1292 Out-of-State	\$1,000.00	\$0.00	\$1,000.00	0.00%
PRINTING	\$9,800.00	\$888.46	\$8,911.54	9.07%
COMMODITIES				
1304 Office/Library Supplies	\$13,500.00	\$2,538.29	\$10,961.71	18.80%
1391 Household & Cleaning Supplies	\$2,900.00	\$592.96	\$2,307.04	20.45%
1394 Office/Library Equip., Not exc. \$100				
1398 Equipment, NEC	\$500.00	\$0.00	\$500.00	0.00%
1399 Commodities, NEC	\$600.00	\$30.89	\$569.11	5.15%
EQUIPMENT				
1510 Office Furniture & Equipment	\$2,000.00	\$744.63	\$1,255.37	37.23%
1599 Equipment NEC	\$1,000.00	\$0.00	\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$11,622.05	\$39,377.95	22.79%
1722 Rental, Data Comm. Serv. & Equip.	\$81,200.00	\$12,313.53	\$68,886.47	15.16%
1728 Videoconferencing	\$6,400.00	\$1,693.05	\$4,706.95	26.45%
1729 Rental, Other Comm. Serv. & Equip.	\$3,700.00	\$0.00	\$3,700.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00	\$0.00	\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$1,800.00	\$0.00	\$1,800.00	0.00%
1894 Parts & Fittings, Auto Equipment	\$200.00	\$0.00	\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$264.25	\$2,635.75	9.11%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%
ADMINISTRATION GRAND TOTAL	FY12	YEAR TO DATE	OBLIGATED	% OF
	APPROPRIATION	EXPENDITURE	MONEY	BALANCE EXPENDITURE
PERSONAL SERVICE	\$749,900.00	\$246,140.02		\$503,759.98 32.82%
STATE PAID RETIREMENT	\$30,000.00	\$9,282.09		\$20,717.91 30.94%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00 0.00%
SOCIAL SECURITY	\$57,400.00	\$18,218.34		\$39,181.66 31.74%
CONTRACTUAL SERVICE	\$582,100.00	\$114,434.91	\$35,462.34	\$432,202.75 19.66%
TRAVEL	\$19,000.00	\$1,023.82		\$17,976.18 5.39%
PRINTING	\$9,800.00	\$888.46		\$8,911.54 9.07%
COMMODITIES	\$17,500.00	\$3,162.14		\$14,337.86 18.07%
EQUIPMENT	\$3,000.00	\$744.63		\$2,255.37 24.82%
TELECOMMUNICATIONS	\$143,800.00	\$25,628.63		\$118,171.37 17.82%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$264.25		\$4,635.75 5.39%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00 0.00%
TOTAL	\$1,617,400.00	\$419,787.29	\$35,462.34	\$1,162,150.37 25.95%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: Oct. 31, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE	
PERSONAL SERVICE	\$1,724,600.00	\$491,991.23	\$1,232,608.77	28.53%	
1129 State Paid Retirement	\$69,000.00	\$19,699.45	\$49,300.55	28.55%	
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1170 Social Security	\$132,000.00	\$36,410.74	\$95,589.26	27.58%	
CONTRACTUAL SERVICE					
1145 Contractual Payroll					
1202 Contractual reimbursement To Employee					
1205 Freight Express & Drayage	\$4,300.00	\$0.00	\$4,300.00	0.00%	
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$0.00	\$200.00	0.00%	
1231 Rental, Office Equipment					
1232 Rental, Motor Vehicles					
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$0.00	\$100.00	0.00%	
1239 Rental, NEC	\$4,200.00	\$100.00	\$4,100.00	2.38%	
1242 Auditing & Management Services					
1245 Professional & Artistic Services, NEC					
1266 Court Reporting & Filing Services					
1273 Advertising					
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$0.00	\$4,000.00	0.00%	
1275 Subscription & Information Services	\$1,900.00	\$0.00	\$1,900.00	0.00%	
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,300.00	\$0.00	\$1,300.00	0.00%	
1277 Association Dues	\$2,300.00	\$115.00	\$2,185.00	5.00%	
1279 Employee Tuition & Fees	\$2,900.00	\$0.00	\$2,900.00	0.00%	
1280 Copying, Photographic & Printing Services	\$1,000.00	\$0.00	\$1,000.00	0.00%	
1285 Operating Taxes, Licenses & Fees					
1289 Contractual Services, NEC	\$24,200.00	\$0.00	\$24,200.00	0.00%	
TRAVEL	\$58,500.00	\$2,345.89	\$56,154.11	4.01%	
PRINTING	\$18,900.00	\$0.00	\$18,900.00	0.00%	
EQUIPMENT					
1510 Office Furniture & Equipment	\$5,000.00	\$1,222.62	\$3,777.38	24.45%	
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%	
HAVA - Maintenance of Effort	\$550,000.00	\$179,158.30	\$370,841.70	32.57%	
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$15,000.00	0.00%	
Redistricting	\$90,000.00	\$45,000.00	\$45,000.00	50.00%	
Election Judge Reimbursements	\$1,347,100.00	\$0.00	\$1,347,100.00	0.00%	
Stipends	\$806,000.00	\$0.00	\$806,000.00	0.00%	
Early Voting Election Judges	\$0.00	\$0.00	\$0.00	0.00%	
State HAVA Match	\$0.00	\$0.00	\$0.00	0.00%	
Voting System Integrity Center	\$0.00	\$0.00	\$0.00	0.00%	
Electronic Canvassing Implementation	\$300,000.00	\$1,381.51	\$298,618.49	0.46%	
IDIS Replacement System	\$85,000.00	\$0.00	\$85,000.00	0.00%	
ELECTIONS GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE	
PERSONAL SERVICE	\$1,724,600.00	\$491,991.23	\$1,232,608.77	28.53%	
STATE PAID RETIREMENT	\$69,000.00	\$19,699.45	\$49,300.55	28.55%	
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$132,000.00	\$36,410.74	\$95,589.26	27.58%	
CONTRACTUAL SERVICE	\$46,400.00	\$215.00	\$46,185.00	0.46%	
TRAVEL	\$58,500.00	\$2,345.89	\$56,154.11	4.01%	
PRINTING	\$18,900.00	\$0.00	\$18,900.00	0.00%	
EQUIPMENT	\$5,000.00	\$1,222.62	\$3,777.38	24.45%	
HAVA - Maintenance of Effort	\$550,000.00	\$179,158.30	\$253,668.77	\$117,172.93	32.57%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$15,000.00	0.00%	
Redistricting	\$90,000.00	\$45,000.00	\$45,000.00	50.00%	
Election Judge Reimbursements	\$1,347,100.00	\$0.00	\$1,347,100.00	0.00%	
Stipends	\$806,000.00	\$0.00	\$806,000.00	0.00%	
Early Voting Election Judges	\$0.00	\$0.00	\$0.00	0.00%	
State HAVA Match	\$0.00	\$0.00	\$0.00	0.00%	
Voting System Integrity Center	\$0.00	\$0.00	\$0.00	0.00%	
Electronic Canvassing Implementation	\$300,000.00	\$1,381.51	\$298,618.49	0.46%	
IDIS Replacement System	\$85,000.00	\$0.00	\$85,000.00	0.00%	
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%	
TOTAL	\$5,247,500.00	\$777,424.74	\$253,668.77	\$4,216,406.49	14.82%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: Oct. 31, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$289,700.00	\$82,564.00	\$207,136.00	28.50%
1129 State Paid Retirement	\$11,600.00	\$3,304.36	\$8,295.64	28.49%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$22,200.00	\$6,123.05	\$16,076.95	27.58%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee	\$0.00	\$0.00	\$0.00	0.00%
1205 Freight Express & Drayage	\$500.00	\$0.00	\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$60,000.00	\$9,541.22	\$50,458.78	15.90%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$15,000.00	\$1,706.50	\$13,293.50	11.38%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscription & Information Services	\$3,000.00	\$172.22	\$2,827.78	5.74%
1276 Reg. Fees & Conf. Expenses (Employee)	\$2,000.00	\$0.00	\$2,000.00	0.00%
1277 Association Dues	\$1,500.00	\$586.00	\$914.00	39.07%
1279 Employee Tuition & Fees	\$500.00	\$428.00	\$72.00	85.60%
1280 Copying, Photographic & Printing Services	\$700.00	\$0.00	\$700.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$7,000.00	\$1,362.75	\$5,637.25	19.47%
TRAVEL	\$9,500.00	\$2,006.82	\$7,493.18	21.12%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00	\$0.00	\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

GENERAL COUNSEL GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$289,700.00	\$82,564.00		\$207,136.00	28.50%
STATE PAID RETIREMENT	\$11,600.00	\$3,304.36		\$8,295.64	28.49%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$22,200.00	\$6,123.05		\$16,076.95	27.58%
CONTRACTUAL SERVICE	\$91,200.00	\$13,796.69	40,458.78	\$36,944.53	15.13%
TRAVEL	\$9,500.00	\$2,006.82		\$7,493.18	21.12%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$424,700.00	\$107,794.92	\$40,458.78	\$276,446.30	25.38%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE	FY12	YEAR TO DATE		% OF
MONTH ENDING: Oct. 31, 2011	APPROPRIATION	EXPENDITURE	BALANCE	EXPENDITURE
PERSONAL SERVICE	\$797,300.00	\$221,103.01	\$576,196.99	27.73%
1129 State Paid Retirement	\$31,900.00	\$8,848.34	\$23,051.66	27.74%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$61,000.00	\$16,211.51	\$44,788.49	26.58%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement	\$0.00	\$0.00	\$0.00	0.00%
1205 Freight Express & Drayage	\$100.00	\$5.83	\$94.17	5.83%
1221 Repair & Maint, Furn & Office Equipment	\$300.00	\$225.00	\$75.00	0.00%
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC	\$3,200.00	\$0.00	\$3,200.00	0.00%
1245 Professional & Artistic Services, NEC	\$6,000.00	\$0.00	\$6,000.00	0.00%
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,100.00	\$1,060.00	\$40.00	96.36%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$400.00	\$0.00	\$400.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
TRAVEL				
1291 In-State	\$8,000.00	\$0.00	\$8,000.00	0.00%
1292 Out-of-State	\$3,300.00	\$0.00	\$3,300.00	0.00%
PRINTING	\$22,000.00	\$3,751.99	\$18,248.01	17.05%
EQUIPMENT				
1510 Office Furniture & Equipment	\$9,300.00	\$1,679.25	\$7,620.75	18.06%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	0	\$0.00	0.00%

CAMPAIGN DISCLOSURE GRAND TOTAL	FY12	YEAR TO DATE	OBLIGATED	% OF
	APPROPRIATION	EXPENDITURE	MONEY	EXPENDITURE
			BALANCE	
PERSONAL SERVICE	\$797,300.00	\$221,103.01	\$576,196.99	27.73%
STATE PAID RETIREMENT	\$31,900.00	\$8,848.34	\$23,051.66	27.74%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$61,000.00	\$16,211.51	\$44,788.49	26.58%
CONTRACTUAL SERVICE	\$11,100.00	\$1,290.83	\$9,809.17	11.63%
TRAVEL	\$11,300.00	\$0.00	\$11,300.00	0.00%
PRINTING	\$22,000.00	\$3,751.99	\$18,248.01	17.05%
EQUIPMENT	\$9,300.00	\$1,679.25	\$7,620.75	18.06%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%
TOTAL	\$943,900.00	\$252,884.93	\$691,015.07	26.79%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: Oct. 31, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$718,600.00	\$208,256.00	\$510,344.00	28.98%
1129 State Paid Retirement	\$28,800.00	\$8,138.27	\$20,661.73	28.26%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$55,000.00	\$15,530.78	\$39,469.22	28.24%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage	\$100.00	\$14.25	\$85.75	14.25%
1221 Repair/Maint. Furn./Office Equipment	\$13,000.00	\$0.00	\$13,000.00	0.00%
1223 Repair/Maint. Real Property	\$1,000.00	\$978.83	\$21.17	0.00%
1225 Repair/Maint. EDP Equipment	\$61,300.00	\$0.00	\$61,300.00	0.00%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC				
1242 Auditing & Management Services	\$0.00	\$0.00	\$0.00	0.00%
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$284,500.00	\$0.00	\$284,500.00	0.00%
1271 Surety Bond & Ins. Prem.	\$700.00	\$499.00	\$201.00	71.29%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$0.00	\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00	\$0.00	\$2,800.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$5,000.00	\$0.00	\$5,000.00	0.00%
1284 Computer Software	\$118,100.00	\$51,401.01	\$66,698.99	43.52%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$19,700.00	\$0.00	\$19,700.00	0.00%
TRAVEL				
1291 In-State	\$5,500.00	\$455.93	\$5,044.07	8.29%
1292 Out-of-State	\$5,400.00	\$0.00	\$5,400.00	0.00%
PRINTING	\$700.00	\$0.00	\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$21,000.00	\$1,152.07	\$19,847.93	5.49%
1332 Industrial & Shop Materials				
1394 Office/Library Equip. under \$100	\$400.00	\$397.98	\$2.02	0.00%
1398 Equipment, NEC	\$400.00	\$303.59	\$96.41	0.00%
1399 Commodities, NEC	\$200.00	\$113.81	\$86.19	56.91%
EQUIPMENT				
1510 Office Furniture & Equipment	\$0.00	\$0.00	\$0.00	0.00%
1515 EDP Equipment	\$139,300.00	\$13,940.00	\$125,360.00	10.01%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

INFORMATION TECHNOLOGY GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$718,600.00	\$208,256.00		\$510,344.00	28.98%
STATE PAID RETIREMENT	\$28,800.00	\$8,138.27		\$20,661.73	28.26%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$55,000.00	\$15,530.78		\$39,469.22	28.24%
CONTRACTUAL SERVICE	\$512,200.00	\$52,893.09	\$5,240.00	\$454,066.91	10.33%
TRAVEL	\$10,900.00	\$455.93		\$10,444.07	4.18%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$22,000.00	\$1,967.45		\$20,032.55	8.94%
EQUIPMENT	\$139,300.00	\$13,940.00		\$125,360.00	10.01%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,487,500.00	\$301,181.52	\$5,240.00	\$1,181,078.48	20.25%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

<u>IVRS LUMP SUM</u> <u>MONTH ENDING: Oct. 31, 2011</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$74,443.50
1129 State Paid Retirement	\$2,979.85
1161 Retirement	
1170 Social Security	\$5,381.79
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$1,052.40
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$328,500.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$83,857.54
REMAINING LUMP SUM APPROPRIATION	\$244,642.46
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,251,900.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$0.00
REMAINING LUMP SUM APPROPRIATION	\$1,251,900.00
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$83,857.54
REMAINING LUMP SUM APPROPRIATION	\$1,496,542.46

FUNDS MOVED BETWEEN DIVISIONS

TO:

FROM:

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> Funds	SECTION 102 <u>Entitlement</u> Payments	SECTION 261 EAID Disbursements	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> Requirements	TOTAL <u>Fund Activity</u>
MONTH ENDING: Oct. 31, 2011						
CASH BALANCE AT BEGINNING OF YR	\$2,409,908.25	\$0.00	-\$80,713.82	\$5,700,043.64	\$11,787,751.01	\$19,816,989.08
Program Revenues from Federal Govt			\$80,713.82			\$80,713.82
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$3,063.00			\$7,261.38	\$15,170.62	\$25,495.00
Interest Penalties Received						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$3,063.00	\$0.00	\$80,713.82	\$7,261.38	\$15,170.62	\$106,208.82
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$24,572.00	\$0.00	\$0.00	\$0.00	\$0.00	\$24,572.00
STATE-PAID RETIREMENT	\$983.20	\$0.00	\$0.00	\$0.00	\$0.00	\$983.20
RETIREMENT	\$8,403.76	\$0.00	\$0.00	\$0.00	\$0.00	\$8,403.76
SOCIAL SECURITY	\$1,795.44	\$0.00	\$0.00	\$0.00	\$0.00	\$1,795.44
GROUP INSURANCE	\$7,550.48	\$0.00	\$0.00	\$0.00	\$0.00	\$7,550.48
CONTRACTUAL SERVICE	\$8,854.51	\$0.00	\$0.00	\$64,793.76	\$0.00	\$73,648.27
TRAVEL	\$11,143.59	\$0.00	\$0.00	\$0.00	\$0.00	\$11,143.59
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$100.78	\$0.00	\$0.00	\$0.00	\$0.00	\$100.78
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$63,403.76	\$0.00	\$0.00	\$64,793.76	\$0.00	\$128,197.52
AWARDS & GRANTS	\$0.00	\$0.00	\$0.00	\$175,932.32	\$521,003.47	\$696,935.79
TOTAL CASH EXPENDITURES	\$63,403.76	\$0.00	\$0.00	\$240,726.08	\$521,003.47	\$825,133.31
CASH BALANCE AT END OF MONTH	\$2,349,567.49	\$0.00	\$0.00	\$5,466,578.94	\$11,281,918.16	\$19,098,064.59

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS MONTH ENDING: Oct. 31, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	
PERSONAL SERVICE	\$24,572.00	\$24,572.00	
1129 State Paid Retirement	\$983.20	\$983.20	
1161 Retirement	\$8,403.76	\$8,403.76	
1170 Social Security	\$1,795.44	\$1,795.44	
1180 Group Insurance	\$7,550.48	\$7,550.48	
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$73.51	\$73.51	
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.	\$7,388.00	\$7,388.00	
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC			
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)	\$1,393.00	\$1,393.00	
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State & 1293 In-State (to vendor)	\$8,372.58	\$8,372.58	
1292 Out-of-State	\$2,771.01	\$2,771.01	
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.	\$100.78	\$100.78	
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund			
GRANTS			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)			
4470 Grants to Local Governments (EAI)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
SECTION 101 GRAND TOTAL	\$63,403.76	\$63,403.76	\$0.00
PERSONAL SERVICE	\$24,572.00	\$24,572.00	
STATE PAID RETIREMENT	\$983.20	\$983.20	
RETIREMENT	\$8,403.76	\$8,403.76	
SOCIAL SECURITY	\$1,795.44	\$1,795.44	
GROUP INSURANCE	\$7,550.48	\$7,550.48	
CONTRACTUAL SERVICE	\$8,854.51	\$8,854.51	
TRAVEL	\$11,143.59	\$11,143.59	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$100.78	\$100.78	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$63,403.76	\$63,403.76	\$0.00

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Oct. 31, 2011

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Oct. 31, 2011

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not exc. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4470 Grants to Local Governments (VAID II)
- 4470 Grants to Local Governments (VAID III)

<u>SECTION 261 GRAND TOTAL</u>	FY12 <u>APPROPRIATION</u>	YEAR TO DATE <u>EXPENDITURE</u>	<u>OBLIGATED MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS MONTH ENDING: Oct. 31, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	
PERSONAL SERVICE			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$31,914.50	\$31,914.50	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$2,900.70	\$2,900.70	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$29,978.56	\$29,978.56	
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State			
1292 Out-of-State			
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund			
GRANTS			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)			
4453 Reimbursement to Govt Units (ALA)	\$175,932.32	\$175,932.32	
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			
SECTION 251 (OLD REQ) GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$64,793.76	\$64,793.76	\$244,941.20
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$175,932.32	\$175,932.32	
TOTAL	\$240,726.08	\$240,726.08	\$244,941.20

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
 MONTH ENDING: Oct. 31, 2011

FY12
 APPROPRIATION

YEAR TO DATE
 EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security
- 1180 Group Insurance

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1223 Repair & Maintenance Real Property
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1232 Rental, Motor Vehicles
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1273 Advertising
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1308 Educational & Instructional Materials
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1561 Training Equipment
- 6625 Leasehold Improvements

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

- 1993 Indirect Cost Allocation Refund

GRANTS

- 4453 Reimbursement to Govt Units (AVE)
- 4453 Reimbursement to Govt Units (Phase II)
- 4453 Reimbursement to Govt Units (ALA)
- 4453 Reimbursement to Govt Units (EDG)
- 4470 Grants to Local Governments (VRS)
- 4479 Payments to Other State Agencies

\$521,003.47

\$521,003.47

SECTION 251 (NEW REQ) GRAND TOTAL

FY12
 APPROPRIATION

YEAR TO DATE
 EXPENDITURE

OBLIGATED
 MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$521,003.47	\$521,003.47	
TOTAL	\$521,003.47	\$521,003.47	\$0.00

Start Date	End Date	Activity	Division
11/01/2011 - 11/03/2011		(date subject to change) Veto Session	LEG
11/15/2011 - 11/17/2011		(date subject to change) Veto Session	LEG
11/21/2011		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
11/28/2011 - 12/05/2011		Candidate filing period. 10 ILCS 5/7-12	EL INFO
12/01 /2011		Notify election authorities of requirement to submit computerized voter registration information for the December 15, 2011 submission in a format prescribed by the SBE	VRS
12/06 /2011		Last day to transmit objections and call for electoral board hearings.	EL INFO
12/12/2011		Send to all election authorities a LIST OF FACILITIES licensed or certified pursuant to the NURSING HOME CARE REFORM ACT OF 1979. 10 ILCS 5/19-12.2	ET&RD
12/12/2011		Deadline for filing objections to petitions of candidates who filed November 28 – December 5, 2011. 10 ILCS 5/10-8	EL INFO
12/14/2011		Last day to conduct lottery to determine ballot position for 8 a.m. simultaneous filings for November 28 – December 5, 2011 filing period. 10 ILCS 5/7-7-12 (6)	EL INFO
12/14/2011		Last day to transmit objections and call for electoral board hearings. 10 ILCS 5/10-8	EL INFO

Start Date	End Date	Activity	Division
12/15/2011		Present to the Board a list of legislative proposals for introduction in the next session.	LEG
12/15/2011		First day for election authority to submit computerized voter registration file for the December 15, 2011 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
12/20 /2011		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
12/21 /2011		Civic Organization certification cutoff date prior to the March 20, 2012 General Primary Election. 10 ILCS 5/1A-8 (1, 2, 12) (Board Rule)	ET&RD
12/25/2011		Last day for election authorities to submit request for extension to file computerized voter registration information for the December 15, 2011 submission. Rules and Regulations	VRS
12/25/2011		Last day for election authority to submit computerized voter registration file for the December 15, 2011 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
01/04/2012		Objection filing deadline to nomination papers of candidates who filed for judicial office during the December 19 – 27, 2011 filing period. (Special judicial filing) 10 ILCS 5/7-12.1	EL INFO
01/05/2012		Last day to conduct lottery to determine ballot position for 8 a.m. simultaneous filings for December 19 – 27, 2011 filing period.	EL INFO
01/12/2012		Mail March General Primary certifications to county clerks. 10 ILCS 5/1A-8 (1, 2, 11)	EL INFO
01/12/2012		Prepare and have available for distribution the listing of candidates for the February 7, 2012 General Primary Election. 10 ILCS 5/1	EL INFO, ET&RD

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:

Rupert T. Borgsmiller, Executive Director

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: Questions raised by Andrew Finko at the October Board Meeting

DATE: November 8, 2011

As of this date we have not received the information from Mr. Finko regarding the questions raised at the October Board meeting. We are waiting for his submission so agency staff can review the matters.

5. Comments from the general public.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Rupert T. Borgsmiller, Executive Director

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: December Board Meeting

DATE: November 8, 2011

At its December 20 meeting the Board will also convene as the State Officers Electoral Board (SOEB) to approve the Rules of Procedure and call cases and accept appearances for objections to candidate nominating petitions. I would like to propose that we move the starting time to 10 AM to accommodate the SOEB meeting as well as an expected packed agenda for the regular December Board meeting.