

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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Springfield, Illinois 62704-4503
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James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Wednesday, February 17, 2016
10:30 a.m.

James R. Thompson Center – Room 2-025
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Roll call.

1. Recess the State Board of Elections and convene as the State Officers Electoral Board to approve the minutes from the January 20 and February 1 meetings. (pgs.1-7)
2. Adjourn the State Officers Electoral Board and reconvene as the State Board of Elections.
3. Approval of the minutes from the January 20 and February 1 meetings. (pgs.8-9)
4. Report of the General Counsel
 - a. Campaign Disclosure;
Request for settlement offer
 - 1) *SBE v. Citizens for David James Howard, 25854, 15MA095; (pgs.10-11)*
Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted
 - 2) *SBE v. Sally Litterly for County Clerk, 9714, 15SQ030; (pgs.12-14)*
 - 3) *SBE v. Citizens for Maggie Crotty, 16716, 15SQ055; (pgs.15-17)*
 - 4) *SBE v. Cook County Coalition of Tradesmen, 23641, 15AM078; (pgs.18-20)*
Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied
 - 5) *SBE v. Grundy County Democratic Central Committee, 174, 15MA105; (pgs.21-25)*
 - 6) *SBE v. Friends of Mary E. Flowers, 4261, 15MQ016; (pgs.26-28)*
 - 7) *SBE v. Local 392 PAC Fund, 6352, 15JQ016; (pgs.29-31)*
 - 8) *SBE v. Riverside Community Caucus, 13163, 15MA108; (pgs.32-36)*
 - 9) *SBE v. Citizens to Re-Elect John Pope for Committeeman, 19733, 15AD079; (pgs.37-45)*
 - 10) *SBE v. Committee to Elect Jesse G. Reyes, 20812, 15SQ071; (pgs.46-49)*
 - 11) *SBE v. The Committee to Elect Thomas Mahoney, 20867, 15MQ063; (pgs.50-52)*
 - 12) *SBE v. Friends of Miriam Shabo, 21302, 15SQ072; (pgs.53-55)*
 - 13) *SBE v. Friends of Jimmie Watson, 21738, 15SQ076; (pgs.56-59)*
 - 14) *SBE v. Southland Success PAC, 22624, 15SQ082; (pgs.60-68)*
 - 15) *SBE v. Citizens to Elect David Moore, 23127, 15MA060; (pgs.69-73)*
 - 16) *SBE v. Citizens for a Better Steger, 23545, 15MA109; (pgs.74-77)*
 - 17) *SBE v. Illinois Federation of Public Employees PAC, 23671, 15JQ164; (pgs.78-80)*

- 18) *SBE v. Local Option 2012 Committee*, 24522, 15MA063; (pgs.81-86)
 - 19) *SBE v. Friends of Joe Vosicky*, 25075, 14SQ150; (pgs.87-90)
 - 20) *SBE v. Progressive Citizens Party*, 25105, 15JQ166; (pgs.91-93)
 - 21) *SBE v. Friends of David Staley*, 25634, 15SQ115; (pgs.94-97)
 - 22) *SBE v. Friends to Elect Tiffany Brooks*, 26094, 15SQ129; (pgs.98-100)
 - 23) *SBE v. Citizens to Elect Tara Stamps*, 26114, 15MA099; (pgs.101-112)
 - 24) *SBE v. Neighbors for Robert Murphy*, 26155, 15JQ128; (pgs.113-115)
 - 25) *SBE v. Illinois Coalition for New Leadership PAC*, 27376, 15SQ141; (pgs.116-118)
 - 26) *SBE v. Citizens 4 T Haley*, 29487, 15SQ149; (pgs.119-121)
 - 27) *SBE v. DGEA Coalition 99*, 29525, 15MA122; (pgs.122-125)
- Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted & denied
- 28) *SBE v. Committee to Elect Jeffrey Baker*, 27307, 15MA118; (pgs.126-129)
 - 29) *SBE v. Republican Women of St. Clair County*, 31689, 15MA124; (pgs.130-134)
- Failure to comply with a board order
- 30) *SBE v. Friends to Elect Toni L. Foulkes*, 20107, 15AJ024; (pg.135)
 - 31) *SBE v. Citizens for Matt Bogusz*, 21498, 15AJ026; (pg.136)
 - 32) *SBE v. Team D230*, 29566, 15AM093; (pg.137)
- Other campaign disclosure items
- 33) Assessments/Board Orders; (pgs.138-142)
 - 34) Payment of civil penalties – informational. (pg.143)
- Complaints following closed preliminary hearing – separate packet
- 35) *Mandrik v. Citizens for Mazzochi*, 15CD103; (pgs.1-10)
 - 36) *Rita v. Oak Brook for Natalie Cappetta*, 15CD107; (pgs.11-52)
 - 37) *Feeney v. Friends for Foxx*, 15CD108. (pgs.53-68)

5. Report of the Executive Director
 - a. Preparations for the March 15, 2016 General Primary Election;
 - 1) Election day staff assignments; (pg.144)
 - 2) Voting systems pre-testing; (pg.145)
 - 3) Election judge training schools – informational; (pgs.146-147)
 - b. Legislative update; (oral report)
 - c. Senate Bill 172 update; (pg.148)
 - d. Proposed Information Technology Transformation; (pg.149)
 - e. FY14/15 Compliance Audit report; (pgs.150-193)
 - f. Two year plan of staff activity for the months of February & March – informational. (pgs.194-198)
6. Follow up. (pg.199)
7. Comments from the general public. (pg.199)
8. Next Board Meeting scheduled for Monday, March 21, 2016 at 10:30 a.m. in Springfield. (pg.199)
9. Executive Session. (pgs.200-209)

STATE OFFICERS ELECTORAL BOARD
Special Meeting
Wednesday, January 20, 2016

MINUTES

PRESENT: Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan, Member
Andrew K. Carruthers, Member
Betty J. Coffrin, Member
Casandra B. Watson, Member

ABSENT: John R. Keith, Member
William M. McGuffage, Member

ALSO PRESENT: Steven S. Sandvoss, Executive Director
James Tenuto, Assistant Executive Director
Kenneth R. Menzel, General Counsel
Amy L. Calvin, Administrative Assistant II

The special meeting of the State Officers Electoral Board was called to order via videoconference at 10:36 a.m. with six Members present. Chairman Scholz, Vice Chairman Gowen, and Members Cadigan, Carruthers and Coffrin were present in Chicago and Member Watson present via teleconference. Members Keith and McGuffage were absent and Chairman Scholz and Member Watson held their proxies respectively.

Vice Chairman Gowen moved to approve the minutes from the January 7 meeting. Member Carruthers seconded the motion which passed unanimously.

Chairman Scholz called the cases and accepted appearance for the following objections to presidential candidate nominating petitions for the March 15, 2016 General Primary Election:

- a. *Joyce v. Cruz*, 16SOEBGP526;
- b. *Graham v. Cruz*, 16SOEBGP527;
- c. *Graham v. Rubio*, 16SOEBGP528;
- d. *Hendon & Shaw v. Cohen*, 16SOEBGP529;
- e. *Hendon & Shaw v. O'Malley*, 16SOEBGP530;
- f. *Hendon & Shaw v. Sanders*, 16SOEBGP531;
- g. *Hendon & Shaw v. De La Fuente*, 16SOEBGP532;
- h. *Davis v. Clinton*, 16SOEBGP533.

The General Counsel presented the Rules of Procedure for the State Officers Electoral Board and noted the only changes were to the dates of the proceedings. Member Cadigan moved to approve the Rules of Procedure as presented. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel referred to his memo requesting authorization of the appointment of hearing officers for the above noted objections and indicated that Barbara Goodman, Philip Krasny and Jim Tenuto have been selected to serve. Member Coffrin moved to authorize the appointment of said hearing officers. Vice Chairman Gowen seconded the motion which passed

by roll call vote of 8-0. The General Counsel indicated that the parties would now meet with their respective hearing officers for their case management conferences.

The Board considered and disposed of the following objections to established political party candidate nominating petitions for the March 15, 2016 General Primary Election.

Ochs & Ochs v. Curtis, 15SOEBGP104. The General Counsel indicated that attorney John Fogarty, who was present on behalf of the objector, withdrew the objection. The General Counsel recommended no further action in this matter.

Hernandez v. Jones, 15SOEBGP106. The General Counsel summarized the matter and concurred with the hearing officer recommendation that the objection be sustained and the candidate's name not appear on the general primary ballot. He further recommended the matter be referred to the State Attorney's office for further investigation. John Fogarty was present on behalf of the objection and Arthur Jones was present pro se. Mr. Fogarty agreed with the recommendation of the hearing officer and General Counsel. Mr. Jones explained that there was never any intent to commit fraud nor would he do anything illegal. Member Cadigan moved to adopt the recommendation of the hearing officer and General Counsel that the objection be sustained and the candidate's name not be certified to the general primary ballot. Member Carruthers seconded the motion which passed by roll call vote of 8-0. The Board felt that Mr. Jones did not willfully and intentionally commit fraud and therefore no further action was taken.

Brown & Welter v. McGroarty, 15SOEBGP107. The General Counsel summarized the matter and concurred with the hearing officer recommendation that the objection be sustained and the candidate's name not appear on the general primary ballot. Mr. Fogarty was present on behalf of the objector and agreed with the recommendation. No one appeared for the candidate. Member Cadigan moved to adopt the recommendation of the hearing officer and General Counsel that the objection be sustained and the candidate's name not be certified to the general primary ballot. Member Carruthers seconded the motion which passed by roll call vote of 8-0.

Cook v. Haida, 15SOEBGP108; *Cook v. Baricevic*, 15SOEBGP109 and *Cook v. LeChien*, 15SOEBGP110. The General Counsel suggested these cases be considered together because they all contained the same operative facts and issues regarding circuit court judge vacancies for the 20th judicial district. He explained that each of the three candidates was a sitting judge whose term expires in December 2016. Rather than seeking retention in office by the usual means, each candidate submitted a resignation effective the last day of their current term, so as to create a vacancy to be filled by election. Judge Haida filed to run for the Baricevic vacancy, Judge Baricevic filed to run for the Haida vacancy and Judge LeChien filed to run for the LeChien vacancy. The objection asserts that sitting judges may only win further term in office by the judicial retention route and do not have the option to resign and seek election through the conventional primary and general election route.

The hearing officer ultimately recommended a finding that the State Constitution permits sitting judges the option of either seeking retention in office under Article VI Section 12(d), or resigning and seeking election by submitting petitions to run for election under Section 12(a), and that the candidates' statements of candidacy substantially comply with the requirements of Section 7-10 of the Election Code. The General Counsel concurred with the hearing officer recommendation that the Motion to Dismiss be denied, the objections be overruled and the candidates' names be

certified to the general primary ballot. Aaron Weishaar was present on behalf of the objector and Michael Kasper was present on behalf of the candidates. Mr. Weishaar did not concur with the recommendation and explained his interpretation of the statute regarding judicial retention. Mr. Kasper indicated he agreed with the recommendation of the hearing officer and General Counsel in the three matters. Discussion ensued amongst the parties and the Board regarding sitting judges running for election and the provisions of Illinois Constitution. Member Watson moved to accept the recommendation of the hearing officer and General Counsel that the objections be overruled and the names of the three candidates certified to the primary election ballot. Vice Chairman Scholz seconded the motion which failed by roll call vote of 4-4. Members Cadigan, Carruthers, Coffrin and Vice Chairman Gowen voted in the negative. The General Counsel explained that the three candidates will remain on the ballot unless a judicial review is filed and a judge determines the outcome. Member Cadigan moved to refer the three matters to the Attorney General's office to seek an opinion to determine if the Illinois Constitution does provide an option for elected judges to opt out of the retention system and run for election in the manner that these candidates have chosen. Member Carruthers seconded the motion which passed by roll call vote of 6-2. Members McGuffay (by proxy) and Watson voted in the negative.

Krueck v. Urlacher, 15SOEBGP504. The General Counsel said he concurred with the recommendation of the hearing officer that the Motion to Strike and Dismiss be granted in part and denied in part, that the objection be overruled and the candidate's name be certified to the primary election ballot. Burt Odelson was present on behalf of the candidate and concurred with the recommendation. No one appeared for the objector. Member Cadigan moved to accept the recommendation of the hearing officer and General Counsel. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Simpson v. Aguirre, 15SOEBGP508. The General Counsel reviewed the matter and said that a records examination and Rule 9 hearing were conducted and it was found that he candidate only had 455 valid signatures remaining, which was 45 less than the required amount. He concurred with the hearing officer recommendation that the objection be sustained and the name of the candidate not be certified to the primary election ballot. Kevin Morphew was present on behalf of the objector and asked that the recommendation be adopted. Wladimiro Aguirre was present pro se and respectfully asked the Board to reconsider his placement on the ballot. Member Cadigan moved to accept the recommendation of the hearing officer and General Counsel. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

Simpson v. Jackson, 15SOEBGP509. The General Counsel indicated he concurred with the recommendation of the hearing officer that the objection be sustained and the name of the candidate not be certified to the primary election ballot. Kevin Morphew was present on behalf of the objector and asked that the recommendation be adopted. No one was present for the candidate. Member Carruthers moved to accept the recommendation of the hearing officer and General Counsel. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

Eck v. Reick, 15SOEBGP512. The General Counsel reviewed the matter and said that a records examination and Rule 9 hearing were conducted with handwriting experts provided by both parties. In light of the evidence produced in the Rule 9 hearing, the hearing officer did not find that the testimony of the objector's handwriting expert should be given sufficient weight as to invalidate the 54 printed name signatures which had been found valid during the records

examination. The General Counsel concurred with the recommendation of the hearing officer that the objection be overruled and the candidate's name be certified to the primary election ballot. James Nally was present on behalf of the objector and John Fogarty was present on behalf of the candidate. Mr. Nally did not agree with the recommendation and offered his rebuttal. Mr. Fogarty indicated that the hearing officer was correct in his findings and made his points in support of the outcome. After discussion, Member Cadigan moved to accept the recommendation of the hearing officer and General Counsel. Member Carruthers seconded the motion which passed by roll call vote of 6-2. Members McGuffage (by proxy) and Watson voted in the negative.

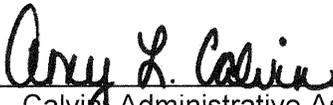
Stieper v. Urlacher, 15SOEBGP514. The General Counsel reviewed the matter and said that a records examination and Rule 9 hearing were conducted. At the conclusion of the Rule 9 hearing the candidate had 48 signatures above the statutory minimum. The General Counsel concurred with the hearing officer recommendation that the objection be overruled and the candidate's name be certified to the primary election ballot. Richard Means was present on behalf of the objector and Burt Odelson was present on behalf of the candidate. Mr. Means indicated he filed many exceptions to the hearing officer's report and also certified voter registration records that were ruled inadmissible. Mr. Odelson concurred with the hearing officer recommendation and noted that Mr. Means submitted this evidence after the 5:00 p.m. deadline. Vice Chairman Gowen moved to accept the recommendation of the hearing officer and General Counsel. Member Carruthers seconded the motion which passed by roll call vote of 8-0.

Palacio v. Bailey, 15SOEBGP518. The General Counsel reviewed the matter and indicated that the results of the records examination left the candidate with 157 signatures below the statutory minimum required. He concurred with the hearing officer recommendation that the objection be sustained and the name of the candidate not be certified to the primary election ballot. No one was present for either party. Member Carruthers moved to accept the recommendation of the hearing officer and General Counsel. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

Discussion was had regarding a possible special meeting on February 11 or 12 to consider the objections to presidential candidate nominating petitions.

With there being no further business before the State Officers Electoral Board, Member Coffrin moved to recess until February 17, 2016 at 10:30 a.m. in Chicago or until call of the Chairman, whichever occurs first. Member Cadigan seconded the motion which passed unanimously. The meeting recessed at 1:15 p.m.

Respectfully submitted,



Amy L. Calvin Administrative Assistant II



Steven S. Sandvoss, Executive Director

STATE OFFICERS ELECTORAL BOARD
Special Meeting
Monday, February 1, 2016

MINUTES

PRESENT: Charles W. Scholz, Chairman
William J. Cadigan, Member
Andrew K. Carruthers, Member
Betty J. Coffrin, Member
William M. McGuffage, Member
Casandra B. Watson, Member

ABSENT: John R. Keith, Member

ALSO PRESENT: Steven S. Sandvoss, Executive Director
James Tenuto, Assistant Executive Director
Kenneth R. Menzel, General Counsel
Darlene Gervase, Admin. Assistant III

The Chairman called the State Officers Electoral Board meeting to order at 10:30 a.m. Chairman Scholz and Member Carruthers were present in the Springfield office; Vice Chairman Gowen, Members Cadigan and McGuffage were present in Chicago; and Members Coffrin and Watson were connected via teleconference call. Member Keith was absent and Chairman Scholz held his proxy.

General Counsel, Ken Menzel, began with consideration of objections to presidential candidates nominating petitions for the March 15, 2016 General Primary Election.

Member Carruthers indicated that he would abstain on items 2.a., b., and c. as his wife is running as an officer and delegate for one of the competing candidates in the Republican presidential primary.

The Objection filed in *Joyce v. Cruz*, 16 SOEB GP 526, states that the Candidate was born in Canada; is not a natural born citizen; and not legally qualified to hold the office of President of the United States. Objector, Lawrence J. Joyce, was present in Chicago and Sharee Langenstein, attorney for the Candidate, was in the Springfield office. The Hearing Officer concluded that Candidate Cruz is a "natural born citizen" by virtue of being born in Canada to a United States citizen and the General Counsel concurred with the Recommendation. Discussion was had among the Board. Member McGuffage moved to dismiss the objection for lack of subject matter jurisdiction. Member Watson seconded the Motion which passed 7-0 with Member Carruthers abstaining. The name of Ted Cruz, Republican Party Candidate for nomination to the office of President of the United States is certified to the ballot for the March 15, 2016 General Primary.

As to Item 2.b., 16 SOEB GP 527, *Graham v. Cruz*, Objector William K. Graham was present in Chicago and Candidate Ted Cruz's attorney, Sharee Langenstein, was present in the Springfield office. Mr. Menzel concurred with the Hearing Officer that the Objector did not state his interest in filing the objection and did not ask for relief, both which are fatal defects in an Objection. Therefore, the Motion to Dismiss should be granted. Member McGuffage moved that this objection be dismissed and that the candidate be certified for the presidential primary ballot for

the same reasons as stated in the last case. We have no jurisdiction in this matter, and the ruling in the prior case would be *res judicata* as far as the Board is concerned. Discussion ensued among the Board. Member McGuffage renewed his motion to dismiss the objection and adopt the report of the Hearing Officer and General Counsel and certify the candidate for the presidential primary ballot. Member Watson seconded the Motion which passed 7-0 with Member Carruthers abstaining. The name of Ted Cruz, Republican Party Candidate for nomination to the office of President of the United States shall be certified to the ballot for the March 15, 2016 General Primary.

Item 2.c., *Graham v. Rubio*, 16 SOEB GPO528, was considered. William K. Graham appeared *pro se*, and Laura Jacksack appeared for the Candidate in Chicago. The Hearing Officer found that the Objector failed to state his interest or relief sought, both fatal defects in an objection, and that the Board has jurisdiction to hear the Objection on the merits. The Candidate was born in the United States to parents who legally immigrated to the United States from Cuba and who were not U.S. citizens at the time of his birth. The Candidate is a natural born citizen by virtue of not having to take any steps to become a naturalized citizen. Member Cadigan moved and Vice Chairman Gowen seconded the Motion to adopt the Recommendations of the Hearing Officer and General Counsel to Grant the Candidate's Motion to Strike the Objector's Petition. The Motion passed 7-0 with Member Carruthers abstaining. The name of Marco Rubio, the Republican Party candidate for nomination to the office of President of the United States, shall be certified to the ballot for the March 15, 2016 General Primary Election.

An Objection was filed by Brant Davis to the nomination papers of Hillary Clinton. Attorney Anish Parikh filed an appearance for the Objector and Michael Kreloff for the Candidate at the hearing before the Hearing Officer. Mr. Kreloff was present in Chicago for the meeting and Mr. Parikh did not attend. During the hearing, the Hearing Officer made a conclusion as to the total number of signatures on the petition and the total number objected to. The Hearing Officer recommended the Candidate's Motion to Strike paragraphs 3, 7, 8 and 16 of the Objector's petition be granted; he made a finding that the Candidate has the required 3000 valid signatures after striking the noted paragraphs and corresponding objections; that the records examination is unnecessary; and recommended that the Candidate's name should be certified to the primary ballot for the Democratic Party nomination for President of the United States. The General Counsel concurred. Member McGuffage moved to adopt the Hearing Officer and General Counsel's recommendations and certify Hillary Clinton as a Democratic Party candidate for nomination to the office of President of the United States for the March 15, 2016 General Primary Election. Member Watson seconded the motion which passed unanimously.

Mr. Menzel advised the Board that the objections were withdrawn in 16 SOEB GP 529, *Hendon and Shaw v. Cohen*; 16 SOEB GP 530, *Hendon and Shaw v. O'Malley*; 15 SOEB GP 531, *Hendon and Shaw v. Sanders*; 15 SOEB GP 532, *Hendon and Shaw v. De La Fuente*. All were withdrawn by the objectors and no action is required by the Board.

For informational purposes, five Board decisions prior to today have gone for judicial review: *Cramer v. Straw*; *Schmidt v. Moeller*; and three 20th Judicial circuit cases: Cook, the objector, and Barecivic, Haida and Lechien as candidates.

There being no further business for the State Officers Electoral Board, the Chairman asked for a motion to recess until the February 17, 2016 meeting in Chicago at 10:30 a.m. Member Carruthers so moved and Vice Chairman Gowen seconded the motion which passed unanimously.

The State Officers Electoral Board recessed at 11:18 a.m.

Respectfully submitted,



Darlene Gervase, Admin. Asst. III



Steven S. Sandvoss, Executive Director

STATE BOARD OF ELECTIONS
Special Meeting
Wednesday, January 20, 2016

MINUTES

PRESENT: Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan, Member
Andrew K. Carruthers, Member
Betty J. Coffrin, Member
Casandra B. Watson, Member

ABSENT: John R. Keith, Member
William M. McGuffage, Member

ALSO PRESENT: Steven S. Sandvoss, Executive Director
James Tenuto, Assistant Executive Director
Kenneth R. Menzel, General Counsel
Amy L. Calvin, Administrative Assistant II

The special meeting convened at 10:30 a.m. via videoconference with six members present. Chairman Scholz, Vice Chairman Gowen, and Members Cadigan, Carruthers and Coffrin were present in Chicago and Member Watson present via teleconference. Members Keith and McGuffage were absent and Chairman Scholz and Member Watson held their proxies respectively.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

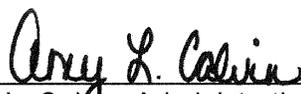
Member Coffrin moved to approve the minutes from the December 22 and January 7 meetings. Member Cadigan seconded the motion which passed unanimously.

Member Carruthers moved to recess the State Board of Elections and reconvene as the State Officers Electoral Board. Member Cadigan seconded the motion which passed unanimously. The meeting recessed at 10:35 a.m. and reconvened at 1:15 p.m. with the same attendance noted in the initial roll call.

Member Cadigan moved to recess to executive session for a litigation update. Member Carruthers seconded the motion which passed by roll call vote of 8-0. The meeting recessed at 1:25 p.m. and reconvened at 1:29 p.m.

With there being no further business before the Board, Member Watson moved to adjourn until February 17, 2016 at 10:30 a.m. in Chicago or until call of the Chairman, whichever occurs first. Member Coffrin seconded the motion which passed unanimously. The meeting adjourned at 1:30 p.m.

Respectfully submitted,



Amy L. Calvin, Administrative Assistant II



Steven S. Sandvoss, Executive Director

STATE BOARD OF ELECTIONS
Special Meeting
Monday, February 1, 2016

MINUTES

PRESENT: Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan, Member
Andrew K. Carruthers, Member
Betty J. Coffrin, Member
William M. McGuffage, Member
Casandra B. Watson, Member

ABSENT: John R. Keith, Member

ALSO PRESENT: Steven S. Sandvoss, Executive Director
James Tenuto, Assistant Executive Director
Kenneth R. Menzel, General Counsel
Darlene Gervase, Admin. Assistant III

The Chairman called the meeting of the State Board of Elections to order at 10:30 a.m. and led everyone in the Pledge of Allegiance.

Chairman Scholz and Member Carruthers were present in the Springfield office; Vice Chairman Gowen, Members Cadigan and McGuffage were present in Chicago; and Members Coffrin and Watson were connected via teleconference call. Member Keith was absent and Chairman Scholz held his proxy.

Member Cadigan moved to recess to the State Officers Electoral Board and Vice Chairman Gowen seconded the motion which passed unanimously.

The Board of Elections recessed at 10:30 a.m. and returned to open session at 11:18 a.m.

Member McGuffage moved for leave for attendance roll call. Chairman Scholz seconded the motion which passed unanimously. All members were still present other than Mr. Keith's presence by proxy.

There being nothing further before the State Board of Elections Member Cadigan moved to recess until February 17, 2016 or the call of the Chairman. Member Carruthers seconded the motion which passed unanimously. The meeting concluded at 11:19 a.m.

Respectfully submitted,



Darlene Gervase, Administrative Asst. III



Steve Sandvoss, Executive Director

ATTORNEY WILLIAM T. KING, JR.
16 S. Locust St.
Aurora, IL 60506

STATE BOARD OF ELECTIONS
16 FEB -1 PM 1:29

Phone (630) 844-9821

Fax (630) 892-2815

February 1, 2016

VIA FIRST CLASS MAIL AND VIA FAX TO 312-814-6485

Illinois State Board of Elections
100 West Randolph St.-Suite 14-100
Chicago, Il. 60601

Re: CITIZENS FOR DAVID JAMES HOWARD 25854

Dear State Board of Elections:

I am writing to try to seek a reduction in the outstanding fine for the above referenced candidate. I need to clean up this mess I created.

First I want to make it perfectly clear that Mr. Howard had nothing to do with this mess. I and I alone am responsible for these mistakes and the resulting fines. If you look at our corrected filings, the committee has no money.

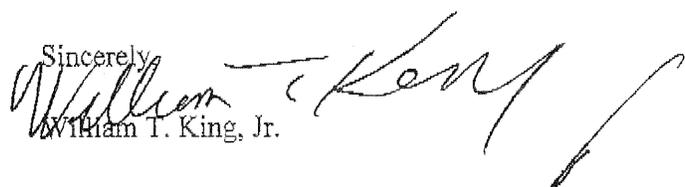
This fine, in what ever amount it is, will be paid by the personal funds of the person that cause this mess, me.

I am asking that you reduce the fine by half. If this request is granted, I can pay the fine immediately. I would tender a check within three days of being notified that the fine is reduced. If it is not reduced it will be paid, but not right away.

I wish I could provide several valid excuse(s) why this occurred. Unfortunately it all comes down to my incompetence.

Please advise if this reduction is granted.

If you have any questions, please contact me.

Sincerely,

William T. King, Jr.

WTK/bm
cc: David J. Howard

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 15 MA 095
)
Citizens for David James)
Howard)
Respondent(s).)

FINAL ORDER

TO: Citizens for David James Howard ID# 25854
16 S. Locust Street
Aurora, IL 60506

This matter coming to be heard this 9th day of October, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises.

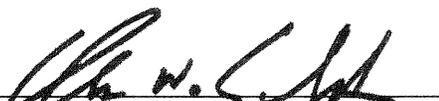
THE BOARD FINDS

1. In case number 15 MA 095, a \$3050.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2014 Quarterly report; and
2. In case number 15 MA 095, a \$3100.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2015 Quarterly report, and
3. An appeal of the civil penalty was not submitted by the committee.

IT IS ORDERED:

1. A civil penalty in the amount of \$6150.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is October 14, 2015, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/14/2015


Charles W. Scholtz, Chairman

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 SQ 030

Sally Litterly for County Clerk ID# 9714
Respondent

REPORT OF HEARING OFFICER

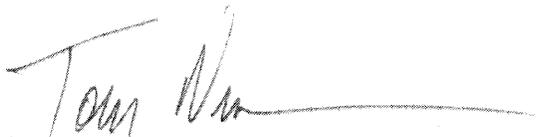
Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2015 Quarterly Report

The Report was received by the Board on 10/20/15, 3 days late, resulting in a civil penalty assessment of \$75.

Sally Litterly-Turner, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mrs. Litterly-Turner states that she mailed the Report to the Board on October 1, but after the October 15 filing deadline had passed she received notice from the Board that the Report had not been received. Upon receiving that notice, she contacted Board staff and ultimately faxed a copy of the Report. Mrs. Litterly-Turner also includes a copy of email discussions between her and the postal service, documenting ongoing problems with her mail delivery.

Section 100.125 of the Board's Rules and Regulations states in part that if the envelope containing a mailed Report is not received by the Board, if "*it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received.*" Since this Committee has not previously made such a claim, I recommend the appeal be granted. (As of 11/23/15, this Committee had filed a Final Report showing a funds available balance of \$0)



Tom Newman – Hearing Officer
February 1, 2016

State of Illinois)
County of Logan)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Sally Litterly for Co Clerk

Respondent(s).

Case No. 1550030
ED 9714

APPEAL AFFIDAVIT

I, Sally J. Litterly-Turner, the Chairman of the
(Name) (Chairman/Treasurer)
Sally Litterly for County Clerk of the
(Name of the Committee)

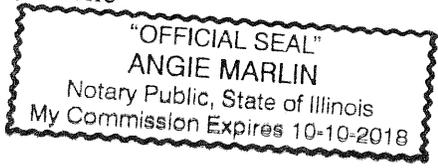
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Said Respondent:

- 1) Submitted the D-2 required report to the State Board of Election by U.S. Mail to 2329 S. MacArthur Blvd., Springfield, IL 62708 on the 1st day of October, 2015 as the 3rd quarterly report.
- 2) The respondent also filed the same report with the Office of the County Clerk in Logan County, Illinois on October 1, 2015 as filed marked on the certified copy herein.
- 3) The respondent received the enclosed letter from campaign finance dated October 19, 2015 by U.S. Mail and subsequently called the Director of Campaign Finance stating that she had previously mailed the said D-2 report and that one would be forth coming by fascimile, and also hard copy by mail.
- 4) The respondent sent a copy of the filed stamped D-2 report to the State Board of Elections at 2329 S. MacArthur Blvd., Springfield, IL 62708 on October 20, 2015.

Signed and Sworn to by:
Sally J. Turner
before me this 20th Day of
November, 2011
Angie Marlin
Notary Public

Sally J. Litterly-Turner
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015

Sally Litterly for County Clerk
Sally Litterly-Turner
1200 1600th Ave
Beason, IL 62512

ID# 9714

7015 1730 0002 1035 5473

Dear Sally Litterly for County Clerk:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/20/2015, 3 days late. As such, this committee has been assessed a fine of \$75.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 SQ 055

Citizens for Maggie Crotty ID# 16716
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2015 Quarterly Report

The Report was received by the Board on 10/16/15, 1 day late, resulting in a civil penalty assessment of \$50.

Maggie Crotty, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Crotty states that she typically files reports on the first day of the filing period. She says she filed the Report in question on October 1 and called the Board to make sure it had been received – and was told that it had, although she does not remember to whom she spoke. Ms. Crotty adds that she will be sure in the future to make sure reports are received on the first day of filing.

A review of the Committee's filing history verifies that Ms. Crotty has generally been very prompt with her quarterly report filings. I am not sure what caused the Report in question to appear to have been filed electronically, when it actually had not. However, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 12/31/15, this Committee reported a funds available balance of \$43,223.87)



Tom Newman – Hearing Officer
February 1, 2016

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015
ID# 16716

Citizens for Maggie Crotty
PO Box 203
Oak Forest, IL 60452

7015 1730 0002 1035 6623

Dear Citizens for Maggie Crotty:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2015 through September 30, 2015
Filing Period:	October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/16/2015, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

TOTAL AMOUNT NOW DUE

\$50.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Tom Newman".

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AM 078

Cook County Coalition of Tradesmen ID# 23641
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,000 contribution on 1/8/15, and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500.

Michael Hickey, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hickey states that the contribution in question was reported incorrectly, because it did not represent a single contribution. He says the money was actually payment for a golf outing, and represented payment for two foursomes at \$125 per person. Mr. Hickey adds that the Committee's Quarterly Report has already been amended to reflect the correct information, with the money in question now being included as part of non-itemized receipts.

Based on the information provided, it is apparent the \$1,000 entry was in fact a collection of smaller receipts, and therefore no A-1 filing was required. I recommend the appeal be granted. (As of 12/31/15, this Committee showed a funds available balance of \$10,652.58)



Tom Newman – Hearing Officer
February 1, 2016

State of Illinois)
County of: _____)

CHICAGO

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2015 SEP 28 PM 12:39
STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Cook County Coalition of Tradesmen)
Respondent(s).)

Case No. 15AM078
23641

APPEAL AFFIDAVIT

Michael S. Hickey III, the Treasurer of the
(Name) (Chairman/Treasurer)
Cook County Coalition of Tradesmen ID# 23641
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The deposit of \$1000.00 on 1/8/15 was payment for a golf outing we had in October. It was mislabeled as a contribution from Jeff Tobolski and should have gone under golf outing. The cost of the outing was \$125 per person and they bought two foursomes. It has been relabeled and admendment filed.

[Signature]
Signature of Chairman/Treasurer

igned and Sworn to by: [Signature]
efore me this 26 Day of Sept, 2015

otary Public
eal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Carruthers
Andrew K. Cadigan
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015

Cook County Coalition of Tradesmen
POB 5307
Chicago, IL 60680

ID# 23641

7015 1730 0002 1035 4308

Dear Cook County Coalition of Tradesmen:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Friends of Jeffrey Tolbolski	1/8/2015	\$1000	4/13/2015	58	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for the delinquent filings.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 105

Grundy County Democratic Central Cmte
Cmte ID: 174
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing Schedule A-1 Reports

The Committee failed to file Schedule A-1 Reports with respect to three (3) receipts of \$1,000 each during the second quarter of 2015. The donations from AFT Local 604 Cope II, IBEW PAC Voluntary Fund, and the Construction and General Laborers' District Council were all dated June 29th, 2015. They were first reported on the Committee's June 2015 Quarterly Report, received on July 12th, 2015. Each receipt was reported 4 days late, leading to assessments of \$500 per receipt, for a total of \$1,500. The above violations were the Committee's first A-1 offenses; accordingly, the civil penalty is automatically reduced to \$150 (10% of the above-referenced fine amount). Additionally, the Committee delinquently filed a Schedule A-1 with respect to two (2) receipts dated September 29th, 2015 – one for \$2000, from the AFT Local 604 Cope II; the other for \$1000, from the Construction and General Laborers' District Council. The A-1 in question was filed on October 13th, 2015, four days late. The assessment for the \$2000 donation from AFT Local 604 Cope II is \$1000; the fine related to the \$1000 receipt from the Construction and General Laborers' District Council is \$500. The total assessment from the A-1 violations during the third quarter of 2015 is \$1500; as a second A-1 violation by the Committee, the civil penalty is automatically reduced to \$750 (50% of the above-referenced fine amount). In total, the assessments add up to \$900.

Warren Kronberger, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Kronberger took responsibility for all delinquent filings but said the oversights were unintentional. Mr. Kronberger noted the three-month period between violations, stated his appreciation for the reduction of the first A-1 violation penalty to \$150 and humbly asked that the \$750 fine be dropped or reduced.

I recommend the appeal be denied for lack of an adequate defense. I appreciate Mr. Kronberger's candor in the affidavit. However, there is no statutory basis for relief in this matter. If the Board accepts this recommendation, a total of \$900 would be due and owing. As of December 31st, 2015, the Committee reported a cash balance of \$14,083.51.



John Levin – Hearing Officer
February 3rd, 2016

e of Illinois)
nty of: GRUNDY)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2015 DEC 15 AM 10: 50

THE MATTER OF;)
INOIS STATE BOARD OF ELECTIONS,)
Complainant)
GRUNDY COUNTY DEMOCRATIC)
CENTRAL COMMITTEE)
Respondent(s).)

Case No. ISMAIOS

APPEAL AFFIDAVIT

WARREN KRONBERGER, the TREASURER of the
(Name) (Chairman/Treasurer)
GRUNDY COUNTY DEMOCRATIC CENTRAL COMMITTEE
(Name of the Committee)

mittee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
n or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE THE ATTACHED LETTER.

Warren Kronberger
Signature of Chairman/Treasurer

and Sworn to by:
Warren J. Kronberger
ne this 12 Day of December, 2015
Public
Sarah Robertson



Dec 1, 2015

State Board of Elections
State Of Illinois
2329 S. MacArthur Blvd.
Springfield, IL. 62704

Illinois State Board of Elections:

I am the treasurer of the Grundy County Democratic Central Committee.

We recently received notice that our committee had violated the mandated five day limit between depositing donations over \$1,000 and the filing/reporting the deposited amount. I take full responsibility for these oversights.

In looking at the notice it shows two incidents. The first incident was when I deposited the checks on June 29 and reported them on July 12. The second incident occurred when I deposited check on Sept 29 and reported Oct 13th. The first violation occurred at the end of quarter two and the second incident occurrence at the end of quarter three.

Again I take responsibility for both of these oversights in meeting the required reporting time limit. However my failures were not intentional, these were mistakes I made as I prepared to file the end of quarter reports. I would like to point out there is a full three months between the first violation (June 29) and the second (Sept. 29), a full reporting quarter between these two incidents.

I understand your board is very busy with the oversight of the many committee reports you are charged to review from across Illinois. But had I been notified of the first infraction within the first three month period this would have alerted me to my error and put me on notice to observe the five day reporting time limit.

We appreciate the Board reducing the first delinquent penalty to \$150 in light that this was a first occurrence. I humbly ask if the Board would please reconsider if the second violation assessment of \$750 could be reduced or possibly dropped as it did occur a full three months later and without the benefit of notification of the first violation.

I accepted the position of treasurer to help, volunteering to try to participate and be an engaged citizen. I take the responsibility of treasurer quite seriously and do not want my mistake to diminish the value of the donations of those that have contributed.

Thank you for your consideration.

Sincerely,



Warren Kronberger, Treasurer
Grundy County Democratic Central Committee
8955 E Condor Court
Minooka, IL. 60447
M - 815 685 4361

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernes L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015

Grundy County Democratic Central Cmte
Mike Clemmons
702 Buffalo Dr
Minooka, IL 60447

ID# 174

7015 1730 0002 1035 1390

Dear Grundy County Democratic Central Cmte:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the Quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
AFT Local 604 Cope II	6/29/2015	\$1000	7/12/2015	4	\$500
Construction and General Labors'	6/29/2015	\$1000	7/12/2015	4	\$500
IBEW PAC Voluntary Fund	6/29/2015	\$1000	7/12/2015	4	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1500.00 for the delinquent filing.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$150.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

In addition this committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the Quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
AFT Local 604 Cope II	9/29/2015	\$2000	10/13/2015	4	\$1000
Construction and General Laborers'	9/29/2015	\$1000	10/13/2015	4	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1500.00 for the delinquent filing.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$750.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

TOTAL AMOUNT NOW DUE

\$900.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director
Campaign Disclosure Division

TN: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

15 MQ 016

Friends of Mary E. Flowers (ID: #4261)

Respondent

REPORT OF HEARING OFFICER

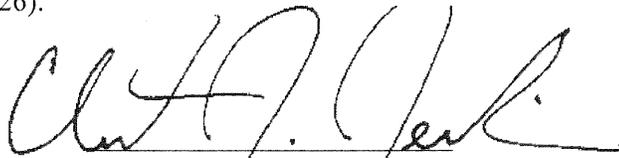
Appeal of Civil Penalty Assessment for Delinquently Filing
The March 2015 Quarterly Report

The March 2015 Quarterly Report was received by the Board on April 26, 2015, 7 days late, resulting in a \$350 civil penalty.

Michael J. Kasper, Attorney of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 17, 2015.

Attorney Michael J. Kasper appeared on behalf of the Committee, accompanied by the candidate Mary Flowers. Representative Flowers stated that she has been in office for 30 years and her treasurer, Delores Crawford, has been managing the filings for several years. Rep. Flowers stated that she noticed that Delores had many responsibilities that were overwhelming her, so Rep. Flowers decided to take on her own disclosure reporting as a result. She stated that in taking on her new duties she didn't receive notice of the filing reporting period. She was unaware that the quarterly report deadline had passed until receiving a call from the Board via Delores.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, a \$350 civil penalty will be due and owing. (As of 12/31/15, this Committee reported a funds available balance of \$63,211.26).



Clinton J. Jenkins – Hearing Officer

November 25, 2015

STATE BOARD OF ELECTIONS

15 JUN 18 PM 2:43

State of Illinois)
County of: Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Mary E. Flowers)
Respondent(s);)

Case No. 15M0016

APPEAL AFFIDAVIT

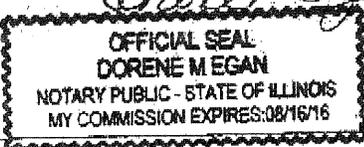
I, Michael J. Kasper, the Attorney of the
(Name) (Chairman/Treasurer)
Friends of Mary E. Flowers
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.

Michael J. Kasper
Signature of Chairman/Treasurer
Attorney

Signed and Sworn to by
Michael J. Kasper
before me this 10 Day of June, 2015
Notary Public
(seal) *Dorene M. Egan*



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

June 8, 2015

ID# 4261

Friends of Mary E Flowers
Delores Crawford
2801 S King Drive, Apt 1617
Chicago, IL 60616

7014 2120 0001 1723 2034

Dear Friends of Mary E Flowers:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2015 through March 31, 2015
Filing Period:	April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/26/2015, 7 day(s) late. As such, this committee has been assessed a fine of \$350.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in blue ink that reads "Tom Newman".

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 JQ 016

Local 392 PAC Fund ID# 6352
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 7/27/15, 8 days late, resulting in a civil penalty assessment of \$800. Additionally, the Committee was previously assessed a \$100 civil penalty (not appealed, stayed) for delinquent filing of the June 2013 Quarterly Report. The total assessment is \$900.

Dan O'Sullivan, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. O'Sullivan states that the committee secretary, the one person who files reports for the committee, was unexpectedly absent for emergency medical leave during the filing period. He asks that the fine be deleted.

The reason for the delinquent filing is unfortunate, but does not rise to the level of an excuse. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous assessment and the total penalty of \$900 will be due and owing. (As of 12/31/15, this Committee showed a funds available balance of \$6,699.49)



Tom Newman – Hearing Officer
February 1, 2016

State of Illinois)
County of: St. Clair)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 15 SEP 29 PM 3:31
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs. Iron Workers)
Local 392 PAC Fund)
Respondent(s).)

Case No. 15920116

APPEAL AFFIDAVIT

Dan O'Sullivan, the Treasurer of the
(Name) (Chairman/Treasurer)
Iron Workers Local 392 PAC Fund
(Name of the Committee)

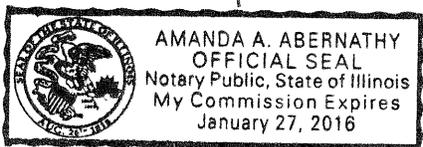
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our Secretary is the one person in the office who files these forms. She had to be out unexpectedly for emergency medical leave during the time this report was due. Please understand the circumstance and remove and delete the assessed \$800.00 fine. Thank you

[Signature]
Signature of Chairman/Treasurer

Subscribed and Sworn to by:
Amanda Abernathy
Notary Public for me this 29th Day of September, 2015

Notary Public
(Signature)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015
ID# 6352

Local 392 PAC Fund
John Herrington, Dan O'Sullivan
2995 Kingshighway
East Saint Louis, IL 62201

7015 1730 0002 1035 4117

Dear Local 392 PAC Fund:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/27/2015, 8 day(s) late. As such, this committee has been assessed a fine of \$800.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2013	Quarterly	\$100
TOTAL AMOUNT NOW DUE		\$900.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

15 MA 108

Riverside Community Caucus

13163

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing

The June 2015 Quarterly Report & the September 2015 Quarterly Report

The June 2015 Quarterly Report was received by the Board on 10/22/15, 70 days late resulting in a civil penalty of \$1,725. In addition the September 2015 Quarterly Report was received by the Board on 10/22/15, 5 days late resulting in a civil penalty of \$250. The total assessment is \$1,975.

The chairman of the Committee, John Mathews, appeared at the February 1st appeal hearing.

Mr. Matthews stated that it was his human error and his inexperience in dealing with the rules and regulations of the Illinois Campaign Finance laws that caused the two reports to be delinquent. Mr. Matthews took over as the chairman of the Caucus in early 2015 and their treasurer resigned in June of 2015. Mr. Matthews mistakenly assumed that the treasurer had filed the June 2015 Quarterly Report before he resigned but he did not realize that the report had not been filed until later. Mr. Matthews acknowledged that he had received several letters from the State Board of Elections but it was not until after he received the letter notifying him that he had also failed to file the September 2015 Quarterly Report that he made the trip down to the Board's Chicago office to seek assistance in getting the reports filed. Mr. Matthews is aware that ignorance is no excuse however he stated that the Riverside Community Caucus is not your typical committee. He said the Committee exists solely to select and slate candidates for office in Riverside every two year and that the Committee is essentially dormant at all other times. Mr. Matthews stated that in recent years the membership of the organization has been less and less involved and the average age of its member is now into their 70s and it is getting harder and harder to keep the organization running as members depart. The Caucus membership is \$20 a year and they have difficulty even collecting that from many of its members. He said the organization on average spends around \$100 a year and in the last two elections their candidates ran unopposed. Mr. Matthews stated that everyone makes mistakes as even the assessment letter concerning this matter had errors on it and he requests the appeal be granted. If the Board does not grant the appeal he requests a reduction to the amount being fined as the fine amount is more than 60% of their treasury and he believes the penalty structure is not proportionally set up for organizations such as the Riverside Community Caucus.

I understand that Mr. Matthews was a new officer to the Committee and that he may not have been familiar with the Campaign Disclosure filing requirements however that does not relieve the Committee of their responsibility to file reports in a timely matter. I recommend the appeal be denied for lack of an adequate defense in relation to both quarterly reports. If this recommendation is accepted by the Board, the total assessment of \$1,975 will be due and owing. (As of 12/31/15, this Committee reported a funds available balance of \$3,194.41.)



Andy Nauman – Hearing Officer

February 2, 2016

e of Illinois)
)
nty of : _____)

STATE BOARD OF ELECTIONS

15 DEC 18 AM 11:50

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
INOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
)
)
Riverside Community Caucus)
Respondent(s).)

Case No. 15MR108

APPEAL AFFIDAVIT

John Mathews, the Chairman of the

(Name) (Chairman/Treasurer)

Riverside Community Caucus

(Name of the Committee)

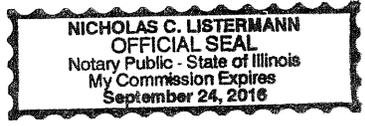
mittee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
on or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See Attached

[Signature]
Signature of Chairman/Treasurer

and Sworn to by: [Signature]
me this 18 Day of December, 2015

Public



STATE BOARD OF ELECTIONS

15 DEC 18 AM 11:50

Riverside Community Caucus

363 Downing Road

Riverside, IL

Illinois State Board of Elections

2329 S. MacArthur Boulevard

Springfield, IL 62704

Dear Sir or Madam,

This letter is in reference to the penalties levied on the Riverside Community Caucus for failure to timely file the June and September Quarterly Report of Campaign Contributions and Expenditures. As Chairman of the Caucus, I would like to request a hearing in Chicago to appeal this fine.

The reason for this appeal is quite straightforward—human error and my inexperience in dealing with the rules and regulations of the Illinois Campaign Finance laws. I took over as Chairman of the Caucus in early 2015, and our treasurer resigned in June of that year. When I picked up the years and years of materials from the former treasurer, I mistakenly assumed that we had filed the report for June. We had not, but this was completely my fault. In my defense, the Illinois Campaign financing rules are complex, and it was not even clear to me that we had to file. I received several letters from the State Board of elections, but it was not until after I received the letter noting that we had also failed to file for September that I went personally to the State Board office in Chicago and filed.

I am well aware that ignorance is no excuse, and I completely understand the need for these laws. With that said, the Riverside Community Caucus is not a typical political party or organization. We exist solely to select and slate candidates for office in Riverside every 2 years. When we are not in an election year, we essentially go dormant—we don't attempt to influence policy, we don't raise money, we don't opine of matters of public record. We have approximately \$3,000.00 in the bank and only spend about \$100.00 in an average year (we sponsor an ornament on the Riverside Christmas tree and make a small donation to the Riverside art fair). We didn't even spend any money in the last election, because our candidates were unopposed. We are not professionals, we don't retain legal counsel, and we have no interest in partisan politics on a local, state or federal level.

I'm hopeful that by appearing before the Board I can demonstrate that these 2 errors were not intentional and that the mistakes were just part of the learning curve. The Caucus has been around for over 75 years, and I really feel terrible that I made these basic mistakes. Please provide me with the opportunity to assure you that this won't happen again and that the errors were due to my ignorance, and not to malfeasance.

Best regards,



John Mathews

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
January 25, 2016
CORRECTED ASSESSMENT

Riverside Community Caucus
PO Box 61
Riverside, IL 60546

ID# 13163

Dear Riverside Community Caucus:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2015 through June 30, 2015
Filing Period:	July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/22/2015, 70 day(s) late. As such, this committee has been assessed a fine of \$1725.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2015 through September 30, 2015
Filing Period:	October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/22/2015, 5 day(s) late. As such, this committee has been assessed a fine of \$250.00.

The total for all assessments in this letter is \$1975.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn:

Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

15 AD 079

Citizens to Re-Elect John Pope
for Committeeman (ID# 19733)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports
For the 4th Quarter of 2014

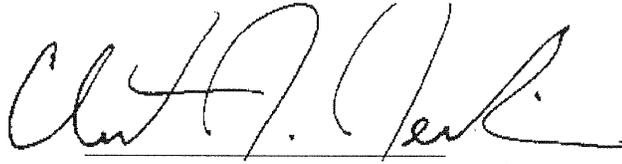
The Committee received contributions of \$1,500, \$1,000 and \$1,500 on 10/24/14, \$1,000, \$1,500, \$1,000 and \$1,000 on 11/18/14, \$1,500 and \$2,000 on 11/19/14, and \$2,500 on 11/24/14 and failed to report any of these on a Schedule A-1, resulting in a civil penalty assessment of \$7,250. Additionally, the Committee was previously assessed a \$1,875 civil penalty (not appealed, paid) for delinquently filing 5 Schedule A-1 Reports during the third quarter of 2014, and a \$1,250 civil penalty (not appealed, paid) for delinquent filing of a Schedule A-1 in the third quarter of 2011. The total assessment is \$7250.

Hanah Jubeh, the Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 11, 2015.

The Committee did not appear for the hearing. Per a letter submitted by Attorney Ross Secler, they have chosen instead to let the documents included in the appeal affidavit stand on their own. The affidavit states that clerical errors were made in that contributions made from a business or its owner were reported on the Committee's January 15, 2015 D-2 Quarterly Report as two or more distinct contributions when it is likely that the Committee only received one contribution, which was properly disclosed in a Schedule A-1. Also that in certain circumstances, contributions reported in the Committee's January 2015 Quarterly Report listed the incorrect receipt date. Due to clerical error, the Committee listed the wrong receipt date on the Quarterly Report or a corresponding Schedule A-1. The Committee is conducting further investigation, but believes that, at hearing the Committee will be able to show through documentary evidence and testimony that these clerical errors were made and that the Board should dismiss any penalties assessed.

The Committee did not appear at the hearing to present the evidence and testimony from their internal investigation as stated in its affidavit. I therefore recommend the appeal be denied for lack of an adequate defense. Although there is no indication the violations were anything other than inadvertent and unintentional, since this is the third set of A-1 violations for this Committee, I recommend the penalty remain at the assessed amount of \$7,250. If this recommendation is accepted by the Board, a \$7,250 civil penalty will be due and owing. The Committee is prepared to offer a proposed settlement of \$3,625 for

full satisfaction of any alleged violations for which this Board has assessed a penalty. Said offer represents 50% of the assessed fine. (As of 09/30/15, this Committee reported a funds available balance of \$2,151.60).

A handwritten signature in black ink, appearing to read "Clinton J. Jenkins". The signature is fluid and cursive, with a horizontal line drawn underneath the name.

Clinton J. Jenkins – Hearing Officer

October 28, 2015

State of Illinois)
County of Cook)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD)
OF ELECTIONS,)
)
Complainant,)
)
Vs.)
)
CITIZENS TO RE-ELECT)
JOHN POPE FOR)
COMMITTEEMAN)
)
Respondents.)

No. 15A D079

APPEAL AFFIDAVIT

NOW COMES Hanah Jubeh, the Treasurer of CITIZENS TO RE-ELECT JOHN POPE FOR COMMITTEEMAN (hereinafter the "Committee"), Respondent, first being duly sworn, deposes and states that she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are as follows:

1. The Committee received notice from Complainant, ILLINOIS STATE BOARD OF ELECTIONS (hereinafter the "Board"), that alleges the Committee failed to timely disclose certain contributions of \$1,000.00 or more through filing a "Schedule A-1" in ten (10) instances. The total assessed fine for the alleged reporting delinquencies and violations is \$7,250.00.

2. For the reasons set forth herein, pursuant to the Illinois Campaign Disclosure Act, 10 ILCS 5/9-1, *et seq.*, the relevant administrative rules and regulations, and the adopted practice of this honorable Board, the fines assessed against the committee should be dismissed or excused in totality. Alternatively, this honorable Board should consider and accept the Committee's settlement proposal.

I. Clerical Errors

1. On information and belief, in certain circumstances, clerical errors were made in that contributions made from a business or its owner were reported on the Committee's January 15, 2015 D-2 Quarterly Report as two or more distinct contributions when it is likely that the Committee only received a one contribution, which was properly disclosed in a Schedule A-1. The Committee is conducting further investigation, but the Committee believes that, at hearing the Committee will be able to show through documentary evidence and testimony that these clerical errors were made and that the Board should dismiss any penalties assessed.

2. On information and belief, in certain circumstances, contributions reported in the Committee's D-2 Quarterly Report filed on January 15, 2015 listed the incorrect receipt date. The Committee is conducting further investigation, but the Committee believes that, at hearing, it will be shown that either:

- a. Many of the allegedly delinquently reported contributions were timely disclosed, but that due to clerical error, those contribution dates were incorrect on the Committee's Quarterly Report, which should warrant the Board's dismissing said penalties;

- b. Due to clerical error, the Committee listed the wrong receipt date on the Quarterly Report or a corresponding Schedule A-1 and that, upon discovery of said error, the Committee took any corrective action it could, which should warrant the Board's dismissing said penalties; or
- c. Due to clerical error, the reported date of certain contributions was inaccurate so that, at the very least, the amount of time said disclosure was late is shorter than as alleged by the Board and thus the fine(s) assessed against the Committee should be reduced.

3. In all of the above circumstances the Committee has taken corrective, internal actions to ensure future compliance and that no further clerical errors occur.

II. Settlement Proposal

4. In the alternative, if this Board finds the assessed penalties should still be chargeable to the Committee, the Committee offers to reach a settlement based on mitigating factors in this case.

5. Specifically, the Committee's finances have been on a steady decline since the candidate it supports lost his re-election for 10th Ward Alderman of the City of Chicago in the April 7, 2015 Municipal Runoff Election.

6. At present the committee has approximately \$ 4,000 cash-on-hand. A copy of the Committee's most recent bank statements as well as the Committee's most recent Quarterly Report(s) will be produced prior to hearing on this matter.

7. The Committee has further been unable to successfully fundraise at prior levels. On information and belief, this decrease in the Committee's (or the candidate's) fundraising ability is due the candidate's unsuccessful outcome at the prior election.

8. Additionally, recent statements and Quarterly Report(s) from the committee, "Friends of John Pope for Alderman" will be produced before hearing, which will further corroborate and evidence the candidate's current lack of funds and likely inability to fundraise at past levels.

9. A fine at the assessed amount represents an undue hardship as the Committee currently lacks the ability to pay in full and the Committee is not likely to be able to raise enough funds to pay the total fine. If any violation has occurred that warrant the assessment of fines, there is clearly no willfulness shown by this Committee. Any mistakes made can be characterized as negligent at worst. Given these circumstances, a settlement should be considered and accepted in accordance with the Board's guidelines and adopted practice.

10. Therefore, the Committee is prepared to offer: \$3,625.00 as and for full satisfaction of any alleged violation for which this Board has assessed a penalty. Said offer represents 50% of the assessed fine.

11. This offer, and all statements contained in the section "II. Settlement Offer" is being made for settlement purposes only and does not constitute admission of any allegation contained the Board's Notice. If this Board finds that some, but not all of the assessed fines should be dismissed or reduced, the Committee shall reserve the right to change its settlement offer accordingly.

WHEREFORE, Respondent, CITIZENS TO RE-ELECT JOHN POPE FOR COMMITTEEMAN, prays the Complainant, ILLINOIS STATE BOARD OF ELECTIONS dismiss or reduce the assessed penalty, or, in the alternative, accept the Committee's settlement offer; and for any such other and further relief as the they may consider proper,

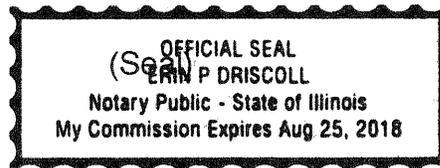
Respectfully Submitted,



HANAH JUBEH, Treasurer
Citizens to Re-Elect John Pope for
Committeeman

SUBSCRIBED AND SWORN TO BY:

Erin P. Driscoll
THIS _____ DAY OF July, 2015



Ross D. Secler, Esq.
Attorney for Respondent
30 North LaSalle Street, Suite 3124
Chicago, Illinois 60602
Telephone: (312) 853-8000
Facsimile: (312) 853-8008
Email: rsecler@chicagoelectionlaw.com

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

June 8, 2015

Citizens to Re-elect John Pope for Committeeman ID# 19733
John A Pope
13511 S. Avenue K
Chicago, IL 60633

7014 2120 0001 1716 0924

Dear Citizens to Re-elect John Pope for Committeeman:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Advantage Structures LLC	10/24/2014	\$1500	1/15/2015	49	\$750 -
Rodrigo Castaneda	10/24/2014	\$1000	1/15/2015	49	\$500 -
J.M. Vaccaro Trucking Inc.	10/24/2014	\$1500	1/15/2015	49	\$750 -
Raffin Construction Company	11/18/2014	\$1000	1/15/2015	33	\$500 -
The Dri-Rite Company	11/18/2014	\$1500	1/15/2015	33	\$750 -
W.E. O'Neil Construction Company	11/18/2014	\$1000	1/15/2015	33	\$500 -
Ford Motor Company Civic Action Fund	11/19/2014	\$1500	1/15/2015	32	\$750 -
Taxpayers for Quinn Inc	11/19/2014	\$2000	1/15/2015	32	\$1000 -
Patricia Gallagher	11/18/2014	\$1000	1/15/2015	33	\$500 -
Chris Grzymala	11/24/2014	\$2500	1/15/2015	29	\$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$7250.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$7250.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 SQ 071

Committee to Elect Jesse G Reyes ID# 20812
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2015 Quarterly Report

The Report was received by the Board on 10/16/15, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee was previously assessed a \$75 civil penalty (not appealed, stayed) for delinquent filing of the September 2013 Quarterly Report. The total assessment is \$125.

Ivan Gonzalez, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Gonzalez states that he took over as Committee Chairman on September 10, and was therefore unable to gather the materials to file the Report on time. He adds that he was able to file it the day after the deadline, and now has access to all needed materials to ensure that future filings will be timely.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the previous \$75 assessment and the \$125 civil penalty will be due and owing. (As of 12/31/15, this Committee showed a funds available balance of \$12.99)



Tom Newman – Hearing Officer
January 29, 2016

e of Illinois

any of: _____

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS 2015 DEC 21 PM 2:10

THE MATTER OF;
INOIS STATE BOARD OF ELECTIONS,

Complainant

Case No. 15SQ071

Respondent(s)

APPEAL AFFIDAVIT

Ivan Gonzalez the Chairman of the
(Name) (Chairman/Treasurer)

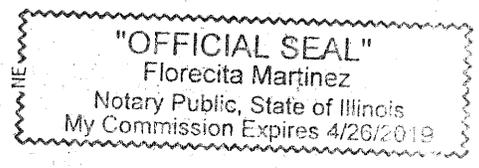
Committee to Elect Jesse G. Reyes
(Name of the Committee)

and, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attachment

[Signature]
Signature of Chairman/Treasurer

and Sworn to by:
Ivan Gonzalez
on this 18 Day of December 2015
Public [Signature]



Appeal Affidavit
Attachment

December 18, 2015

Our committee was unable to file our July 1, 2015 through September 30, 2015 Quarterly Report Having recently taken over chairmanship on September 10, 2015 I was unable to grab materials needed to file the quarterly report on time. I was able to successfully file it the day after the deadline. Now that I have the necessary access to all needed material I will ensure that all our subsequent filings will be in good standings with Illinois State Board of Elections.

Ivan Gonzalez
Chairman
Committee to Elect Jesse G. Reyes

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015
ID# 20812

Committee to Elect Jesse G Reyes
77 W Washington St, Ste 1105
Chicago, IL 60602

7015 1730 0002 1035 0720

Dear Committee to Elect Jesse G Reyes:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/16/2015, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2013	Quarterly	\$75
TOTAL AMOUNT NOW DUE		\$125.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

15 MQ 063

The Committee to Elect Thomas Mahoney (ID# 20867)

Respondent

REPORT OF HEARING OFFICER

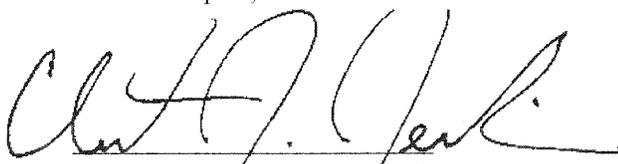
Appeal of Civil Penalty Assessment for Delinquently Filing
The March 2015 Quarterly Report

The Report was received by the Board on April 27, 2015, 8 days late, resulting in a civil penalty assessment of \$400. In addition the committee was assessed a \$75 civil penalty (not appealed, unpaid) for delinquently filing the 2010 December Semi-Annual Report; \$175 civil penalty (not appealed, unpaid) for delinquently filing the 2013 September Quarterly Report. The total assessment is \$650.

Kathleen Mahoney, Chairman of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 11, 2015.

The Committee did not appear for the hearing. Ms. Kathleen Mahoney stated on the appeal affidavit that the committee's report was late due to the candidate being engaged in a high-profile trial which involved the murder of a Chicago Police officer. It was an oversight on the part of the candidate.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, a \$650 civil penalty will be due and owing. (As of 06/30/15, this Committee reported a funds available balance of \$0 and has filed a FINAL report).



Clinton J. Jenkins – Hearing Officer

September 29, 2015

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

June 8, 2015

The Committee to Elect Thomas Mahoney ID# 20867
Thomas Mahoney, Megan Mahoney, Kathleen Mahoney
15431 Tulip Ct.
Orland Park, IL 60462-5024

7012 3460 0001 3857 7767

Dear The Committee to Elect Thomas Mahoney:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contribution and Expenditures
Report Period: January 1, 2015 through March 31, 2015
Filing Period: April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/27/2015, 8 day(s) late. As such, this committee has been assessed a fine of \$400.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2011	Semi-Annual	\$75
September 2013	Quarterly	\$175
TOTAL AMOUNT NOW DUE		\$650.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 SQ 072

Friends of Miriam Shabo
Cmte ID: 21302
Respondent

REPORT OF HEARING OFFICER

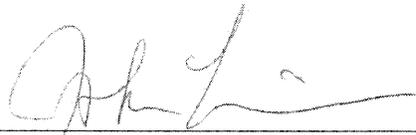
Appeal of Civil Penalty Assessment
For Delinquently Filing the September 2015 Quarterly Report

The Report was received October 22nd, 2015, 5 days late, leading to an assessment of \$375. The Committee has also been fined \$675 (not appealed, paid) for delinquently filing the September 2013 Quarterly Report and \$825 (appeal denied, paid) for delinquently filing the December 2015 Quarterly Report.

Miriam Shabo, the Candidate and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Shabo asked the Board to take into account the death of her husband in January of 2015 at the end of a lengthy illness requiring around-the-clock care.

I recommend the appeal be denied for lack of an adequate defense. While I certainly sympathize with Ms. Shabo, there is no statutory basis for relief in this matter. If the Board accepts this recommendation, the fine of \$375 would be due and owing. As of December 31st, 2015, the Committee's reported balance was \$60.44.



John Levin – Hearing Officer
January 29th, 2016

of Illinois)
City of: _____)

STATE BOARD OF ELECTIONS

2015 DEC 14 PM 2: 58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
INOIS STATE BOARD OF ELECTIONS,)
)
Complainant:)
)
FRIENDS OF MIRIAM SHABO)
)
Respondent(s).)

Case No. 1550072

APPEAL AFFIDAVIT

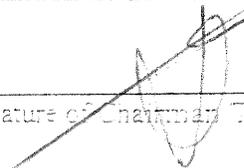
MIRIAM SHABO)
)
) the CHAIRMAN/TREASURER of the)
(Name:) (Chairman/Treasurer:)

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
ground or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I am asking this Honorable Board to take into account my family circumstances: my husband was very ill needing 24/7 care for over four years.
He passed in January 2015. I work full time and had to take care of all issues relating to his illness and passing. I missed the Oct. 2015 filing date.

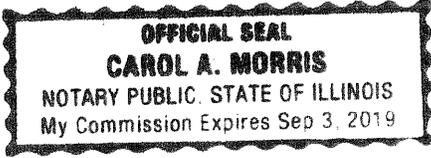
Thank you for your consideration.


Signature of Chairman/Treasurer

Subscribed and Sworn to by:


Subscribed on this 14th Day of December, 2015

Notary Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015
ID# 21302

Friends of Miriam Shabo
Miriam Shabo, Charles Shabo
PO Box 1697
Homewood, IL 60430-0697

7015 1730 0002 1035 0737

Dear Friends of Miriam Shabo:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/22/2015, 5 day(s) late. As such, this committee has been assessed a fine of \$375.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2013	Quarterly	\$675
December 2015	Quarterly	\$825
TOTAL AMOUNT NOW DUE		\$1875.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 SQ 076

Friends of Jimmie Watson ID# 21738
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2015 Quarterly Report

The Report was received by the Board on 10/29/15, 10 days late, resulting in a civil penalty assessment of \$750. Additionally, the Committee was previously assessed a \$75 civil penalty (not appealed, paid) for delinquent filing of the September 2013 Quarterly Report, a \$100 civil penalty (not appealed, paid) for delinquent filing of the March 2013 Quarterly Report, and a \$25 civil penalty (not appealed, paid) for delinquent filing of the September 2011 Quarterly Report. The total assessment is \$750.

Jimmie Watson, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Watson states that the late filing was an unintentional oversight due to his involvement in another project. He also cites Section 125.425(j) of the Board's Rules and Regulations, which refers to the provision that a Committee's penalty will expire after two years, if the Committee is assessed no more than one civil penalty during a two year period.

It is not clear why Mr. Watson believes 125.425(j) is relevant in this case, since this is the fourth assessment for the Committee, and there was never an elapsed period of two years for any of the three previous assessments. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$750 civil penalty will be due and owing. (As of 12/31/15, this Committee showed a funds available balance of \$0)



Tom Newman – Hearing Officer
January 29, 2016

e of Illinois)
)
nty of: _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 2015 DEC 21 PM 2: 10
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
INOIS STATE BOARD OF ELECTIONS,)
)
Complainant)

Case No. 1550076

Friends of Jimmie Watson)
Respondent(s).)

APPEAL AFFIDAVIT

Jimmie WATSON, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

Friends of Jimmie WATSON
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Under sec. 125.425 Civil Penalty Assessments - Sec. 9-10
Sec 9-10. It shall, After two year case lapsed following the
Assessment, be consider AS NEVER having violated sec. 9-10. See
Attachment. Also, I would like to say I would never
intentional not file my report. It was a oversight do to my
involvement in another project.

Jimmie Watson
Signature of Chairman/Treasurer

I and Sworn to by:

_____ Day of _____, 20__

Public

- g) If a political committee or organization required to report under the provisions of Article 9 of the Election Code that is subject to a civil penalty fails, within the time required, to make payment in full of the assessed civil penalty, then the Board shall proceed with efforts at collection pursuant to the Illinois State Collection Act of 1986 [30 ILCS 210]. The Board shall not hear an appeal of a civil penalty imposed for delinquent filing or the violation of a Board order if neither a request for waiver of appearance and appeal affidavit nor a request for hearing and appeal affidavit is filed within the time required.
- h) Notwithstanding any provision of this Section to the contrary, the Board shall stay the enforcement of any civil penalty in cases of first time violation of a filing deadline and shall stay the enforcement of a civil penalty for the violation of a Board order when the committee or organization has voluntarily entered into a stipulation admitting the violation and agreeing to the civil penalty. The stay shall continue only so long as no subsequent violations of Article 9 of the Election Code or of Board orders occur. Violation of Article 9 of the Election Code or a Board order will cause the civil penalty otherwise stayed to become immediately due and may expose the committee or organization to further liability in accord with this Section.
- i) For the purpose of this Section, second and subsequent violations are deemed to occur with reference to the time the first offense event occurs, not when a hearing, if any is required, concerning the first offense event is held. The Board may consider two or more allegations of violations at the same hearing, treating the first as an initial violation and the remaining as subsequent violations, imposing appropriate civil penalties for each.
- j) Notwithstanding any other provision of this Section:
- 1) if an active political committee or organization is assessed no more than one civil penalty under Section 9-10 during a two year period, it shall, after two years have lapsed following the assessment, be considered as never having violated Section 9-10. For a single violation, the two year period begins to run with the mailing of the assessment letter. If an active political committee or organization is assessed more than one civil penalty and has paid all assessed civil penalties, it shall be considered for assessment purposes as not having violated that Section if it is assessed no other civil penalty during a two year period following receipt of payment by the Board;
 - 2) if a committee or organization is assessed a single penalty under Section 9-10 and subsequently files a final report or has filed a final report prior to the assessment, during the two year period beginning with the date of the assessment letter, or the final Board order if the assessment is appealed and the appeal is denied, any successor committee or organization shall be considered, for assessment purposes, as not having violated Section 9-10 if it is assessed no other penalty;
 - 3) if a committee or organization is assessed more than one penalty under Section 9-10 and subsequently files a final report or has filed a final report prior to the assessment, and the political committee or organization has not paid the civil penalties, any successor committee or organization that subsequently pays all civil penalties due shall be considered as never having violated Section 9-10 if, for two years from the date of receipt of payment by the Board, the successor committee or organization is assessed no other civil penalty.
- k) Upon notice by the Hearing Officer or upon request by any party, the Hearing Officer may direct parties or their attorneys to appear at a specified time and place for a conference, either during or prior to any hearing, for purposes including, but not limited to:
- 1) the formulation and simplification of issues;

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

November 18, 2015

ID# 21738

Friends of Jimmie Watson
Jimmie Watson
1445 Lexington Ave
Ford Heights, IL 60411-3863

7015 1730 0002 1035 0751

Dear Friends of Jimmie Watson:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/29/2015, 10 day(s) late. As such, this committee has been assessed a fine of \$750.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2013	Quarterly	\$75
TOTAL AMOUNT NOW DUE		\$825.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 SQ 082

Southland Success PAC
Cmte ID: 22624
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the September 2015 Quarterly Report

The Report was received October 27th, 2015, 8 days late, leading to an assessment of \$600. The Committee was previously fined \$775 (not appealed) for delinquently filing its D-1 Statement of Organization in 2010; \$150 (not appealed) for delinquently filing the December 2010 Semi-Annual Report; \$2400 (not appealed) for delinquently filing the June 2012 Quarterly Report; \$5000 (not appealed) for delinquently filing the March 2012 Quarterly Report; \$5000 (not appealed) for delinquently filing the June 2011 Quarterly Report; \$5000 (not appealed) for delinquently filing the September 2011 Quarterly Report; and \$5000 (not appealed) for delinquently filing the December 2011 Quarterly Report; \$100 (not appealed) for failing to file a Schedule A-1 during the first quarter of 2012; \$250 (not appealed) for failing to file a separate A-1 Report during the first quarter of 2012; \$5000 (not appealed) for delinquently filing the September 2012 Quarterly Report; \$5000 (not appealed) for delinquently filing the December 2013 Quarterly Report; \$5000 (not appealed) for delinquently filing the September 2013 Quarterly Report; \$5000 (not appealed) for delinquently filing the June 2013 Quarterly Report; \$5000 (not appealed) for delinquently filing the March 2013 Quarterly Report; and \$5000 (not appealed) for Violating a Board Order by not filing any Quarterly Reports for calendar year 2014. The total assessment is \$54,275.

David Johnson, the Chairman and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Johnson noted the Committee had no reported activity during 2011 and 2012. He included correspondence indicating the Committee effectively closed in June, 2011. Mr. Johnson also referenced failed efforts to file Reports electronically in 2014.

I recommend the appeal be denied for lack of an adequate defense. There is no statutory basis for relief in this matter. The Committee had ample opportunity to dissolve according to statutory guidelines and did not do so until 2015, despite receiving ongoing correspondence from the Board. If the Board accepts this recommendation, a total of \$54,275 would be due and owing. However, on December 2nd, 2015, the Committee filed a Final Report for a period ending on the

same date. The Report included a final balance of \$0.00 and has been reviewed and accepted by Board staff. Accordingly, should the Committee remain dissolved for a period of two years from the date of the final board order, the fines would be abated through expiration.



John Levin – Hearing Officer

February 1st, 2016

State of Illinois)
)
County of Will)

STATE BOARD OF ELECTIONS

15 DEC 17 PM 2:52

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF,)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
SOUTHLAND SUCCESS PAC)
David N. Johnson, ID# 22624)

1550 082
ID # 22624

Commissioners:

I am appealing the ruling of the Illinois State Board of Election's assessment of penalties for the years 2011 and 2012. The reasons for this appeal and request that fine be extinguished are that the committee had been inactive during those periods and the committee treasurer was unable to file reports due to computer breakdowns. Because of the computer failures, the treasurer was unable to enter the state's website and file timely reports.

During this period there was **no activity** what so ever by the committee in so far as the collection and expenditure of funds where concerned (See letter from BMO Harris Bank attached). For all intent and purposes the committee was closed and most certainly, in active.

Respondent purchased a computer (see attached receipt) and attempted to use the computer to comply with the mandate of filing timely reports but that computer succumbed to viruses and was submitted to a repair shop for the needed work. All the while reports became due and where not filed.

In September 2014 I tried repairing my HP computer to no avail (see Wisdom Computer receipt. I then purchased a Lenovo computer that failed to work properly. That computer's warranty has been invoked and I am currently pressing the company to repair this computer (see Tiger Direct).

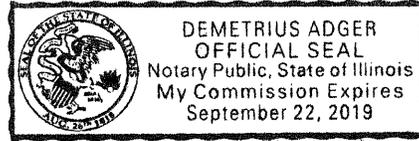
Once I was able to acquire a new computer reports were completed, filed and the committee closed. I want to emphasize there was no committee activity since June of 2011 when the Harris Bank account was paid for outstanding fees and closed.

Therefore, because of the above stated facts, I am appealing the fine levied against the Southland Success Political Action Committee and request that the fine be extinguished and that the committee be absolved of all penalties and fines.

David M. Johnson
Committee Treasurer

Date: *12-16-15*

Notary:



Demetrius Adger
12-16-15

December 2, 2015

Southland Success PAC
3030 Cedar Ln
Crete, IL 60417

RE: Account Closing Verification

To Whom It May Concern:

We are writing this letter to let you know that on June 2, 2011 Southland Success PAC had an account, 4802954352, that was closed with a negative balance of \$191.69. The funds were paid off and received by BMO-Harris Bank on 6/27/2011 in full and the final statement for the referenced is account is enclosed, ending on June 30, 2011.

If you have any questions or need additional information I can be reached at 708-335-2112.

Sincerely,



Juan Zamudio
AVP/Bank Manager

Wisdom Computers

41 West Sauk Trail - South Chicago Hights IL 60411

Business 708 - 754 - 9750

Repair Authorization

Sold To

X DAVID N. JOHNSON 3030 E. CEDAR LANE CRETE, IL 60417 708 334-7811	Customer #: Work Order #: Date: Computer Type & Model: Date Repair Completed: 9-11-14
---	--

Please check items to be replaced/changed if known:

Check Here if it's an Upgrade

- | | | | |
|---|--|---|---|
| <input type="checkbox"/> Audio Device | <input type="checkbox"/> Video Device | <input type="checkbox"/> Modem Device | <input type="checkbox"/> Memory Stick |
| <input type="checkbox"/> Hard Disk HDD | <input type="checkbox"/> Floppy Drive | <input type="checkbox"/> CD/DVD drive | <input type="checkbox"/> Mainboard |
| <input type="checkbox"/> Power Supply | <input type="checkbox"/> Ribbon Cables | <input type="checkbox"/> Sound Cables | <input type="checkbox"/> CPU processor |
| <input type="checkbox"/> System Battery | <input type="checkbox"/> Cooling Fans | <input type="checkbox"/> Networking NIC | <input checked="" type="checkbox"/> OS Operating System |

Common System Problems:

- | | | | | |
|-----------------------------------|--|---|--|---|
| <input type="checkbox"/> Lock-up | <input type="checkbox"/> Bad hard disk | <input type="checkbox"/> Frequent errors | <input type="checkbox"/> Wrong memory | <input type="checkbox"/> Won't power up |
| <input type="checkbox"/> Sluggish | <input type="checkbox"/> No screen display | <input type="checkbox"/> Repeated blue screen | <input type="checkbox"/> Won't boot normally | |

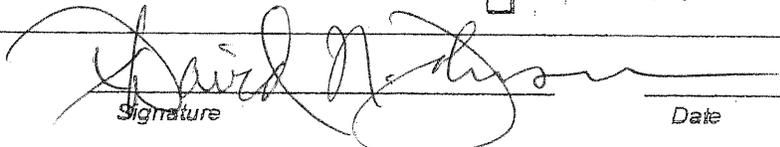
I hereby authorize Wisdom Computers to inspect & repair my computer system using the required components as deemed necessary. An express Technician's lien is hereby acknowledged on the computer to secure the amount of repairs thereto.

I further acknowledge that there is a 50% deposit on all work that requires any hardware to be placed on "special order". I'm also aware that your not responsible for my system if not picked up before 30 days.

CONSUMER RIGHTS NOTICE

You are entitled to a price estimate for the repairs you are about to authorized. The final price may be less than estimated but shall not exceed by more than 10% without your consent. You may require that you be notified in writing if the price exceeds beyond 10% of the quoted estimate. You may waive your right to an estimate, which gives the repair facility the right to set the price without your permission. You may check your preference.

- | | |
|---|--|
| <input type="checkbox"/> (a) Request estimate in writing before proceeding with repairs | <input type="checkbox"/> (b) Request a phone estimate before proceeding with repairs |
| <input type="checkbox"/> (c) Proceed with the repairs but call me if the cost exceeds 10% | <input type="checkbox"/> (d) I waive my rights to an estimate |



 Signature Date

Components needed for Repair

#	Item	Description	Unit Price	Total
1	W7	7 OS		\$125.00
1		VIRUS PROTECTION		\$ 25.00

OFFICE USE ONLY

Approved By: SAVE DOC

Total \$150.00

From: TigerDirect.com noreply@tigerdirect.com
 Subject: Your TigerDirect.com Order J8593091 Confirmation
 Date: October 11, 2014 at 6:09 PM
 To: DAVID JOHNSON dnja3030@comcast.net

~~XXXXXXXXXX~~
 Case # 3039ZW8

TigerDirect.com

SHOP BY PHONE: 1-800-800-8300 | Chat With Us Chat

MY ACCOUNT	TRACK YOUR ORDER	HELP	REBATE CENTER	CONTACT US	STORE LOCATOR
------------	------------------	------	---------------	------------	---------------

Order Confirmation

Dear **DAVID JOHNSON**,

Thank you for your order. Please verify that all the below information is correct; if you need to change anything please contact us at 1-800-800-8300 or click the Chat With Us link on this email. Orders generally become available for modification 10-15 minutes after being placed online but due to our fast processing times there is only a very short window of opportunity to modify an order.

Order Information

Order Date 10/11/2014
Order No. J8593091
Order Total \$353.56

Shipment Information	Billing Information	Other Items to Consider
----------------------	---------------------	-------------------------

DAVID JOHNSON 3030 E CEDAR LANE CRETE, IL 60417 708-334-7811	DAVID JOHNSON 3030 E CEDAR LANE CRETE, IL 60417 708-334-7811
---	---

HP LV2311 23" Monitor - LED Backlit ...



23" 5ms 1M:1 DVI
SCREEN 1920x1080 CONTRAST

[Learn More](#)

HP 355 G2 AMD A8 8GB Memory 1TB HDD ...



AMD A8 8GB 1TB 19.1" DVI

[Learn More](#)

HP ProDesk G1 400 Desktop PC - Intel ...



CORE I7 1TB 8GB WINDOWS 13999999

[Learn More](#)

Microsoft Surface Pro 3 Intel Core i5 ...



Items Ordered

Lenovo M57 Desktop PC - Intel Core 2 Duo 2.33GHz, 4GB Memory, 1TB HDD, DVD, Windows 7 Professional 64-bit (Off-Lease) - RB-700814414047 (J1S-102324126)	1	\$179.99	\$179.99
SquareTrade 2-Year Desktop Warranty (STU-RD-CD0199R2E)	1	\$49.98	\$49.98
Office 365 Personal w/ McAfee Multi-Access Bundle (FIC-102359627B)	1	\$89.99	\$89.99

Total for all Items:	\$319.96
Shipping & Handling:	\$9.70
Sales Tax:	\$23.90
Grand Total:	\$353.56

Payment Method: **CREDIT**

Shipping Method: Ground (2 to 6 days) | Only Business Days

Every order is thoroughly reviewed by our processing department to ensure that the order is accurate, the payment method is valid, and the purchaser is authorized to use this payment method. Once the order has passed the rigorous review by our processing department, it is sent to our warehouse for shipment. Most orders are processed within minutes however depending on when an order is placed and the

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015
ID# 22624

Southland Success PAC
David Johnson
3030 E Cedar Lane
Crete, IL 60417-3769

7015 1730 0002 1035 0805

Dear Southland Success PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2015 through September 30, 2015
Filing Period:	October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/27/2015, 8 day(s) late. As such, this committee has been assessed a fine of \$600.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2010	Statement of Organization	\$775
December 2011	Semi Annual	\$150
2012	Multi Assessment	\$2400
2012	Multi Assessment	\$5000

March 2012	A1	\$100
March 2012	A1	\$250
September 2012	Quarterly	\$5000
2013	Multi Assessment	\$5000
2013	Multi Assessment	\$5000
2013	Multi Assessment	\$5000
December 2014	Quarterly	\$5000
2015	Violation of a Board Order	\$5000
TOTAL AMOUNT NOW DUE		\$54275.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign

Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

15 MA 060

Citizens to Elect David Moore (ID# 23127)

Respondent

REPORT OF HEARING OFFICER

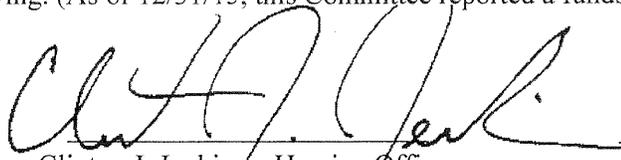
Appeal of Civil Penalty Assessment for Delinquently Filing
The March 2015 Quarterly Report and Schedule A-1 Reports
For the 1st Quarter of 2015

The March 2015 Quarterly Report was received by the Board on 4/18/15, 2 days late, resulting in a \$200 civil penalty. Also, the Committee received a \$2,294 contribution, a \$5,000 contribution and two \$10,000 contributions and reported these on Schedule A-1's received by the Board between 1 and 37 days late resulting in a \$13,647 civil penalty. In addition, the Committee was previously assessed a \$50 civil penalty (not appealed, unpaid) for delinquently filing the December 2014 Quarterly Report. The total assessment is \$13,897.

Kenneth Williams, the Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 12, 2015.

David Moore, the Chairman and candidate, appeared on behalf of the Committee. Mr. Moore stated that the Treasurer of his committee, Kenneth Williams, suffers from a kidney problem and was very sick during the filing period in question. He said the untimely filing of the Quarterly Report was due to Mr. Williams' sickness. Mr. Moore stated that he was unaware of the late filing until much later. Mr. Williams sent a letter of explanation to support the appeal, in which he confirmed his health challenges that impacted his ability to file the reports timely.

I recommend the appeal be denied on all counts for lack of an adequate defense. Since there is no indication the A-1 violations were anything other than inadvertent and unintentional, and since they are the Committee's first Schedule A-1 violations, I recommend the fine for those violations be reduced to 10% of the original assessment, or \$1,365. If these recommendations are accepted by the Board, a \$1,615 civil penalty will be due and owing. (As of 12/31/15, this Committee reported a funds available balance of \$-6,631.49).



Clinton J. Jenkins – Hearing Officer

October 28, 2015

15 JUL -9 AM 11:18

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens Elect David Moore)
Respondent(s).)

Case No. 15MA060

APPEAL AFFIDAVIT

I, Keneth Williams, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizen to Election David Moore
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Signed and Sworn to by:
Kenneth Williams
before me this 6th Day of
July, 2015
Notary Public [Signature]

[Signature]
(Signature of Chairman/Treasurer)



CHICAGO
2015 AUG 14 PM 2: 50
STATE BOARD OF ELECTIONS

Kenneth P. Williams
4633 S. Calumet Ave.
Chicago, IL 60653

Illinois State Board of Elections
100 W. Randolph, Suite 14-100
Chicago, IL 60601

Dear Illinois State Board of Elections Appeals,

On behalf of the Citizens to Elect David Moore, please accept this letter as an explanation for missing the quarterly report deadline to the Illinois State Board of Elections. I am faced with several health challenges which led to me becoming ill in April 2015. This impacted my ability to file the quarterly report by the April 15 deadline. Thank you for your time and consideration of the appeal for the Citizens to Elect David Moore.

Best regards,
Kenneth P. Williams
Treasurer
Citizens to Elect David Moore

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
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Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
June 8, 2015

Citizens to Elect David Moore
David Moore
7726 S Union Ave
Chicago, IL 60620-2440

ID# 23127

7015 0640 0001 4490 0085

Dear Citizens to Elect David Moore:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March 2015 Quarterly Report of Campaign Contributions and Expenditures
Report Period: January 1, 2015 through March 31, 2015
Filing Period: April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 4/18/2015, 2 day(s) late. As such, this committee has been assessed a fine of \$200.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Mohammed Addallah	2/1/2015	\$5000	2/5/2015	2	\$2500
Chicago Teachers Union-PAC	1/22/2015	\$10000	2/5/2015	5	\$5000
Chicago Teachers Union-PAC	2/23/2015	\$2294	4/18/2015	37	\$1147
Chicago Teachers Union-PAC	1/9/2015	\$10000	1/17/2015	1	\$5000

The committee is fined a **total** of \$13647.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1365.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2014	Quarterly	\$50
TOTAL AMOUNT NOW DUE		\$1615

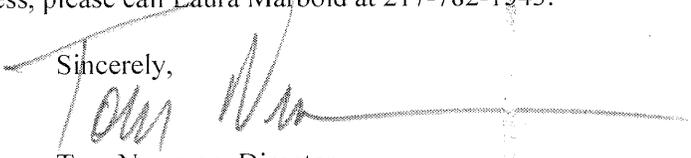
The total for all assessments in this letter is \$1615.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by July 8, 2015. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

 Tom Newman, Director
 Campaign Disclosure Division

SS: lm
 Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 MA 109

Citizens for a Better Steger ID# 23545
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
March, June, and September 2015 Quarterly Reports and Failure to File Schedule A-1 Reports

The March 2015 Quarterly Report was received by the Board on 8/18/15, 87 days late, resulting in a civil penalty assessment of \$5,000. The June 2015 Quarterly Report was received by the Board on 8/18/15, 24 days late, resulting in a civil penalty assessment of \$4,800. The September 2015 Quarterly Report was received by the Board on 8/18/15, 2 days late, resulting in a civil penalty assessment of \$400. The Committee also received a \$1,000 contribution on 1/23/15 and a \$2,000 contribution on 4/1/15, and failed to report either contribution on a Schedule A-1, resulting in a civil penalty assessment of \$1,500. Additionally, the Committee was previously assessed an \$820 civil penalty (not appealed, paid) for failure to file three Schedule A-1 Reports in the 2nd quarter of 2014, a \$200 civil penalty (not appealed, paid) for delinquent filing of the September 2013 Quarterly Report, a \$161 civil penalty (not appealed, paid) for delinquent filing of a Schedule A-1 Report in the 2nd quarter of 2013, and a \$50 civil penalty (not appealed, paid) for delinquent filing of the September 2011 Quarterly Report. The total assessment is \$11,700.

Alice Peterson, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Peterson states that she apologizes for the late filings, and admits that there is no excuse as to why the Reports were late, but she asks for a reduction in the \$11,700 fine. She adds that future Quarterly Reports will be filed on time.

I recommend the appeal be denied for lack of an adequate defense. In the case of the two A-1 filings, there is no indication the violations were anything other than inadvertent and unintentional, but since this is the third A-1 violation for the Committee I recommend the penalty remain at 100% of the assessed amount. If these recommendations are accepted by the Board, the \$11,700 civil penalty will be due and owing. (As of 12/31/15, this Committee showed a funds available balance of \$10,361.96)



Tom Newman – Hearing Officer
January 29, 2016

e of Illinois)
nty of: _____)

STATE BOARD OF ELECTIONS

2015 DEC 22 PM 1:56

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF; _____)

INOIS STATE BOARD OF ELECTIONS, _____)

Complainant _____)

Case No. 15MA109

CITIZENS FOR A BETTER STEGER
Respondent(s) _____)

APPEAL AFFIDAVIT

ALICE I. PETERSON the TREASURER of the
(Name) (Chairman/Treasurer)

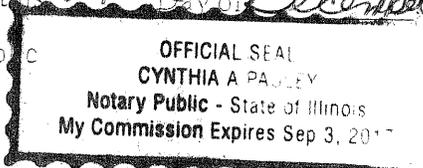
CITIZENS FOR A BETTER STEGER
(Name of the Committee)

mittee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
n or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I would like to start by apologizing for the delay in
filing our Committee's quarterly reports! Unfortunately, there
is no excuse as to why we filed late quarterly reports
but, ask for a reduction in our \$11,700 fine. I can say that
going forward, our quarterly reports will be filed on time!

Alice I Peterson
Signature of Chairman/Treasurer

and Sworn to by: Cynthia A Pauley
me this 17th day of December 2015



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
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William J. Cadigan
Andrew K Carruthers
Betty J. Coffrin
John R. Keuth
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015

Citizens for a Better Steger
Kenneth A Peterson, Jr, Alice I Peterson
3134 Sandy Ridge Dr
Steger, IL 60475-1950

ID# 23545

7015 1730 0002 1035 1741

Dear Citizens for a Better Steger:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March 2015 Quarterly Report of Campaign Contributions and Expenditures
3 Report Period: January 1, 2015 through March 31, 2015
Filing Period: April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 8/18/2015, 87 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 8/18/2015, 24 day(s) late. As such, this committee has been assessed a fine of \$4800.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 8/18/2015, 2 day(s) late. As such, this committee has been assessed a fine of \$400.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Michael Pizzuto	4/1/2015	\$2000	8/18/2015	92	\$1000
Del Galdo Law Group, LLC	1/23/2015	\$1000	8/18/2015	137	\$500

The committee is fined a **total** of \$1500.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1500.00, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$11700.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by November 18, 2015. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 JQ 164

Illinois Federation of Public Employees PAC ID# 23671
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 8/10/15, 18 days late, resulting in a civil penalty assessment of \$900.

Michael J McCabe, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. McCabe states that he attempted to file the Report electronically, but had difficulty with the process and had to keep starting over. Finally, he came to the Board office on August 10 and received assistance from staff to complete the filing. Mr. McCabe says also at that time, the Committee's account was reset for the next reporting period, although he adds that he is not sure what he did or did not do to cause the filing error that resulted in the late Report filing.

It is apparent the Committee had some difficulties with electronic filing. However because of the amount of time involved before assistance was sought for the problem, I do not believe an electronic filing defense is appropriate in this case. I therefore recommend the appeal be denied. As a first violation, the \$900 penalty is stayed. (As of 12/31/15, this Committee showed a funds available balance of \$50,691.16)



Tom Newman – Hearing Officer
January 29, 2016

State of Illinois)
)
County of Sangamon)

STATE BOARD OF ELECTIONS

2015 DEC 17 PM 3: 54

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 139Q164

ILLINOIS FEDERATION OF PUBLIC EMPLOYEES)

Respondent(s). PAC)

APPEAL AFFIDAVIT

I, Michael J. McCabe, the Treasurer of the
(Name) (Chairman/Treasurer)

Illinois Federation of Public Employees PAC Committee
(Name of the Committee)

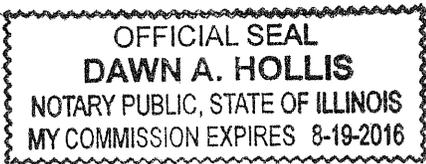
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Illinois Federation of Public Employees PAC Committee was notified by mail that it failed to file with the Illinois State Board of Elections its June Quarterly Report of Campaign Contributions and Expenditures for the period April 1, 2015, thru June 30, 2015. Such filing period was July 1, 2015, thru July 15, 2015. On August 10, 2015, I visited Tom Newman, Director, Campaign Disclosure Division. I explained to Mr. Newman that I attempted to file the Quarterly Report during the reporting period. I had difficulty with the process and kept having to begin again. In the end, I received the screen that the report was filed successfully. As to the best of my knowledge and ability, I successfully filed the required report during the many attempts that day. At Mr. Newman's office on August 10, he accessed the Illinois Federation of Public Employees PAC Committee account and reset the account for the next reporting period. I am not aware of what I did or did not do to cause the

(over)

Signed and Sworn to by:
Michael J. McCabe
before me this 15th Day of
December, 2015
Dawn A. Hollis
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015

Illinois Federation of Public Employees PAC
Michael J McCabe
1040 Fayette Ave
Springfield, IL 62704-2426

ID# 23671

7015 1730 0002 1035 5176

Dear Illinois Federation of Public Employees PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 8/10/2015, 18 days late. As such, this committee has been assessed a fine of \$900.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

15 MA 063

Local Option 2012 Committee (ID# 24522)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing
The September 2014, December 2014, and March 2015 Quarterly Reports

The September 2014 Quarterly Report was received by the Board on April 20, 2015, 124 days late, resulting in a \$3100 civil penalty. The December 2014 Quarterly Report was received by the Board on April 20, 2015, 63 days late, resulting in a \$3150 civil penalty. The March 2015 Quarterly Report was received by the Board on April 20, 2015, 3 days late, resulting in a \$225 civil penalty. The total assessment is \$6,475.

William J. Cooley, Chairman and Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 20, 2015.

No one appeared on behalf of the Committee. In conversation with Mr. William Cooley, he informed the hearing officer that the committee would submit a formal letter to withdraw the appeal. That letter was not received. A summation of the written appeal is as follows: Mr. Cooley is a licensed attorney in Illinois, who has participated in multiple legal proceedings concerning elections and ballot issues in Cook County. Mr. Cooley organized the Local Option 2012 Committee in order to obtain an electronic copy of the voting history of eligible voters in the 27th Precinct of the 17th Ward of the City of Chicago. He did so because he understood that only an authorized Committee with the State Board of Elections could obtain this data. The Committee neither solicited nor received any contributions from any person, party, or other committee. The only funds were from a personal loan from William Cooley, himself. The Committee funds were used only for the access to the electronic voter data. Beginning in 2011 Mr. Cooley sustained physical injuries that required several surgeries which limited his ability to fulfil his campaign disclosure responsibilities. Neither Mr. Cooley, nor Local Option 2012 committee have participated in election matters since 2015.

While only authorized political committees can obtain electronic voter data, these committees also have stated filing obligations with the Board of Elections. By electing to file as a Committee to obtain the voter records, Mr. Cooley also agreed to adhere to the filing requirement of the Board. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, a \$6,475 civil penalty will be due. (As of 12/31/15, this Committee reported a funds available balance of \$0 and has filed a Final Report).



Clinton J. Jenkins – Hearing Officer

January 5, 2016

STATE OF ILLINOIS)
)
COUNTY OF COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF,)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant,)
)
Vs.) Case No. ID 24522
)
LOCAL OPTION 2012 COMMITTEE,)
)
Respondent(s).)

APPEAL AFFIDAVIT

I, WILLIAM J. COOLEY, the Chairman and Treasurer of the LOCAL OPTION 2012 COMMITTEE, Committee, being first duly sworn, deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are summarized in the supplemental page(s), attached:



(Signature of Chairman/Treasurer)

SUBSCRIBED and SWORN TO by William J. Cooley who personally appeared before me on this 17th day of July, 2015.



Notary Public



Defenses to Penalty Proposed

1. William J. Cooley is a licensed attorney in the State of Illinois and acts as a sole practitioner who has investigated, filed, prosecuted and/or defended several dozens of legal complaints and petitions concerning elections and balloting issues brought before the Circuit Court of Cook County, as well as the Illinois Appellate Courts, for approximately 30 years. In all such cases, he has obtained and used voting data of legal voters from the Chicago Board of Elections and/or the Cook County election authorities in order to conduct such legal work. He has never been previously cited for any violation by the State Board of Elections.

2. Following the substantial reorganization of various precincts throughout the City of Chicago prior to the 2012 General Election, the Local Option 2012 Committee was organized in order to obtain an electronic copy of the voting history of those eligible voters in the 27th Precinct of the 17th Ward of the City of Chicago. It was the understanding of William J. Cooley that such histories were only available from the State Board of Elections; and also that the State Board of Elections only provided the voter data to an authorized Committee. Therefore, the Committee was organized by William J. Cooley on October 11, 2012, identifying himself as the Chairperson and Treasurer.

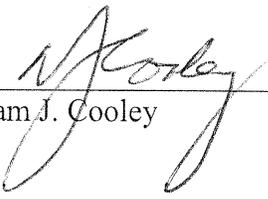
3. Except for a personal loan from the business account of William J. Cooley, the Committee neither solicited nor received any contributions from any other Committee, party or person. The Committee used the personal loan to support legal work to obtain and utilize a single disk containing Chicago voting data that was supplied by the Illinois State Board of Elections. The Committee used only a fraction of the electronic information to access voting

history data pertaining to one precinct. The disc was never used for any unauthorized purposes or for any other election.

4. As a sole practitioner who is exclusively familiar with the factual and procedural history of this matter, William J. Cooley sustained physical and accidental injuries beginning in 2011 which continue to the present date. Four surgical procedures substantially limited his ability to participate and/or communicate to the Illinois Board of Elections regarding the untimely filing of Quarterly Reports of Campaign Contributions and Expenditures which led to the sanctions identified in this matter. Those events included the cervical fusion of seven vertebrae, a shoulder repair of a tendon, a repair of lumbar discs, and the reverse replacement of the left shoulder. Each separate surgery, at different times and followed by different rehabilitation and medication schedules, resulted in medical issues that impaired his ability to physically function and focus.

5. William J. Cooley has not participated in election matters since 2012.

Respectfully submitted,



William J. Cooley

William J. Cooley
464 North Halsted, #2N
Chicago, IL 60622
312-633-0920; 312-633-0910 (F)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss
June 8, 2015

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

Local Option 2012 Committee
William J. Cooley
464 N. Halsted Street
Chicago, IL 60622

ID# 24522

7011 2970 0003 6440 7452

Dear Local Option 2012 Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 20, 2015, 124 day(s) late. As such, this committee has been assessed a fine of \$3100.

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 20, 2015, 63 day(s) late. As such, this committee has been assessed a fine of \$3150.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2015 through March 31, 2015
Filing Period:	April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 20, 3 day(s) late. As such, this committee has been assessed a fine of \$225.

The total for all new assessments is \$6475.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", written over a horizontal line.

Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosure(s):

appeal

packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

14 SQ 150

Friends of Joe Vosicky (ID# 25075)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The September 2014 Quarterly Report

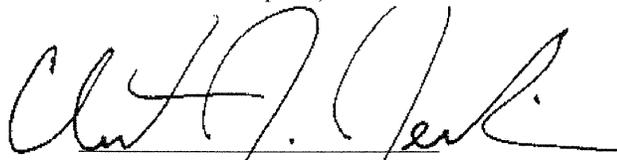
The Report was received by the Board on November 7, 2014, 17 days late, resulting in a \$1,275 civil penalty. In addition the Committee was assessed a \$600 civil penalty (not appealed, unpaid) for delinquently filing the 2014 June Quarterly Report, and a \$200 civil penalty (not appealed, paid) for delinquently filing the 2013 March Quarterly Report. The total assessment is \$1,875.

Joe Vosicky, Chairman and Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 12, 2015.

Joe Vosicky appeared on behalf of the Committee with attorney James Nally, as counsel. Mr. Nally submitted a written motion to dismiss for lack of jurisdiction at the hearing. The motion advises that the committee filed a Final Report on November 7, 2014 which discontinued its active status with the State Board of Elections. Upon that, he contends that there is no basis for jurisdiction to proceed against this Committee for any claim of violations after the final date of November 7, 2014. The Committee also presented an April 7, 2015 letter to then-Campaign Disclosure Director Sharon Steward (Resp. Ex. 3). In the letter Mr. Vosicky explained that his Committee has had a zero balance since April 7, 2014. At that time Mr. Vosicky was under the impression that the committee was closed out. During that time the Committee's Treasurer moved out of state which caused some communication issues with its campaign disclosure matters. Mr. Nally suggests that the candidate acted in good faith when he filed the Report showing a zero balance. He believes it reasonable that Mr. Vosicky would assume the Committee had been closed out by that action, terminating any further obligation with the Board. Furthermore, no funds were raised or expended by the Committee from that date on.

It is the opinion of the hearing officer that it is the committee's responsibility to understand the requirements of the Disclosure Act. It is also the candidate's responsibility to see that campaign committee to its proper end. Therefore, I recommend the appeal be denied for lack of an adequate defense. As to the motion to dismiss for lack of jurisdiction, it is the opinion of the hearing officer that because filing a Final Report is the only way to end a Committee's filing obligation with the Board, all matters, including civil penalties, for the duration of that time fall within the jurisdiction of the Illinois State Board of Elections. The civil penalties were assessed for delinquent June and September 2014

Quarterly Reports; The Final Report for the Committee was filed November 7, 2014; therefore I recommend this motion be denied. If these recommendations are accepted by the Board, a \$1,875 civil penalty will be due and owing. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 12/30/15, this Committee reported a funds available balance of \$0 and has filed a Final Report.)

A handwritten signature in black ink, appearing to read 'Clinton J. Jenkins', written in a cursive style.

Clinton J. Jenkins – Hearing Officer

January 25, 2016

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
15 JUL -2 PM 3:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS OF JOE VOSICKY)
Respondent(s).)

Case No. 145Q150

APPEAL AFFIDAVIT

I, JOE VOSICKY, the CHAIRMAN/TREASURER of the
(Name) (Chairman/Treasurer)

FRIENDS OF JOE VOSICKY

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

MITIGATING CIRCUMSTANCES

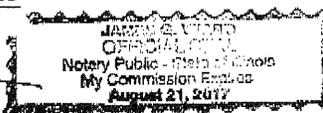
Joe Vosicky
Signature of Chairman/Treasurer

Signed and Sworn to by:
JOE VOSICKY (Chairman/Treasurer)

before me this 2nd Day of July, 2015

Notary Public
(seal)

James B. Ward



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

June 8, 2015

ID# 25075

Friends of Joe Vosicky
Joe Vosicky
345 Elm Park Avenue
Elmhurst, IL 60126

7012 3460 0001 3857 7552

Dear Friends of Joe Vosicky:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2014 through September 30, 2014
Filing Period: October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 11/7/2014, 17 day(s) late. As such, this committee has been assessed a fine of \$1275.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2014	Quarterly	\$600
TOTAL AMOUNT NOW DUE		\$1875.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 JQ 166

Progressive Citizens Party ID# 25105
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 8/17/15, 23 days late, resulting in a civil penalty assessment of \$1,725. Additionally, the Committee was previously assessed a \$100 civil penalty (not appealed, paid) for delinquent filing of the March 2015 Quarterly Report, and a \$50 civil penalty (not appealed, paid) for delinquent filing of the March 2013 Quarterly Report. The total assessment is \$1,725.

Charles Burke, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Burke states that the Committee has not been active and the Report mailing arrived at a time when he was out of state. He says the Report was filed as soon as it was found in his accumulated mail.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$1,725 civil penalty will be due and owing. (As of 12/31/15, this Committee showed a funds available balance of \$0)



Tom Newman – Hearing Officer
January 29, 2016

County of: Marion

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 2015 DEC 21 PM 2:11
OF THE STATE OF ILLINOIS

THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,
Complainant

Case No. 159Q166
#25105

Progressive Citizens Party
Respondent(s).

APPEAL AFFIDAVIT

Charles Burke, the Chairman of the
(Name) (Chairman/Treasurer)
Progressive Citizens Party
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The party has been inactive. The Chairman
and recipient of mailings was out of state when
the forms arrived. Report filed as soon as
it surfaced from accumulated mail

[Signature]
Signature of Chairman/Treasurer

Subscribed and Sworn to by:
[Signature]

Witnessed this 17TH Day of DECEMBER 2015



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015
ID# 25105

Progressive Citizens Party
564 Juniper
Bradley, IL 60915

7015 1730 0002 1035 5244

Dear Progressive Citizens Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 8/17/2015, 23 day(s) late. As such, this committee has been assessed a fine of \$1725.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.*

TOTAL AMOUNT NOW DUE

\$1725.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 SQ 115

Friends of David Staley ID# 25634
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2015 Quarterly Report

The Report was received by the Board on 10/21/15, 4 days late, resulting in a civil penalty assessment of \$200. Additionally, the Committee was previously assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of the December 2013 Quarterly Report. The total assessment is \$250.

David Staley, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Staley states that the Report was faxed to the Board on October 13, and he includes a copy of the faxed Report and a facsimile transmission verification report to verify his claim. He adds that the previous violation from 2013 should not be considered, because that Report was mailed within the time limit.

A review of the Board's facsimile receipt records from October 13, 2015, does not show a received fax from the Committee's number on that date. Additionally, it should be noted that the transmission report offered by the Committee as evidence shows a date of 10/15/2013 as opposed to the 10/13/15 date claimed by Mr. Staley. Finally, although the previous late filing by the Committee is long past the appeal period, for the record it should be pointed out that the filing in question was received two days late and postmarked on the filing deadline date. In order to be considered timely, it would have to have been postmarked at least 72 hours prior to the deadline. For these reasons, I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous assessment and the total penalty of \$250 will be due and owing. However, since the Committee has filed a Final Report, I also recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 12/31/15, this Committee showed a funds available balance of \$0)



Tom Newman – Hearing Officer
January 29, 2016

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

November 18, 2015

ID# 25634

Friends of David Stanley
c/o David Stanley
1378 55th Ave
Seaton, IL 61476

7015 1730 0002 1035 0966

Dear Friends of David Stanley:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/21/2015, 4 day(s) late. As such, this committee has been assessed a fine of \$200.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2013	Quarterly	\$50
TOTAL AMOUNT NOW DUE		\$250.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
County of: Mercer)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2015 DEC 15 AM 10:50

THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Case No. 1530115

Friends of David Staley
Respondent(s))

Committee I.D. 25634

APPEAL AFFIDAVIT

David Staley, the Chairman of the
(Name) (Chairman/Treasurer)

Friends of David Staley
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good and sufficient defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Report was faxed to the Board on Oct. 13 (Exhibit A). The same report was re faxed and accepted by Board Oct. 21 but original Oct. 13 date not late. Also note

your Nov 18 notice has the incorrect name of "Stavley." Also for the record the 2013 one prior violation should not be considered as it was mailed within the time limit in 2013. Based on the above defense and explanation please cancel the penalty.

David W Staley Candidate
Signature of Chairman/Treasurer

Subscribed and Sworn to by:
DAVID W STALEY

Subscribed on this 11th Day of DEC, 2015

Public Paula D. Nelson



TRANSMISSION VERIFICATION REPORT

TIME : 10/15/2013 12:46
NAME : MCSO
FAX : 13095825158
TEL : 13095825194
SER.# : U63274K4J803598

DATE, TIME	10/15 12:45
FAX NO./NAME	12177825959
DURATION	00:00:45
PAGE(S)	03
RESULT	OK
MODE	STANDARD ECM

Exhibit "A" - 5 pages

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

15 SQ 129

Friends to Elect Tiffany Brooks 26094

Respondent

REPORT OF HEARING OFFICER

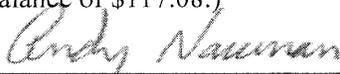
Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2015 Quarterly Report

The report was received by the Board on 10/28/15, 9 days late, resulting in a civil penalty assessment of \$450. In addition, the Committee has previously been assessed a \$475 civil penalty (not appealed, stayed) for delinquently filing the September 2014 Quarterly Report. The total assessment is \$925.

The candidate, Tiffany Brooks, and her chairman, Dwight Halcolm, appeared at the appeal hearing.

Ms. Brooks stated that she wanted to appeal both violations because she did not realize the original fine that was stayed in relation to her first violation could later be reinstated. Ms. Brooks said this was her first time running for public office and that her Committee did not receive any contributions during the 3rd quarter of 2015. Furthermore, she stated that both alleged violations were inadvertent and unintentional; and the amount of the civil penalties is substantially more than the amount of funds the Committee has on hand of \$117.08. Ms. Brooks intends to close the Committee by dispersing the remaining funds and by filing a Final Report after this matter is resolved.

I understand that Ms. Brooks was a first time candidate and that the Committee did not take in any money during the 3rd quarter of 2015 however that does not relieve her Committee of their responsibility to file reports in a timely matter. I recommend the appeal be denied for lack of an adequate defense in relation to the September 2015 Quarterly Report. In relation to the request to have an opportunity to appeal the September 2014 Quarterly Report I recommend the Board not consider this request as the time to appeal that matter has long since passed and the Hearing Officer believes the language used on the assessment letter that was received by the Respondent regarding the previously stayed fine is clear in that it says "Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**" If these recommendations are accepted by the Board, the stay will be lifted from the previous penalty and the total assessment of \$925 will be due and owing. (As of 12/31/15, this Committee showed a funds available balance of \$117.08.)



Andy Nauman – Hearing Officer
February 3, 2016

e of Illinois)
nty of: COOK)

STATE BOARD OF ELECTIONS
15 DEC 18 PM 4:09

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
INOIS STATE BOARD OF ELECTIONS,)

Complainant)

Case No. 15SQ129

Friends to Elect Tiffany Brooks)
Respondent(s).)

APPEAL AFFIDAVIT

Tiffany Brooks, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

FRIENDS TO ELECT TIFFANY BROOKS
(Name of the Committee)

mittee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
on or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Respondent reserves the right to present defenses at an in-person
hearing before the Board of Elections such defenses include,
but are not limited to: the committee is inactive AND
has received no donations, contributions, etc., since the end of the

February 2015 election cycle; any alleged offense was ^{inadvertently} unknowingly AND ^{unintentionally} committed,
AND any alleged offense may have occurred ^{due to misundersstanding} AND ^{misinterpretation} of the Board rules and procedures.
Signature of Chairman/Treasurer

I and Sworn to by:
Tiffany Brooks

me this 18 Day of December, 2015

Public
Steven McIntosh



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015
ID# 26094

Friends to Elect Tiffany Brooks
Tiffany Brooks
2352 East 72nd Street, #3W
Chicago, IL 60649

7015 1730 0002 1035 1048

Dear Friends to Elect Tiffany Brooks:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/28/2015, 9 day(s) late. As such, this committee has been assessed a fine of \$450.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2014	Quarterly	\$475
TOTAL AMOUNT NOW DUE		\$925.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

15 MA 099

Citizens to Elect Tara Stamps 26114
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st and 2nd Quarters of 2015

This Committee received two in-kind contribution of \$4,867 and \$4,900 on 2/23/15 and 2/24/15 and a transfer of \$38,000 and reported these contributions on a Schedule A-1 Report received by the Board on 4/10/15, between 18 – 31 days late, which resulted in civil penalty assessments of \$\$2,434, \$2,450, and \$19,000. The Committee also received a \$1,000 transfer on 4/4/15 and reported it on a Schedule A-1 Report received 5/14/15, 27 days late, resulting in a civil penalty of \$500. In addition, the Committee has previously been assessed a \$275 civil penalty (not appealed, paid) for delinquently filing the September 2014 Quarterly Report; and \$250 civil penalty (appealed, reduced, denied, paid) for delinquently filing a Schedule A-1 Report in the 4th quarter of 2014. The total assessment is \$24,384.

The Respondent did not appear at the October 29th appeal hearing. However, after I contacted the Committee's treasurer regarding their failure to appear another hearing date was set for November 9th in which Emma Chung-Ming Tai appeared as an advisor to the Committee.

Ms. Tai stated that rookie mistakes were made by a first time candidate and a first time treasurer as neither of them were familiar with the reporting requirements. The Respondent requests that the Board show mercy on the Committee as they are still in the process of learning the campaign disclosure requirements. Ms. Tai stated that the Chicago Teachers Union and the Citizens for Tim Meegan transfers were reported correctly on the D-2 Reports and the Appeal Affidavit states the contributions were inadvertently lost in the cycle of campaign reporting protocol that was established by the Committee. The Appeal Affidavit also states that there was some confusion in relation to when and how the individual workers that were assigned to assist the Committee had to be reported. Ms. Tai provided material regarding the SEIU Healthcare IL IN PAC in-kind contribution in an e-mail (attached) which shows the Committee did not receive the in-kind notification form until 3/4/15. Ms. Tai also sent a second e-mail (attached) regarding the Chicago Teachers Union in-kind contribution that states the in-kind notification form was also received on 3/4/15. Ms. Tai realizes that this means both in-kind contributions were filed late, although not as late.

It is unfortunate that the first time candidate and treasurer of the Committee were not well versed in campaign disclosure matters however it is the opinion of the Hearing Officer that the candidate and the treasurer not knowing the campaign disclosure requirements is not a valid defense. The Committee did not supply any additional information regarding the delinquent reporting of the two transfers other than the violations were inadvertent and unintentional. The Committee provided backup material regarding one of the two in-kind contributions however even if both contributions were deemed to be received on 3/4/15 as the Respondent stated, the Committee would have still delinquently reported both of the contributions. I recommend the appeal for all four contributions to be denied. Since there is no indication that the violations for the Chicago Teachers Union (transfer), the Chicago Teachers Union (in-kind) and the SEIU Healthcare IL IN PAC (in-kind) were anything other than inadvertent and unintentional violations, I recommend the penalty be reduced to 50% of the original assessment as a second violation, or \$11,942. Since the Citizen for

Tim Meegan (transfer) is considered a third violation I recommend no reduction of the original assessment of \$500. Furthermore, I also recommend the Committee be ordered to amend its March 2015 Quarterly Report to reflect the correct date of receipt (3/4/15) for the two in-kind contributions from the Chicago Teachers Union and SEIU Healthcare IL IN PAC in-kind contributions, with the amendment to be filed within 30 days of the date of the Final Board Order in this matter. If these recommendations are accepted by the Board, a civil penalty of \$12,442 will be due and owing. (As of 9/30/15, this Committee showed a funds available balance of \$769.67, and they had an average funds available at the close of the last four reporting periods of \$14,801.79)



Andy Nauman – Hearing Officer
November 30, 2015

Nauman, Andy

From: Emma Tai <tai.emma@gmail.com>
Sent: Monday, November 09, 2015 1:40 PM
To: Nauman, Andy
Cc: Brandon Johnson
Subject: Following up

Hello Mr. Nauman,

Here is the follow up from the hearing today regarding Citizens to Elect Tara Stamps.

We received notice of the In-Kind Contributions from SEIU Healthcare Illinois Indiana PAC on March 4, 2015 (not 2/24/15 as reported). I can forward you that notice if you need it for your records.

The deposit dates for the Chicago Teachers Union-PAC and the Citizens for Tim Meegan transfers as reported in the system currently are correct.

I am still looking for the In-Kind Contribution notice from Chicago Teachers Union-PAC to verify the date.

Thank you-
Emma

--

Emma Chung-Ming Tai
773.849.5590

Nauman, Andy

From: Emma Tai <tai.emma@gmail.com>
Sent: Monday, November 09, 2015 2:05 PM
To: Nauman, Andy
Subject: Fwd: Election Day in-kind
Attachments: Stamps e-day.pdf

Here is the notification of the in-kind contribution that Citizens to Elect Tara Stamps received on 3/4/15 from SEIU Healthcare Illinois Indiana.

----- Forwarded message -----

From: Erica Bland <Erica.Bland@seiuhcil.org <mailto:Erica.Bland@seiuhcil.org> >
Date: Wed, Mar 4, 2015 at 10:00 AM
Subject: Election Day in-kind
To: Emma Tai <tai.emma@gmail.com <mailto:tai.emma@gmail.com> >
Cc: Sharon Fleming <Sharon.Fleming@seiuhcil.org <mailto:Sharon.Fleming@seiuhcil.org> >

Emma,

Attached is an in-kind for the work my local did on the campaign for Election Day. Please let me know if you have any questions.

Thanks

Erica N. Bland-Durosinmi

SEIU Healthcare Illinois and Indiana

City and County Political Coordinator/ Vice President

Political Director

United Working Families

2229 S Halsted St

Chicago, IL 60608

312.980.9017 <tel:312.980.9017> desk 312.296.9898 <tel:312.296.9898> cell

Tara Stamps

E+ Day In-Kinds

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Citizens to Elect Tara Stamps

SEIU Healthcare Illinois Indiana PAC

P.O. Box 390663

2229 S. Halsted St.

Chicago, IL 60639

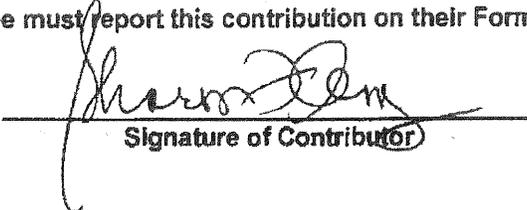
Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	Securitas Security Services USA, Inc. SPI Chicago IL ODP 5650 S. ARcher Avenue Chicago, IL 60638	2/24/15	

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

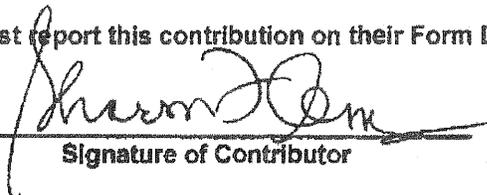
If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:	From:
Citizens to Elect Tara Stamps	SEIU Healthcare Illinois Indiana PAC
P.O. Box 390663	2229 S. Halsted St.
Chicago, IL 60639	Chicago, IL 60608
	Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	SEIU Healthcare Illinois Indiana 2229 S. Halsted St. Chicago, IL 60608	2/24/15	

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Citizens to Elect Tara Stamps

SEIU Healthcare Illinois Indiana PAC

P.O. Box 390663

2229 S. Halsted St.

Chicago, IL 60639

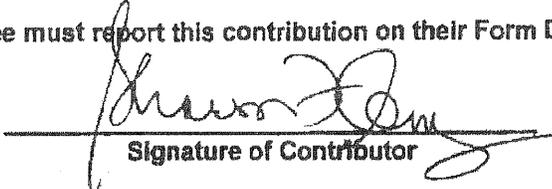
Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Mother Daughter Catering 5217 W. Ohio Chicago, IL 60644	2/24/15	\$350.00	Election Canvassers Lunch

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

Nauman, Andy

From: Emma Tai <tai.emma@gmail.com>
Sent: Friday, November 20, 2015 1:05 PM
To: Nauman, Andy
Subject: Re: Following up

Mr. Nauman,

I have located the in-kind contribution form from the Chicago Teachers Union. We received it March 4, 2015, which means that it was still filed late (although not as late).

Thank you for your consideration and let me know if you need anything else.

Emma

On Tue, Nov 10, 2015 at 11:40 AM, Nauman, Andy <ANauman@elections.il.gov <mailto:ANauman@elections.il.gov> > wrote:

That would be fine, please let me know what you find.
Thanks
andy

-----Original Message-----

From: Emma Tai [mailto:tai.emma@gmail.com <mailto:tai.emma@gmail.com>]
Sent: Tuesday, November 10, 2015 10:46 AM
To: Nauman, Andy <ANauman@elections.il.gov <mailto:ANauman@elections.il.gov> >
Subject: Re: Following up

Mr. Nauman,

I just learned that I will not be able to access the archived files until next week to confirm the in-kind notification date from the Chicago Teachers Union PAC to Citizens to Elect Tara Stamps. My apologies for the delay.

Emma

On Mon, Nov 9, 2015 at 2:04 PM, Emma Tai <tai.emma@gmail.com <mailto:tai.emma@gmail.com> <mailto:tai.emma@gmail.com <mailto:tai.emma@gmail.com> > > wrote:

Hello Mr. Nauman,

I will forward the 3/4/15 notification from SEIU HCII right now. I will look for the CTU notification and let you know by the end of the week if I cannot find the documentation. I understand that the report was still filed late even with this revised date.

Thanks for your consideration -
Emma

On Mon, Nov 9, 2015 at 2:01 PM, Nauman, Andy <ANauman@elections.il.gov <mailto:ANauman@elections.il.gov> <mailto:ANauman@elections.il.gov <mailto:ANauman@elections.il.gov> > > wrote:

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

15 SEP 29 AM 8:19

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Citizens to Elect Term Stumps)
Respondent(s))

Case No. 15MA099

APPEAL AFFIDAVIT

W. Ferrell Burgess, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens to Elect Term Stumps
(Name of the Committee)

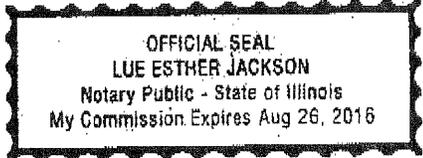
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Election Day work was assigned to individual workers and there was some confusion on how and when those donations were reported. The large check from the etc was shown last in the cycle of the campaign reporting protocol established and was in oversight

[Handwritten Signature]
Signature of Chairman/Treasurer

signed and Sworn to by:
[Handwritten Signature]
before me this 28th Day of Sept, 2015

Notary Public
(Signature)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernes L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015
ID# 26114

Citizens to Elect Tara Stamps
PO Box 390663
Chicago, IL 60639

7015 1730 0002 1035 3738

Dear Citizens to Elect Tara Stamps:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 1st Quarter 2015:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Chicago Teachers Union	3/13/2015	\$38000	4/10/2015	18	\$19000
Chicago Teachers Union	2/23/2015	\$4867	4/10/2015	31	\$2434
SEIU Healthcare IL IN PAC HCII PAC	2/24/2015	\$4900	4/10/2015	27	\$2450

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$23884.00 for the delinquent filing.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$11942, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

In addition this committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the Quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Tim Meegan	4/4/2015	\$1000	5/14/2015	27	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for the delinquent filing.

Under the Board's present policy, since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

TOTAL AMOUNT NOW DUE

\$12442

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

15 JQ 128

Neighbors for Robert Murphy (ID# 26155)
Respondent

REPORT OF HEARING OFFICER

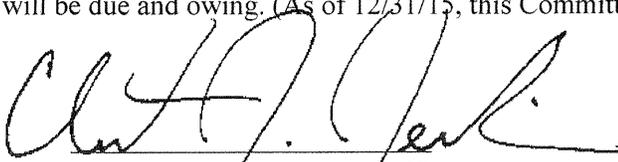
Appeal of Civil Penalty Assessment for Delinquently Filing
The June 2015 Quarterly Report

The Report was received by the Board on August 2, 2015, 12 days late, resulting in a \$300 civil penalty. In addition the committee was previously assessed a \$125 civil penalty (not appealed, reduced, unpaid) for delinquently filing a Schedule A-1 Report in the 3rd quarter of 2014. The total assessment is \$425.

Robert Murphy, Candidate of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on October 28, 2015.

Candidate Robert Murphy appeared on behalf of the Committee. Mr. Murphy stated that as a first-time candidate he relied on his hired staff to help him with the disclosure filings. He maintains that he was not raising funds and was undecided whether to keep the committee open at the time of the delinquent filing. He states that he was also out of town during the Report filing period. Since that time he has decided to run for another office and now has a competent treasurer who has been timely filing the reports.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, a \$425 civil penalty will be due and owing. (As of 12/31/15, this Committee reported a funds available balance of \$51.59).



Clinton J. Jenkins – Hearing Officer

December 28, 2015

State of Illinois)
County of: _____)

CHICAGO

2015 SEP 22 AM 8:02

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

/s.)
NEIGHBORS FOR ROBERT MURPHY)
Respondent(s).)

Case No. 15JQ128

APPEAL AFFIDAVIT

ROBERT MURPHY, the CANDIDATE of the
(Name) (Chairman/Treasurer)

NEIGHBORS FOR ROBERT MURPHY
(Name of the Committee)

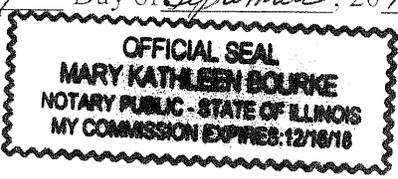
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

AS FIRST TIME CANDIDATE STAFF WAS IN
CHARGE OF MAKING FILINGS FOR A-1s, FURTHER WAS
OUT OF TOWN DURING FILING DEADLINE SO NOT ABLE
TO COMPLETE FILING IN JULY - NO LONGER HAVE STAFF,
AT THAT TIME NOT RUNNING.

[Signature]
Signature of Chairman/Treasurer

signed and sworn to by: [Signature]
before me this 19 Day of September, 2015

Notary Public
(al)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015
ID# 26155

Neighbors for Robert Murphy
4864 W. Berwyn
Chicago, IL 60630

7014 3490 0000 5044 5235

Dear Neighbors for Robert Murphy:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 8/2/2015, 12 day(s) late. As such, this committee has been assessed a fine of \$300.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2014	A1	\$125
TOTAL AMOUNT NOW DUE		\$425.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 SQ 141

Illinois Coalition for New Leadership PAC ID# 27376
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2015 Quarterly Report

The Report was received by the Board on 11/3/15, 13 days late, resulting in a civil penalty assessment of \$650. Additionally, the Committee was previously assessed a \$250 civil penalty (not appealed, unpaid) for delinquent filing of the March 2015 Quarterly Report and two Schedule A-1 Reports in that quarter. The total assessment is \$900.

Craig Wimberly, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Wimberly states that the Committee is fairly new and small, with a low balance and small number of transactions. He says the Committee takes its filing responsibilities seriously, but inadvertently missed the deadline. Mr. Wimberly says he has instituted a notice system to ensure that future reports are filed on time. He notes that the fine would seriously deplete the Committee's fund balance, and asks that the fine therefore be reduced or eliminated.

I recommend the appeal be denied for lack of an adequate defense. Unfortunately, there is no statutory justification for reducing the penalty, therefore if the recommendation is accepted by the Board, the total assessment of \$900 will be due and owing. (As of 12/31/15, this Committee reported a funds available balance of \$574.82)



Tom Newman – Hearing Officer
February 1, 2016

STATE BOARD OF ELECTIONS
2015 DEC 14 PM 2:57

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Ill Coal. for New Leadership)
Respondent(s).)

Case No. 155Q141

APPEAL AFFIDAVIT

I, Craig K. Wimberly, the Chairman of the
(Name) (Chairman/Treasurer)
Illinois Coalition for New Leadership PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This is a fairly new committee, less than one year in formation, with a very small number of transactions and activities. We ask that you consider this and the fact that our total balance on hand is approximately \$1,800 in total, comprised of small donations, with the exception of my own contribution.

All expenditures go directly towards our mission: voter registration, education and engagement and the identification and support of new courageous leadership whose focus is true public service.

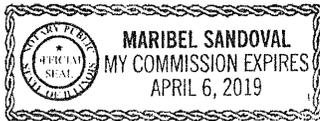
Although we missed said filings, we take seriously the need to comply and report on schedule. To accomplish this, I have instituted an electronic notice that I will receive 2 weeks and 1 week in advance of the report filing due date(s); this will ensure no additional missed dates point forward.

I respectfully ask that you consider eliminating or reducing the assessed fines since 1) we are a fledgling committee, 2) the fine will reduce our total balance by 50% thus adversely impacting the mission of the committee, and 3) we have taken steps to ensure future timely compliance.

Signed and Sworn to by:
Craig K. Wimberly
before me this 12 Day of
December, 2015

(Signature)
(Signature of Chairman/Treasurer)

Notary Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015
ID# 27376

Illinois Coalition for New Leadership PAC
Craig K Wimberly
4356 S. Greenwood Ave
Chicago, IL 60653

7015 1730 0002 1035 1086

Dear Illinois Coalition for New Leadership PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 11/3/2015, 13 day(s) late. As such, this committee has been assessed a fine of \$650.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2015	Quarterly	\$150
March 2015	A1	\$50
March 2015	A1	\$50
TOTAL AMOUNT NOW DUE		\$900.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 SQ 149

Citizens 4 T Haley ID# 29487
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2015 Quarterly Report

The Report was received by the Board on 10/27/15, 8 days late, resulting in a civil penalty assessment of \$200.

Teresa Haley, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Haley states that the late filing was an oversight on her part, resulting from extensive work travel at the time the Report was due. She adds that it will not happen again.

I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. Additionally, since the Committee has filed a Final Report, I recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 10/27/15, this Committee filed a Final Report and reported a funds available balance of \$0)



Tom Newman – Hearing Officer
February 1, 2016

e of Illinois

nty of: Sangamon

STATE BOARD OF ELECTIONS

2015 NOV 25 PM 2: 59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
INOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Citizens4THaley)
Respondent(s).)

155Q149
Case No. 29487

APPEAL AFFIDAVIT

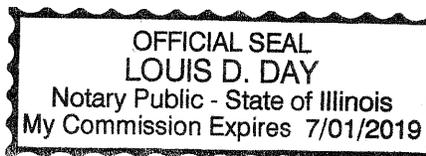
Teresa Haley, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens4THaley
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Oversight on my part. This will not
happen again. My extensive work travel
prevented me from completing report by
due date.

Teresa Haley
Signature of Chairman/Treasurer

I and Sworn to by:
Louis D. Day
me this 25 Day of November, 2015
Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015

Citizens 4 T Haley
PO Box 20384
Springfield, IL 62708

ID# 29487

7015 1730 0002 1035 6135

Dear Citizens 4 T Haley:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/27/2015, 8 days late. As such, this committee has been assessed a fine of \$200.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 122

DGEA Coalition 99 ID# 29525
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 and September 2015 Quarterly Report

The June 2015 Quarterly Report was received by the Board on 8/18/15, 24 days late, resulting in a civil penalty assessment of \$1,200. The September 2015 Quarterly Report was received by the Board on 10/26/15, 7 days late, resulting in a civil penalty assessment of \$1,400. Additionally, the Committee was previously assessed a \$1,350 civil penalty (not appealed, stayed) for delinquent filing of the March 2015 Quarterly Report. The total assessment is \$3,950.

Jocelyn Painter, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Painter states that the late filings were the result of many unfortunate things, including family medical issues, a misunderstanding of the filing requirements and difficulty with correctly entering numbers into the IDIS electronic filing system. She adds that the Committee is now on track with its reporting and has set things up to make sure no more late filings occur.

The Committee has my sympathy for the host of problems it has faced in its reporting. However, none of those problems appears to rise to the level of an excuse for the late filings. Difficulties using the IDIS system might normally qualify for an electronic filing defense, but the lateness of the filings in question seems to indicate that no assistance was sought from the Board for this issue, or at least that assistance was not sought in a timely fashion. For these reasons, I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous assessment and the total penalty of \$3,950 will be due and owing. (As of 12/31/15, this Committee reported a funds available balance of \$11,782.94)



Tom Newman – Hearing Officer
February 1, 2016

e of Illinois)
)
nty of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 2015 DEC -2 PM 1:52
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
INOIS STATE BOARD OF ELECTIONS,)

Complainant)

Case No. 15MA122

DGEA COALITION 99
Respondent(s).

APPEAL AFFIDAVIT

Jocelyn Painter, the Treasurer of the
(Name) (Chairman/Treasurer)

DGEA Coalition 99
(Name of the Committee)

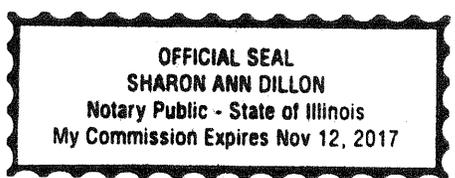
mittee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
in or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Due to many unfortunate things: Chairman's family medical issues, late start
in getting PAC started on IDIS due to misinformation from the Illinois Education Association,
not being in session over the summer when many letters from the State Board of Elections
were sent, and having trouble inputting numbers into the IDIS system correctly.

all contributed to the mistilings. We are now on track with all reports and have
set up things so this never happens again. We ask for the fines to be forgiven for the
above reasons.

Jocelyn Painter
Signature of Chairman/Treasurer

and Sworn to by:
Sharon Ann Dillon
me this 30 Day of November, 2015
Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015

DGEA Coalition 99
Motti Pikelny, Jocelyn Painter
1436 Norfolk Street
Downers Grove, IL 60516

ID# 29525

7015 1730 0002 1035 1673

Dear DGEA Coalition 99:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on August 18, 2015, 24 day(s) late. As such, this committee has been assessed a fine of \$1200.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 26, 2015, 7 day(s) late. As such, this committee has been assessed a fine of \$1400.00.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2015	Quarterly	\$1350
TOTAL AMOUNT NOW DUE		\$3950

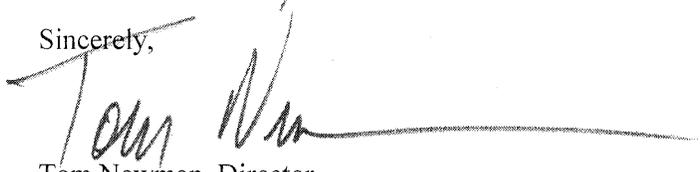
The total for all assessments in this letter is \$3950.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal line extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 118

Committee to Elect Jeffrey Baker ID# 27307
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2015 Quarterly Report and Schedule A-1 Reports

The September 2015 Quarterly Report was received by the Board on 10/27/15, 8 days late, resulting in a civil penalty assessment of \$600. The Committee also received three \$1,000 contributions on 1/24/15, 2/13/15 and 2/20/15, and reported these on a Schedule A-1 received by the Board on 7/31/15, 125, 114 and 110 days late respectively, resulting in a civil penalty assessment of \$1,500. Additionally, the Committee was previously assessed a \$300 civil penalty (not appealed, unpaid) for delinquent filing of the June 2015 Quarterly Report and a \$1,875 civil penalty (not appealed, unpaid) for delinquent filing of the March 2015 Quarterly Report. The total assessment is \$4,275.

Jeffrey Baker, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Baker states that although he understands that ignorance of the law is no excuse, it was ignorance of the filing process that caused the reporting to be late. Mr. Baker says he and his Committee Treasurer stumbled through the electronic filing system as they taught themselves how to use it, and they thought that entering the Committee's data into the online site was considered reporting. He says they did not realize until it was too late that additional steps were required to actually file the Reports.

In regards to the late A-1 filings, it is plausible that a newly-formed committee, unfamiliar with electronic filing, might believe that entering data into the electronic filing system on the Board's website was in fact reporting the contributions as required. Therefore, in order to be consistent with previous Board decisions where an electronic filing defense is used and since this Committee has not previously used such a defense, I recommend the appeal be granted in regards to the three late A-1 filings. However, as for the late September Quarterly Report, by the time of that filing the Committee should have been well aware of the filing requirements and familiar enough the electronic filing system to know whether a Report had been filed or not. By the 10/27 date on which the Report was filed, the Committee had already filed multiple other Reports electronically. Therefore, I recommend the appeal be denied for the late Quarterly Report filing. If these recommendations are accepted by the Board, the \$600 penalty for the late filing would be imposed, which when added to the previous penalties would leave a \$2,775 civil penalty due and owing. (As of 9/30/15, this Committee reported a funds available balance of \$71.28)



Tom Newman – Hearing Officer
February 1, 2016

County of: Cook

STATE BOARD OF ELECTIONS

2015 DEC 14 PM 2:57

BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Jeffrey Baker)
Committee to Elect Jeffrey Baker)
Respondent(s).)

Case No. 15MA118

APPEAL AFFIDAVIT

Jeffrey Baker, the Chairman of the
(Name) (Chairman/Treasurer)
Committee to Elect Jeffrey Baker
(Name of the Committee)

I, the undersigned, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I am requesting that a civil penalty not be assessed for failing to comply with provisions of 10 ILCS 5/9-10(b). I understand that ignorance is no excuse for breaking the law, yet ignorance of the process was the cause of the campaign's failure to report in a timely fashion. Our failure to make a report for the April 2015 filing period and the July 2015 filing period were both inadvertent, as we believed that entering data into the online site

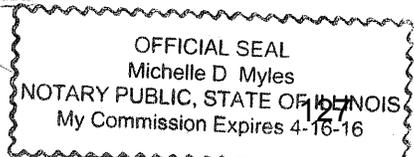
as considered reporting. Me and my treasurer stumbled through the website as we taught ourselves how to use it, and did not realize how much we did not know, until it was too late. In making your determination, please consider our lack of contact with the fact that this is our first campaign, we are therefore asking that the late filing penalties not be assessed.

[Signature]
Signature of Chairman/Treasurer

Subscribed and Sworn to by: Jeffrey Baker

Witnessed and sworn to me this 22 Day of October, 2015

Notary Public [Signature]



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Committee to Elect Jeffrey Baker
Jeffrey Baker, Mariana Baker
8802 S. Emerald
Chicago, IL 60620

ID# 27307

7015 1730 0002 1035 1420

Dear Committee to Elect Jeffrey Baker:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

3 Report Type: September 2015 Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2015 through September 30, 2015
Filing Period: October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 27, 2015, 8 day(s) late. As such, this committee has been assessed a fine of \$600.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
James Hill	1/24/2015	\$1000	7/31/2015	125	\$500
Teamsters Volunteers in Politics	2/20/2015	\$1000	7/31/2015	110	\$500
Michael Ward	2/13/2015	\$1000	7/31/2015	114	\$500

The committee is fined a **total** of \$1500.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$150.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2015	Multi Assessment	\$1875
2015	Multi Assessment	\$300
TOTAL AMOUNT NOW DUE		\$2925

The total for all assessments in this letter is \$2925.00.

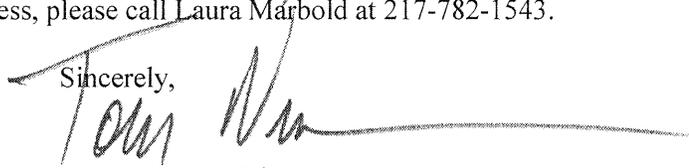
Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by December 18, 2015. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 MA 124

Republican Women of St. Clair County
Cmte ID: 31689
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the June 2015 and September 2015 Quarterly Reports

The June 2015 Report was received on October 19th, 2015, 66 days late, for which the assessment is \$1650. The September 2015 Report was also received on October 19th, 2015, and was two days late, leading to a fine of \$100. The total assessment is \$1750. The Committee had not been previously fined.

Deb Barnes, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Barnes stated the Committee's original D-1 Statement of Organization identified a date of creation in June, 2015, in conjunction with the opening of a bank account. She confirmed the correct date of creation was August 12th, 2015. The Committee noted that date on an amended D-1 filed on November 25th, 2015.

I recommend the appeal be granted with respect to the late June 2015 Quarterly Report. The violation with respect to that Report was the result of a misunderstanding. The Committee's amended D-1 Statement of Organization clarifies the filing threshold wasn't crossed until August of 2015, so there was no need for the June 2015 Report. No defense is offered with respect to the delinquent September 2015 Quarterly Report. Accordingly, I recommend that portion of the appeal be denied. If the Board accepts this recommendation, a stay would be placed on the \$100 fine related to the delinquent September 2015 Report. As of December 31st, 2015, the Committee's reported balance was \$1,053.21.



John Levin – Hearing Officer
January 29th, 2016

e of Illinois)
nty of: ST CLAIR)

STATE BOARD OF ELECTIONS

2015 NOV 30 PM 3:30

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
NOIS STATE BOARD OF ELECTIONS,)

Complainant)

Case No. 15MA124

REPUBLICAN WOMEN OF)
Respondent(s))
ST. CLAIR CO)

APPEAL AFFIDAVIT

DEBORAH S. BARNES she TREASURER of the
(Name) (Chairman/Treasurer)

REPUBLICAN WOMEN OF ST. CLAIR CO
(Name of the Committee)

mittee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
n or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

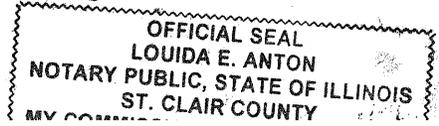
I incorrectly put the creation date of our
committee in incorrectly ^{on the forms.} It should not of
been June 2015 - should be Aug 2015. We are
a brand new club and I am the new

treasurer & not fully aware of all the
details to be filed with the
election board. See attached. Deborah S. Barnes
Signature of Chairman/Treasurer

and Sworn to by:
Deborah S Barnes

me this 25 Day of Nov, 2015

Public Candida E Anton



The reason we filed a D-1 form was only so we could have 50/50 Raffles as fundraisers for our group. We don't come near the \$3,000 in our bank account. Since the original D-1 form had the creation date listed as June 2015, the date I opened our bank account – not the date I filed the D-1, the Republican Women's Group was charged \$1,660 in late fees for the June Quarterly Report of Campaign Contributions and Expenditures. I had no idea the Club needed to file a June Quarterly report since that time frame was over by the time I filed with the Board of Elections. I have amended our D-1 Form to show the correct date of August 12, 2015 as recommended by the Illinois Board of Elections.

After reviewing this explanation of why our forms were late, I am hoping the Board will grant a repeal of these charges for our group.

Sincerely,



Deborah Barnes

Treasurer, Republican Women of St. Clair County

31689

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
November 18, 2015

Republican Women of St. Clair County
Deb Barnes
1712 Spruce Hill Drive
Belleville, IL 62221

ID# 31689

7015 1730 0002 1035 1680

Dear Republican Women of St. Clair County:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2015 through June 30, 2015
Filing Period:	July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 19, 2015, 66 day(s) late. As such, this committee has been assessed a fine of \$1650.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2015 through September 30, 2015
Filing Period:	October 1, 2015 through October 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 19, 2015, 2 day(s) late. As such, this committee has been assessed a fine of \$100.00.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

The total for all assessments in this letter is \$1750.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 18, 2015, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Tom Newman". The signature is written in a cursive style and is positioned above the printed name and title.

Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Illinois State Board of Elections)
Complainant(s),)
Vs.) 15 AJ 024
)
Friends to Elect Toni L Foulkes)
Respondent(s).)

FINAL ORDER

TO: Friends to Elect Toni L Foulkes
PO Box 369108
Chicago, IL 60636

ID# 20107

This matter coming to be heard this 16th day of November, 2015 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

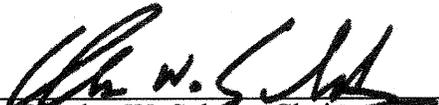
THE BOARD FINDS:

1. In case number 15 AJ 024, a \$1625.00 civil penalty was initially assessed against the Respondent for the delinquent filing of a Schedule A-1 during the second quarter of 2015; appeal was taken from this assessment, and
2. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be granted.

IT IS ORDERED:

1. That the recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is GRANTED; and
2. The committee file an amended June 2015 Quarterly report to correctly reflect the receipt date of the contribution at issue within 30 days of the effective date of this Order, and
3. Failure to do so may result in the imposition of a civil penalty not to exceed \$5000.00, and
4. The effective date of this Order is November 18, 2015, and
5. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 11/18/2015


Charles W. Scholz, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Illinois State Board of Elections)
Complainant(s),)
)
Vs.) 15 AJ 026
)
Citizens for Matt Bogusz)
Respondent(s).)

FINAL ORDER

TO: Citizens for Matt Bogusz ID# 21498
990 W Villa Dr
Des Plaines, IL 60016-6241

This matter coming to be heard this 16th day of November, 2015 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

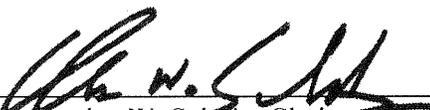
THE BOARD FINDS:

1. In case number 15 AJ 026, a \$1000.00 civil penalty was initially assessed against the Respondent for failing to file two Schedule A-1's during second quarter of 2015; appeal was taken from this assessment; and
2. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be GRANTED in regards to the \$500.00 assessment related to Ozinga Ready Mix Concrete and DENIED in regards to the \$500.00 assessment related to Laborers Local 1 for lack of adequate defense, but that pursuant to section 9-10 of the Code, the assessment be reduced to \$50.00 (10% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. That the recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is GRANTED and DENIED; and
2. A civil penalty in the amount of \$50.00 is imposed and stayed as a first violation, and
3. The committee file an amended June 2015 Quarterly report to correctly reflect the date of receipt of the contribution at issue within 30 days of the effective date of this Order, and
4. Failure to do so may result in the imposition of a civil penalty not to exceed \$5000.00, and
5. The effective date of this Order is November 18, 2015, and
6. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 11/18/2015



Charles W. Scholz, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Illinois State Board of Elections)
Complainant(s),)
Vs.) 15 AM 093
)
Team D230)
Respondent(s).)

FINAL ORDER

Appeal of Civil Penalty Assessment for the Delinquent Filing
of a Schedule A-1

TO: Team D230 ID# 29566
PO Box 156
Oak Forest, IL 60452

This matter coming to be heard this 22nd day of December, 2015 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

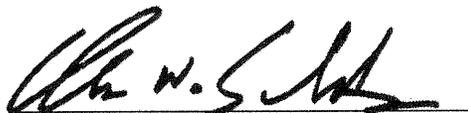
THE BOARD FINDS:

1. In case number 15 AM 093, a \$3014.00 civil penalty was initially assessed against the Respondent for failing to file a Schedule A-1 during the first quarter of 2015; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$75.00, which was stayed as a first violation, for the delinquent filing of the March 2015 Quarterly report (15 MQ 189). This assessment was not appealed, and
3. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be granted.

IT IS ORDERED:

1. That the recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is GRANTED; and
2. The previously assessed penalty of \$75.00 remains stayed as a first violation, and
3. The committee file an amended March 2015 Quarterly Report and Final Report to correctly reflect the receipt date of the contribution at issue within 30 days of the effective date of this Order, and
4. Failure to do so may result in the imposition of a civil penalty not to exceed \$5000.00, and
5. The effective date of this Order is December 28, 2015, and
6. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 12/28/2015


Charles W. Scholz, Chairman

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Members of the Board, Steven S. Sandvoss, Executive Director, Kenneth R. Menzel, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: February 8, 2016

Listed below are committees that have been assessed a civil penalty for the delinquent filing of the March 2015, June 2015 and September 2015 Quarterly reports, Schedule A-1's and "multi-assessments". These violations were not appealed & should be issued a Final Board Order.

March 2015 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev. Violations
27293	Riverdale 2015 Village Trustees	5000	2 q's (pd)
June 2015 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev. Violations
19506	People for Para **	1600	None
19635	Citizens to Elect Mary T McCann	1425	None
20613	Winnebago County Board Democratic Caucus	1000	1 q
20669	Committee to Elect Germain Castellanos **	2475	1 q
24537	Citizens for Michael Stopka **	4275	3 q's
25196	Friends for Frank Bucaro	4725	3 q's
25350	Trustees for Quality Education at Triton College **	1300	1 q
26034	Citizens to Elect Kevin Bailey	675	None
26041	Citizens for Remodeled Recreation Center **	1850	1 q
26184	Friends of Coby Hakalir	2475	2 q's
26225	Friends Supporting Flora Digby	4725	Q's, A-1's
27259	By the People, For the People, Samuel Johnson **	725	None
29501	Re-Elect Traci O'Neal Ellis **	950	A-1
September 2015 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev Violations
38	Citizens for Lechowicz	200	2 q's
86	United Mine Workers of America Coal Miners PAC	100	None
102	Shelby County Republican Central Cmte	100	None
246	Jefferson County Republican Central Comm	100	None
391	Perry County Republican Central Comm	150	None
714	JAC-PAC Political Action Committee	100	None
1050	Putnam County Republican Central Committee	50	None
1130	Illinois Farm Equipment Political Education Cmte	50	None
1185	Citz Supporting all Referendums for the Betterment **	300	None
4314	Citizens for David Orr	50	None

4433	Democratic Party of Milton Twp	50	None
4644	IPAC-Faculty Assn (School Dist 205)	125	None
4660	Lockport Twp Democratic Org	75	None
5010	Johnson County Democratic Central Cmte	75	None
5239	Cumberland County Republican Central Comm	25	None
5301	Aurora Township Democratic Central Cmte	75	5 q's (pd)
5476	Schuyler County Democratic Central Cmte	175	None
6482	Fayette County Republican Women's Club	25	None
6611	Six Mile Democrat Club	25	None
7240	Long Creek Township Democrats	50	None
7484	Wheaton Warrenville PAC for Education	300	1 q
8796	Citizens for Karen Mills	100	1 q
9824	Committee to Elect Jack Leyhane	75	2 q's (pd)
10045	Illinois Athletic Trainers' Assn	200	2 q's (pd)
10520	The Chicago Principals & Administrators Assn	450	None
11276	Village Party Club of Peoria Heights	25	None
11878	Friends of Cindy Svanda	50	None
12482	Citizens for Konicki **	525	5 q's
13184	Citizens for Steve Kim	525	None
13199	Edgar County Democrat Boosters	150	None
13511	Friends of Tim Schmitz	150	None
14157	Oakton Community College Faculty Assn PAC	150	None
14179	Committee To Elect Reinhardt **	50	None
14228	Illinois Democratic Council	25	None
14432	Will County Young Republicans	25	None
14486	Citizens to Elect Eric J Kellogg	200	Semi, q's, A-1's
14620	Nameoki Twp Precinct Committeemen	50	None
14976	Illinois Valley Federation of Labor, AFL-CIO PAC	100	None
15037	Friends of Maura Slattery Boyle	200	2 q's (pd)
15301	IL Growth PAC	500	None
15394	Citizens for Steven R Burris	75	2 q's (pd)
15431	42nd Ward Republican Organization	400	Semi, q's, A-1's
15449	Committee to Elect Larry Stammer **	75	None
15811	Citizens to Elect Darlene Senger	150	None
17110	Friends of Keith Budd	125	None
17791	Louis G Finch IV for Coroner	100	None
18069	IL Coalition for Jobs, Growth & Prosperity PAC	25	None
18786	People to Elect Chris Healy	75	2 q's
19087	Citizens for Mark Stewart	50	None
19238	Friends for Allan Schuler	75	None
19919	Citizens for McConnell	75	2 q's (pd)
20067	Friends of Charles Maida	300	None
20108	Friends for Anna Klocek	125	None
20401	Committee to Elect Robert Fieseler, Sr	125	None
20448	Classroom Teachers Council IPACE IEA Office	25	None
20602	York Township Republican Women's Org	150	2 q's

21377	Citizens to Elect Jan Wedekind Suthard **	175	None
21465	Friends of Kris Wasowicz	50	None
22191	Citizens for Collins	75	6 q's
22333	Lake County Life PAC	75	2 q's (1 pd)
22344	Cook County Farm Bureau PAC	200	None
22816	Friends of Greg Mitchell	50	None
22837	Kane County Young Republicans	100	A-1
22956	Citizens to Elect Mary McNamara Bernsten	50	None
23010	Friends to Elect Dan Knox	100	None
23056	Friends of Ron Daniels	175	None
23076	Citizens to Elect Michael A Davis **	275	A-1
23324	Committee to Re-Elect Roy Mosley	125	A-1's (pd)
23345	Rogina for Mayor	100	None
23490	Committee for Fiscal Responsibility	125	None
23718	Committee to Elect Thomas Ganiere	50	None
23983	Citizens for Richard H Brunk	125	None
24033	Friends of Napoleon Harris	50	A-1
24101	Citizens for Michael Carbone	150	None
24405	Turn Illinois Red - Thomas Laz **	525	3 q's
24410	Friends of Tom Boken	50	None
24418	Friends of Demetrios Jimmy Mougolias	50	None
24519	Friends of Kevin Wilson	25	None
24527	Friends of Zach Holder	50	None
24601	Lizveth for Cicero Town President	225	3 q's, A-1's (pd)
24667	Rockford Chamber PAC, Inc	50	None
24954	What Would it Take?	75	2 q's (pd)
24957	Friends of Kelly Mazeski	100	None
24982	Friends of Junaid M Afeef	300	2 q's (pd)
25434	Committee to Elect Peter Michael Gonzalez	375	2 q's (pd)
25601	Friends for Rod Drobinski	25	None
25608	William Rutan Candidate for Sheriff	25	None
25636	Tony Childress for Sheriff	25	None
25713	Citizens for Bill Lenert	25	None
25758	Committee to Elect Lauren Staley Ferry	50	1 q
25765	Elect Michael A Urban	100	1 q
25810	Indian American Republican Org	50	None
25863	Friends of Michael J Gadzinski **	150	None
25885	Friends of Chris Robinson	300	1 q
25895	Committee to Elect Elizabeth Woodrow	25	None
25920	Cmte to Elect the Citizens Voice Party of Elmwood Park	75	None
25982	Citizens Elect Cody Holt	50	1 q
26107	Team Tinley 2015	200	None
26121	United Residents Party	50	1 q
26123	Friends of Chad Schmidgall	50	1 q
26186	Friends of Matthew Prochaska	25	None
26219	Restoring the Greatness of RTHS District 227	25	None

27250	People's Alliance **	25	None
27260	Peoples Action Party for Glenwood Trustee	50	None
27314	Citizens for Tracy Kearney	50	None
27320	Committee to Elect Michael Trafion **	200	None
27338	Friends for Chris Hanusiak **	25	None
27339	Trustees for One Barrington Hills **	25	None
27346	Friends of Frank Napolitano	25	1 q
27381	Durkin for Downers Grove **	100	None
27394	Citizens for Jacqueline Herrera Giron	25	None
27402	Friends of Kathleen Lorenz **	225	None
27415	Friends of Will Hinshaw	125	None
28452	Committee to Elect Michelle Hoereth **	525	2 q's
29460	Friends of Michael Murphy	125	None
29466	Friends of Chris Beykirch **	25	None
29488	Friends of Noel Talluto	25	None
29498	Committee to Elect District 113 School Board Slate **	175	None
29517	Friends of JJ Zurek	25	None
29549	Citizens for Daniel J Novak	25	None
29561	Friends for Fred	200	None
29562	Friends for Faber	100	None
29565	Friends of Diane Wolf **	150	2 q's
29588	Citizens for the Future of Winfield	150	None
30613	Committee to Elect Alex Lemna	175	None
30614	Citizens to Elect Terry Morris	250	None
31675	Committee to Elect Kai Swanson	25	None
31696	Future of Liberty-Jake Leahy, Chairman	25	None
31721	Southside Democracy for America (SDFEA)	75	None
31725	Friends of Mas Takiguchi	25	None
31748	Citizens to Elect Kenyatta Nicole Vaughn	175	None
31750	Friends of David Zipp	100	None
31773	Friends to Elect Andrew DeVito	100	None

MA "Multi-assessments" (2 or more Quarterly)

Cmte No	Cmte Name	Amt of Fine	Prev Violations
1087	Cook Co College Teachers Union Cmte of Pol Education	10,000	1 q
24225	Citz to Elect David Sabathane	37,475	None
25186	Citz for Sylvester Baker	24,050	q, A-1
25908	Friends of Marc Loveless **	15,225	q, A-1's
26205	Building Winfield 2015 PAC **	1825	None
26228	Friends of Tracey Bey	900	A-1's
27241	Citz for Jedidiah Brown **	10,750	1 q
27263	Millennial PAC	10,750	1 q
27407	Friends of Lawrence Andolino **	8850	None
29514	Friends of Sonia Khali	1675	None

MA “Multi-assessments” (Quarterly & A-1)			
Cmte No	Cmte Name	Amt of Fine	A-1 Percentage Reduction
26052	Caroline for 43	1700	50%
Schedule A-1 (15 AJ)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
4320	Citizens For Davis	500	10%
12697	Citizens for Thaddeus Jones for 3rd Ward Alderman	3425	50%
14501	Friends of John Pope for Alderman **	1250	100%
19733	Citizens to Re-elect John Pope for Committeeman	5750	100%
19880	Citizens to Elect Willie B Cochran	5124	100%
20799	Citizens for Mariyana Spyropoulos	750	10%
22882	Friends of Rita Mayfield	500	100%
23127	Citz to Elect David Moore	1276	50%
23437	Friends for Dave Pfeifer **	725	10%
25731	Working Families of the 33rd Ward	2585	10%
26204	Citizens for Michael Scott Jr	500	10%
27248	Citizens for Ford	2250	10%
27296	Hazel Crest First Party	3000	10%
28457	For Wauconda **	500	10%
29499	Friends of John “Jack” Lind	550	10%
31630	Friends of Dan Fitzgerald	500	10%
Schedule A-1 (15 AS)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
295	Illinois Home Builders Pol Education Cmte	520	10%
350	Lake County Republican Central Comm	500	10%
584	Williamson County Republican Central Comm	530	10%
1085	Illinois Merchants PAC Team	1439	10%
15442	AT&T Illinois Employee PAC	2114	10%
17935	DeKalb County Farm Bureau Political Committee	3833	10%
25887	47th Ward Regular Democratic Organization	500	100%
26159	Citizens for Andrew Proctor	527	10%
29523	Friends for Monica Laurent **	659	10%
29524	Citizens for Greg Roosevelt **	659	10%
31687	Friends of Randy Yedinak	1000	10%
Schedule A-1 (15 AD)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
25613	Friends of Susanne Glowiak	2500	10%

(** - DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Steven S. Sandvoss, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: February 4, 2016

The following committees have made payment of outstanding civil penalties for the period 1/01/2016 - 2/04/2016

- Citizens for Mary Burress for Treasurer - \$250.00
- Friends of Ed Mullen - \$5000.00
- Friends to Elect Ed Schniers Coroner - \$325.00
- Friends of Rachel Goodstein - \$275.00
- Citizens for Joe Vito - \$387.50
- Carlos for Chicago - \$292.00
- 8th Ward Regular Democratic Organization: \$6536.00
- Latino Political Action Committee of Illinois - \$18.64
- Friends of Bob Bednar - \$200.00 (\$4.70 credit card fee)
- **Jones for State Representative \$5925.00 (Returned Check)**
- Citizens for Kent Gray - \$4550.00
- Friends of Maureen Sullivan - \$350.00 (\$8.23 credit card fee)
- Friends of Ryan Vaughn - \$325.00
- Friends of Mariam Shabo - \$1500.00 (\$35.26 credit card fee)
- Moira Dunn Committee to Elect - \$1025.00
- Balanoff Political Fund - \$184.00 (\$4.32 credit card fee)
- LaSalle County Republican Century Club - \$3737.50
- Citizens to Elect Benjamin Owens - \$5637.50
- Jones for State Representative - \$5925.00 (\$139.24 credit card fee)
- Friends of Bill Catching - \$250.00
- Clean Slate for College of DuPage Committee - \$602.68
- Citizens to Elect Alvin Parks Jr. - \$5000.00 (\$117.50 credit card fee)
- Mercer County Democratic Central Committee - \$200.00
- Crawford County Republican Central Committee - \$100.00

TOTAL : \$42,670.82

Monthly Total:

01/01/16 to 2/4/16:\$42,670.82

Year to Date Total: \$42,670.82

Illinois State Board of Elections

Brent M. Davis | Director of Election Operations | 217.558.1664 | bdavis@elections.il.gov



To: Steve Sandvoss, Executive Director

Re: General Primary Staff Assignments, March 15, 2016

Date: February 4, 2016

SPRINGFIELD OFFICE (5:30 a.m. – Midnight)

STAFF	HOURS WORKING	BREAK TIMES
Amy Evans	5:30 a.m. – 5:30 p.m.	11:30 a.m. – 12:30 p.m.
Scott Mills	5:30 a.m. – 5:30 p.m.	10:30 a.m. – 11:30 a.m.
Mike Heap	5:30 a.m. – 3:30 p.m.	10:00 a.m. – 11:00 a.m.
Amy Kelly	6:00 a.m. – 6:00 p.m.	1:30 p.m. – 2:30 p.m.
Brian Pryor	6:00 a.m. – 6:00 p.m.	12:30 p.m. – 1:30 p.m.
Kyle Thomas	6:00 a.m. – 4:00 p.m.	11:00 a.m. – Noon
John Oldfield	6:00 a.m. – 4:00 p.m.	10:30 a.m. – 11:30 a.m.
Brie Urbanec	6:30 a.m. – 4:30 p.m.	11:30 a.m. – 12:30 p.m.
Sean Begalka	7:00 a.m. – 5:00 p.m.	1:00 p.m. – 2:00 p.m.
Linda Wentz	8:30 a.m. – 6:30 p.m.	2:00 p.m. – 3:00 p.m.
Maggie Jasinski	8:30 a.m. – 6:30 p.m.	1:30 p.m. – 2:30 p.m.
Jamye Sims	9:00 a.m. – 9:00 p.m.	3:30 p.m. – 4:30 p.m.
Brian Zilm	9:00 a.m. – 9:00 p.m.	3:00 p.m. – 4:00 p.m.
Dustin Schultz	9:00 a.m. – 7:00 p.m.	2:30 p.m. – 3:30 p.m.
Clayton Nicholson	9:00 a.m. – 7:00 p.m.	2:00 p.m. – 3:00 p.m.
Heather Kimmons	9:00 a.m. – 9:00 p.m.	2:30 p.m. – 3:30 p.m.
Gary Nerone	11:00 a.m. – 11:00 p.m.	3:30 p.m. – 4:30 p.m.
Cheryl Hobson	11:00 a.m. – 9:00 p.m.	3:30 p.m. – 4:30 p.m.
Michael Montney	Noon – 10:00 p.m.	4:30 p.m. – 5:30 p.m.
Brent Davis	Noon – Midnight	5:00 p.m. – 6:00 p.m.
Bruce Brown	2:00 p.m. – Midnight	5:30 p.m. – 6:30 p.m.

CHICAGO OFFICE (6:00 a.m. - 11:00 p.m.)

STAFF	HOURS WORKING	BREAK TIMES
Jim Tenuto	6:00 a.m. – 5:00 p.m.	Noon – 1:00 p.m.
Rose Rodriguez	6:00 a.m. – 6:00 p.m.	12:30 p.m. – 1:30 p.m.
Bernadette Harrington	7:00 a.m. – 6:00 p.m.	1:30 p.m. – 2:30 p.m.
Darcell McAllister	10:00 a.m. – 10:00 p.m.	3:00 p.m. – 4:00 p.m.
Ken Menzel	Noon – 11:00 p.m.	3:00 p.m. – 4:00 p.m.
Rick Fulle	Noon – 11:00 p.m.	4:00 p.m. – 5:00 p.m.

STATE BOARD OF ELECTIONS



From the desk of... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Steven S. Sandvoss, Executive Director

Re: 2016 General Primary Pre-Election Tabulation Testing

Date: February 4, 2016

The Voting and Registration Systems Division will be conducting tabulation system pre-testing, in preparation for the upcoming General Primary Election. Staff will be conducting these pre-tests in five jurisdictions. The list and tentative scheduling is as follows:

Chicago Board of Elections- February 17th-26th
Greene County- February 23rd
Christian County- February 25th
Montgomery County- February 29th
Jersey County- March 1st

Preparations are already underway. In all cases except Chicago, we hope to take only one day of each jurisdiction's time. The Chicago test is being conducted in conjunction with their own pre-testing which is expected to last ten days.

Election Judge Training

2016 GP

Date	Jurisdiction and Zone	Schools	Presenter(s)	Attendance
1/4/2016	CITY OF AURORA (4)	2	Zilm	62
1/5/2016	CITY OF AURORA (4)	1	Zilm	19
1/19/2016	ST. CLAIR (1)	3	Zilm	193
1/19/2016	McLEAN (3)	2	Sims	47
1/20/2016	McLEAN (3)	1	Sims	17
1/20/2016	ST. CLAIR (1)	3	Zilm	169
1/21/2016	ST. CLAIR (1)	2	Zilm	119
1/28/2016	KENDALL (4)	2	Sims	281
2/2/2016	BOONE (4)	2	Davis	124
2/2/2016	HAMILTON (1)	2	Zilm	77
2/3/2016	BROWN (2)	2	Kelly	43
2/3/2016	HARDIN (1)	1	Zilm	34
2/3/2016	POPE (1)	1	Zilm	24
2/4/2016	SALINE (1)	3	Zilm	0
2/8/2016	WABASH (1)	1	Sims	0
2/9/2016	COLES (3)	3	Kelly	0
2/9/2016	CRAWFORD (1)	2	Sims	0
2/10/2016	RICHLAND (1)	2	Sims	0
2/11/2016	CASS (2)	2	Kelly	0
2/11/2016	LAWRENCE (1)	2	Sims	0
2/11/2016	HENDERSON (2)	2	Zilm	0
2/16/2016	RANDOLPH (1)	2	Sims	0
2/17/2016	WAYNE (1)	2	Sims	0
2/17/2016	CALHOUN (2)	2	Zilm	0
2/18/2016	VERMILION (3)	3	Davis	0
2/18/2016	CITY OF GALESBURG (2)	3	Kelly	0
2/18/2016	FAYETTE (1)	2	Sims	0

Date	Jurisdiction and Zone	Schools	Presenter(s)	Attendance
2/22/2016	FRANKLIN (1)	2	Sims	0
2/22/2016	MARION (1)	1	Davis	0
2/23/2016	MARION (1)	2	Davis	0
2/23/2016	JERSEY (2)	3	Zilm	0
2/23/2016	FRANKLIN (1)	1	Sims	0
2/24/2016	MORGAN (2)	3	Kelly	0
2/24/2016	JACKSON (1)	2	Sims	0
2/24/2016	PUTNAM (4)	2	Zilm	0
2/25/2016	SCOTT (2)	1	Kelly	0
2/25/2016	JACKSON (1)	2	Sims	0
2/25/2016	MORGAN (2)	1	Kelly	0
2/29/2016	MENARD (2)	2	Kelly	0
2/29/2016	CHRISTIAN (3)	2	Sims	0
3/1/2016	EDWARDS (1)	2	Sims	0
3/1/2016	EFFINGHAM (1)	3	Davis	0
3/1/2016	PIATT (3)	2	Zilm	0
3/2/2016	MONTGOMERY (2)	4	Kelly & Zilm	0
3/3/2016	PIKE (2)	2	Kelly	0
3/3/2016	IROQUOIS (3)	3	Davis	0
3/4/2016	CITY OF EAST ST. LOUIS (1)	2	Zilm	0
3/4/2016	IROQUOIS (3)	1	Davis	0
3/7/2016	UNION (1)	2	Sims	0
3/8/2016	WILLIAMSON (1)	3	Sims	0
3/8/2016	GREENE (2)	3	Zilm	0
3/10/2016	ADAMS (2)	2	Zilm	0
3/10/2016	ALEXANDER (1)	2	Davis	0

STATE BOARD OF ELECTIONS



From the desks of... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

Kevin Turner
Director of Information Technology
Phone: 217-782-1579
Email: kturner@elections.il.gov

To: Steven S. Sandvoss, Executive Director

Re: Senate Bill 172 Update

Date: February 4, 2016

The General Counsel of the Illinois Secretary of State (SOS) drafted a data sharing agreement addressing the provision of SOS data to the SBE and in turn, the SBE's provision of the SOS data to the Electronic Registration Information Center (ERIC). The SOS document requires the signatures of the executive directors of both the SBE and ERIC.

The portion of P.A. 98-1171 pertaining to ERIC requires 1) a data sharing agreement between the SOS and the SBE and 2) a data sharing agreement between the SBE and ERIC. Citing this fact, ERIC's legal counsel has stated the executive director of ERIC will not sign the agreement proposed by the SOS.

We are editing SOS's proposed agreement to address the data exchange between SOS and the SBE only and to remove a number of sections that are unreasonable. Should the SOS be unwilling to sign the amended agreement, this will impact the deadline for us to provide data to ERIC by the deadline of February 29th.

As we still have no state budget and no spending authority for HAVA funds, the three SBE IT contractual vendors remain unpaid for any hours billed since July 1, 2015. One of these individuals, Tony Godar (the contractual developer tasked with the restructuring of the IVRS client), has indicated he will likely be leaving for a period of approximately one month to work on a project for another client. His absence will affect our ability to meet many of the requirements set forth in P.A. 98-1171 due by July 1st of this year.

We have scheduled a video conference with technical staff of the Department of Healthcare and Family Services (DHFS) for February 10th. We anticipate finalizing the details of ERIC data transmission from their agency to the SBE.

STATE BOARD OF ELECTIONS



From the desk of.... Steven S. Sandvoss, Executive Director
Phone: 217-557-9939
Email: ssandvoss@elections.il.gov

To: Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
Members of the Board

Re: Information Technology Transformation

Date: February 4, 2016

On January 25th, Governor Rauner issued an executive order that would essentially consolidate the Information Technology (IT) divisions in the Agencies under his jurisdiction into a central agency; the Department of Innovation and Technology (DoIT). The following day, I received an e-mail from Deputy Governor Trey Childress, advising me of same, and, while acknowledging the fact that the State Board of Elections was not specifically included in this IT consolidation proposal, he is nevertheless seeking the cooperation of the SBE to “work closely together” in the creation of DoIT and to include the SBE in the transformation. I am presenting this to the Board to seek their position on this request and to convey the concerns of myself and relevant staff.

As the Board is aware, the SBE is not a “Code Agency” under the jurisdiction of the Governor, but rather, it is an independent State agency created by the Illinois Constitution, of which no political party can have a majority of members of the Board. Furthermore, the Board’s functions and operations are heavily dependent on its Information Technology department. Therefore, in order to effectively fulfill the mandates the legislature has given to the SBE and to maintain non-partisan oversight over the Statewide voter registration database, I believe that the IT department must remain under the control and direction of the SBE. To allow IT to be under the control of another entity, that is ultimately responsible to the Governor (not just the current Governor, but any future Governor), would eviscerate the independent nature of the SBE and compromise its very integrity. It is for this reason that I believe the Board should resist any attempt to relinquish control of its IT department.

This position should not be construed as a rejection of efforts seeking its cooperation in areas not involving consolidation. In fact, there may be benefits to the agency as a result of the creation of DoIT, and if so, I would encourage said cooperation, provided that the above referenced independence of the SBE is maintained through its continued control of the IT department.

**STATE BOARD OF ELECTIONS
INTER-OFFICE MEMORANDUM**

From the desk of:

Jeremy Kirk, Director of Administrative Services/CFO

TO: Steve Sandvoss
SUBJECT: FY14/15 OAG Compliance Examination
DATE: January 29, 2016

The State Board of Elections Compliance Examination for the two years ended June 30, 2015 was officially released by the Office of the Auditor General on January 28th, 2016. The report contained a total of three (3) material findings and seven (7) immaterial findings. Overall, the findings were of a relatively minor nature and corrective action had already begun on several of the findings prior to inclusion in the report. Below is a brief synopsis of the material findings:

Finding 2015-001: Failure to provide required notifications to nominated candidates

Issue: Five candidates weren't provided a D-5 and we couldn't provide documentation for two others.

Reason: The coding of the candidates in the petition filing system was not updated (due to oversight) for state central committee candidates that needed to be supplied with a D-5, due to changes to the Disclosure Act in 2011. Oversight was the cause for the lack of documentation.

Corrective Action: The petition filing system has been updated to correct this omission. Staff will ensure sufficient record keeping for future verification purposes.

Finding 2015-002: Inadequate controls over personal services

Issue: Leave slip approval after leave time was taken, inaccurate payroll deductions, untimely evaluations and no employee signature or date on timesheet.

Reason: SBE disagrees with OAG's interpretation of our Administrative Code regarding leave slips. Code does not require, in all instances, for leave time to be approved in writing, in advance. Other items were due to oversight.

Corrective Action: Although we disagree with the OAG, future Request for Leave forms will be modified to provide a certification that leave was requested and approved in advance or as soon as practicable. Regarding all other items, staff will enhance controls to ensure compliance.

Finding 2015-003: Inadequate controls over Agency Fee Imposition Reporting

Issue: Did not accurately enter the population charged the specified fees.

Reason: Oversight, however, the correct fee amounts were reported.

Corrective Action: Will ensure future reports accurately report the population charged each fee type.

Immaterial Findings:

IM2015-001: Lack of System Development Documentation

IM2015-002: Inadequate controls over Agency Workforce Reports

IM2015-003: Inadequate controls over grant agreements

IM2015-004: Failure to draft a Vehicle Use Policy

IM2015-005: Noncompliance with the Internet Voter's Guide

IM2015-006: Lack of controls over monthly receipt reconciliations

IM2015-007: Economic Interest Statements no submitted timely

**STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPLIANCE EXAMINATION**

For the Two Years Ended June 30, 2015

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

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STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

BOARD OFFICIALS

Executive Director (1/1/15 to present)	Steve Sandvoss
Executive Director (7/1/13 to 12/31/14)	Rupert Borgsmiller
Chief Fiscal Officer (1/1/16 to present)	Jeremy Kirk
Chief Fiscal Officer (5/1/01 to 12/31/15)	Michael Roate
General Counsel (1/1/15 to present)	Ken Menzel
General Counsel (7/1/13 to 12/31/14)	Steve Sandvoss
Director of Administrative Services (1/1/16 to present)	Jeremy Kirk
Director of Administrative Services (10/1/11 to 12/31/15)	Michael Roate

Board offices located at:

2329 South MacArthur Blvd.
Springfield, IL 62704

James R. Thompson Center
100 W. Randolph, Suite 14-100
Chicago, IL 60601

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
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James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
John R. Keith
Andrew K. Carruthers
William M. McGuffage
Casandra B. Watson
Betty J. Coffrin

MANAGEMENT ASSERTION LETTER

January 12th, 2016

Honorable Frank J. Mautino
Auditor General
Illes Park Plaza
740 East Ash Street
Springfield, Illinois 62703-3154

Dear Mr. Mautino:

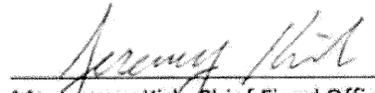
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Illinois State Board of Elections (Board). We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Board's compliance with the following assertions during the two-year period ended June 30, 2015. Based on this evaluation, we assert that during the years ended June 30, 2014 and June 30, 2015, the Board has materially complied with the assertions below.

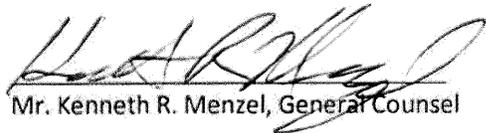
- A. The Board has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Board has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Board has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Board are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Board on behalf of the State or held in trust by the Board have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours truly,

State Board of Elections


Mr. Steve Sandvoss, Executive Director


Mr. Jeremy Kirk, Chief Fiscal Officer


Mr. Kenneth R. Menzel, General Counsel

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT’S REPORT

The Independent Accountant’s Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current</u> <u>Report</u>	<u>Prior</u> <u>Report</u>
Findings	3	5
Repeated findings	1	2
Prior recommendations implemented or not repeated	4	2

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS (STATE COMPLIANCE)			
2015-001	9	Failure to provide required notifications to nominated candidates	Significant Deficiency and Noncompliance
2015-002	11	Inadequate controls over personal services	Significant Deficiency and Noncompliance
2015-003	14	Inadequate controls over Agency Fee Imposition Reporting	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED

- | | | |
|---|----|---|
| A | 15 | Noncompliance with statutory requirements over political committee audits |
| B | 15 | Failure to promulgate rules |
| C | 15 | Inadequate controls over Agency Workforce Reports |
| D | 16 | Inadequate controls over voucher processing |

EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with Board personnel at an exit conference on December 30, 2015. Attending were:

State Board of Elections

Steve Sandvoss, Executive Director
Mike Roate, Chief Fiscal Officer
Jeremy Kirk, Accounting Manager
Kevin Turner, Information Technology Director

Office of the Auditor General

Janis Van Durme, Audit Manager
Kathy Lovejoy, Audit Manager
Stacie Sherman, Audit Supervisor

Responses to the findings and recommendations were provided by Steve Sandvoss in correspondence dated January 12, 2016.

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OFFICE OF THE AUDITOR GENERAL
FRANK J. MAUTINO

INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable Frank J. Mautino
Auditor General
State of Illinois

Compliance

We have examined the State of Illinois, State Board of Elections' (Board) compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2015. The management of the Board is responsible for compliance with these requirements. Our responsibility is to express an opinion on the Board's compliance based on our examination.

- A. The Board has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Board has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Board has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Board are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Board on behalf of the State or held in trust by the Board have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the Board's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the Board's compliance with specified requirements.

In our opinion, the Board complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2015. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 2015-001, 2015-002, and 2015-003.

Internal Control

Management of the Board is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the Board's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the Board's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Board's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and

therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we identified certain deficiencies in internal control over compliance, as described in the accompanying schedule of findings as items 2015-001, 2015-002 and 2015-003, which we consider to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter.

The Board's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the Board's responses and, accordingly, we express no opinion on the responses.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2015 and June 30, 2014 in Schedules 1 through 8 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2015 and June 30, 2014 accompanying supplementary information in Schedules 1 through 8. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2013 accompanying supplementary information in Schedules 3 through 7 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, Board management, and the members of the State Board of Elections and is not intended to be and should not be used by anyone other than these specified parties.


BRUCE L. BULLARD, CPA
Director of Financial and Compliance Audits

Springfield, Illinois

January 12, 2016

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2015

2015-001. **FINDING** (Failure to provide required notifications to nominated candidates)

The State Board of Elections (Board) did not properly notify candidates of their obligations to file statements of organizations, reports of campaign contributions, and annual reports of campaign contributions and expenditures as required by the Election Code (10 ILCS 5/7-12(7)) (Code).

The Code requires the Board to notify the person from whom a petition for nomination has been filed of the obligation to file statements of organization, reports of campaign contributions, and annual reports of campaign contributions and expenditures under Article 9 of the Code. The Board developed and implemented the sending of the Notice of Obligation Form (D-5) to ensure notifications to candidates was performed.

We noted the Board failed to provide 5 of the 40 (13%) candidates tested a copy of the D-5 Form. Further, the Board could not locate and was unable to provide a copy of the D-5 Form for 2 of the 40 (5%) candidates tested. As a result, we were unable to perform test work to verify if the Board notified the two candidates of their obligation to file the required documents.

The State Records Act (5 ILCS 160/8) states the head of each agency shall cause to be made and preserve records containing adequate and proper documentation of the organization, functions, policies, decisions, procedures, and essential transactions of the agency designed to furnish information to protect the legal and financial rights of the State and of persons directly affected by the agency's activities.

Board management stated the issues noted above were due to employee oversight and their system not being updated when the filing laws changed.

Failure to properly notify candidates by not providing them a D-5 Form is noncompliance with the Code, which is critical to ensure all candidates know of their obligation to file the required documents. Further, failing to maintain documentation underlying Board transactions is noncompliance with the State Records Act. (Finding Code No. 2015-001)

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2015

RECOMMENDATION

We recommend the Board properly notify candidates of the obligation to file statements of organization, reports of campaign contributions, and annual reports of campaign contributions and expenditures under Article 9 of the Code. Further, we recommend the Board maintain documentation in compliance with the State Records Act.

BOARD RESPONSE

The Board agrees with the finding. The Board will strengthen internal controls and procedures to ensure compliance with the Election Code (10 ILCS 5/7-12(7)).

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2015

2015-002. **FINDING** (Inadequate controls over personal services)

The State Board of Elections (Board) did not maintain adequate controls over personal services.

During testing we noted:

- Seven of eight (88%) employees tested had leave slips that were completed after the leave occurred; thus, we were unable to determine if leave was approved prior to the leave occurring due to there being no prior approval notation on the leave slips.

The Illinois Administrative Code (Code) (26 Ill. Adm. Code 212.222(c)) requires employees to provide advance notice of absence from work whenever possible. In addition, the Code (26 Ill. Adm. Code 212.278(b) and 212.282) requires employees taking vacation time to obtain approval from the Director and personal time to be scheduled sufficiently in advance. Further, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative control, which shall prevent waste and abuse and to maintain accountability over the State's resources. The State Records Act (5 ILCS 160/8) requires the head of each agency to make and preserve records containing documentation of the organization, functions, policies, decisions, procedures, and essential transactions of the agency.

- Three of eight (38%) employees tested contained deductions on a Board's payroll voucher which did not agree with the approved deductions in the employees' personnel/payroll file.

The State Records Act (5 ILCS 160/8) requires the head of each agency to make and preserve records containing documentation of the agency's essential transactions. Further, good internal controls require the records are accurately prepared and agree with supporting deduction documentation.

- Two of eight (25%) employees tested did not have employee probationary/annual evaluations completed timely. The evaluations were performed 4 to 93 days late.

The Code (26 Ill. Adm. Code 212.230(b)) states initial probationary employees are to be evaluated twice during the six-month probationary period. One evaluation should be done at the end of the third month of the

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2015

employees' probationary period and another after the fifth month of the probationary period. Certified employees are to be evaluated annually. In addition, good business practices require employee evaluations to be performed timely to communicate the employee's strengths and weaknesses in meeting their job responsibilities. Performance evaluations are a systematic and uniform approach used for the development of employees and communication of performance expectations to employees. Performance evaluations should serve as a foundation for salary adjustments, promotion, demotion, discharge, layoff, recall, and reinstatement decisions. The auditors believe 30 days is a reasonable time period to conduct the evaluations.

- One of eight (13%) employees tested submitted a timesheet with no employee signature and date.

The State Officials and Employees Ethics Act (5 ILCS 430/5-5(c)), as well as the Board's Policy Manual, requires State employees to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour. Further, good internal controls require the applicable employee to sign/certify the document being submitted to meet this requirement.

Board management stated the Administrative Code does not require advance notice of employee leave time to be in writing and in the instances noted above, advance approval of leave time was given orally. In addition, Board management stated the other conditions noted were due to oversight.

Failure to maintain adequate control over personal services increases the risk of the Board paying for services not rendered by employees and is noncompliance with the State laws noted above. (Finding Code No. 2015-002, 2013-003)

RECOMMENDATION

We recommend the Board:

- Ensure leave slips are completed and submitted for approval prior to the leave or if prior approval is granted in another manner ensure a notation is included on the leave slip,
- Ensure payroll deductions agree to employee completed deduction forms,
- Ensure employee evaluations are completed timely, and

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2015

- Review employee timesheets to ensure they have been signed and dated by the employee.

BOARD RESPONSE

The Board partially disagrees with the finding. The Board disagrees with the auditors' interpretation of the Board's requirements regarding documentation of request for leave approval, as noted in previous responses to this exception. The Board maintains that Board's Personnel Rules do not require advance notice of employee leave requests and management response to be provided specifically in writing; required approval can be achieved either orally, by email or by telephonic communication (text). However, the Board will make changes to our internal "Time and Attendance Request for Leave" form to require written certification by the applicable Board Division Director that all benefit time taken was requested and communicated in compliance with applicable Board policies and administrative rules. The Board agrees with the remaining aspects of this finding and will strengthen internal controls and procedures to ensure compliance with applicable statutory mandates and/or Administrative Code.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2015

2015-003. **FINDING** (Inadequate controls over Agency Fee Imposition Reporting)

The State Board of Elections (Board) did not submit accurate Agency Fee Imposition Report Forms (Report) to the State Comptroller during the examination period.

During testing, we noted the Board did not report the correct number of charges for 5 of the 6 (83%) fees reported in its FY14 and FY15 Reports.

The State Comptroller Act (Act) (15 ILCS 405/16.2(a)) requires all State agencies that impose and collect fees to prepare the Agency Fee Imposition Report Form. In addition, the Statewide Accounting Management System (SAMS) Manual (Procedure 33.16.20) requires agencies to enter the population charged the fee and the fee rate. Further, good internal controls require the information submitted in the Reports to be accurate.

Board management stated it was unaware of the SAMS Manual procedure requiring agencies to enter the population charged the fee.

Inaccurate reporting of the number of charges on the Reports could lead to inaccurate information on the Statewide Agency Fee Imposition Report submitted to the General Assembly and lessens governmental oversight. (Finding Code No. 2015-003)

RECOMMENDATION

We recommend the Board review its Agency Fee Imposition Reports to ensure all required information is accurately reported.

BOARD RESPONSE

The Board agrees with the finding. Although the Board accurately reported the fee dollar amounts received for each fee category, the Board failed to accurately report the population that was charged the fee. The Board will strengthen internal controls and procedures to ensure compliance with the SAMS Manual (Procedure 33.16.20).

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
PRIOR FINDINGS NOT REPEATED
For the Two Years Ended June 30, 2015

- A. **FINDING** (Noncompliance with statutory requirements over political committee audits)

During the previous examination, the State Board of Elections (Board) did not comply with the Election Code (Code) and the Board's administrative rules regarding required political committee audits. Specifically, the Board did not assess late fees and the Board did not follow its own procedures established to excuse committees from audits. Further, committees that failed to adhere to the requirements to be excused from conducting an audit were not required by the Board to conduct an audit.

During the current examination, we noted the Board revised its administrative rules pertaining to committees who violated the statute. This change requires the Board to make a report to the appropriate State's Attorney for review and assessment of late fees. In addition, our testing results indicated the Board followed its procedures for excusing committees from performing audits and required unexcused committees to have audits performed. (Finding Code No. 2013-001)

- B. **FINDING** (Failure to promulgate rules)

During the previous examination, the Board did not promulgate rules as required by the Election Code (Code). Specifically, the Board did not promulgate rules for electronic unit-by-unit vote total reporting as required by the Code.

During the current examination, we noted the Board adopted new rules in the Illinois Administrative Code (26 Ill. Admin. Code 219) which set forth the electronic format in which election authorities must submit unit-by-unit vote totals to the Board. (Finding Code No. 2013-002, 11-2)

- C. **FINDING** (Inadequate controls over Agency Workforce Reports)

During the previous examination, the Board did not include complete and accurate information on its FY11 and FY12 Agency Workforce Reports (Report) submitted to the Office of the Governor and the Office of the Secretary of State.

During the current examination, we noted the Board improved its control over the completion of the Reports. However, our testing results indicated an immaterial amount of information included in the Reports was not accurate. Therefore, these issues will be reported in the Letter of Immaterial Findings. (Finding Code No. 2013-004)

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STATE BOARD OF ELECTIONS
PRIOR FINDINGS NOT REPEATED
For the Two Years Ended June 30, 2015

D. **FINDING** (Inadequate controls over voucher processing)

During the previous examination, the Board did not exercise adequate controls over voucher processing. Specifically, the Board failed to record required payments for interest.

During the current examination, our sample testing results indicated the Board recorded and paid required interest payments according to the State Prompt Payment Act (30 ILCS 540/3-2). (Finding Code No. 2013-005, 11-1, 09-5)

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
 - Schedule of Appropriations, Expenditures and Lapsed Balances
 - Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
 - Schedule of Changes in State Property
 - Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller
 - Analysis of Significant Variations in Expenditures
 - Analysis of Significant Variations in Receipts
 - Analysis of Significant Lapse Period Spending

- Analysis of Operations (Not Examined):
 - Board Functions and Planning Program (Not Examined)
 - Average Number of Employees (Not Examined)
 - Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2015 and June 30, 2014 accompanying supplementary information in Schedules 1 through 8. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Year Ended June 30, 2015

Fourteen Months Ended August 31, 2015

	Appropriations (Net After Transfers)	Expenditures Through 6/30/15	Lapse Period Expenditures 7/01 - 8/31/15	Total Expenditures	Balances Lapsed
<u>Public Acts 98-0679 & 99-0001</u>					
<u>APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 001</u>					
Operational Expenses	\$ 11,339,000	\$ 8,831,395	\$ 909,656	\$ 9,741,051	\$ 1,597,949
Subtotal - Fund 001	<u>\$ 11,339,000</u>	<u>\$ 8,831,395</u>	<u>\$ 909,656</u>	<u>\$ 9,741,051</u>	<u>\$ 1,597,949</u>
<u>HELP ILLINOIS VOTE FUND - 206</u>					
Distribution to Local Election Authorities	\$ 8,900,000	\$ 4,761,236	\$ -	\$ 4,761,236	\$ 4,138,764
Statewide Voter Registration System	600,000	13,000	3,998	16,998	583,002
Discretionary Grants to Local Election Authorities	1,500,000	392,088	11,728	403,816	1,096,184
Subtotal - Fund 206	<u>\$ 11,000,000</u>	<u>\$ 5,166,324</u>	<u>\$ 15,726</u>	<u>\$ 5,182,050</u>	<u>\$ 5,817,950</u>
<u>PERSONAL PROPERTY TAX REPLACEMENT FUND - 802</u>					
For Reimbursement to Counties	\$ 5,043,000	\$ 4,010,830	\$ -	\$ 4,010,830	\$ 1,032,170
County Clerk Records Chief Elect	799,500	791,967	-	791,967	7,533
Subtotal - Fund 802	<u>\$ 5,842,500</u>	<u>\$ 4,802,797</u>	<u>\$ -</u>	<u>\$ 4,802,797</u>	<u>\$ 1,039,703</u>
<u>GRAND TOTAL - ALL FUNDS</u>	<u>\$ 28,181,500</u>	<u>\$ 18,800,516</u>	<u>\$ 925,382</u>	<u>\$ 19,725,898</u>	<u>\$ 8,455,602</u>

Note 1: Appropriations, expenditures, and lapsed balances were taken directly from the Agency's records and reconciled to State Comptroller records.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Year Ended June 30, 2014

Fourteen Months Ended August 31, 2014

	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
<u>Public Act 98-0064</u>					
APPROPRIATED FUNDS					
GENERAL REVENUE FUND - 001					
The Board					
Contractual Services	\$ 27,200	\$ 14,801	\$ 763	\$ 15,564	\$ 11,636
Travel	19,000	14,931	1,959	16,890	2,110
Equipment	700	129	-	129	571
Total Board	\$ 46,900	\$ 29,861	\$ 2,722	\$ 32,583	\$ 14,317
Administration					
Personal Services	\$ 754,400	\$ 662,821	\$ -	\$ 662,821	\$ 91,579
Employee Retirement					
Contributions Paid by Employer	30,200	26,572	-	26,572	3,628
State Contributions to Social Security	57,800	48,345	-	48,345	9,455
Contractual Services	568,800	515,031	3,348	518,379	50,421
Travel	14,400	7,730	48	7,778	6,622
Commodities	16,400	9,423	-	9,423	6,977
Printing	9,800	6,506	-	6,506	3,294
Equipment	6,000	2,169	3,050	5,219	781
Telecommunication	143,700	114,570	18,849	133,419	10,281
Operation of Automotive Equipment	4,900	1,730	50	1,780	3,120
Total Administration	\$ 1,606,400	\$ 1,394,897	\$ 25,345	\$ 1,420,242	\$ 186,158

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Year Ended June 30, 2014

Fourteen Months Ended August 31, 2014

	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
<u>Public Act 98-0064</u>					
Elections					
Personal Services	\$ 1,702,500	\$ 1,492,381	-	\$ 1,492,381	\$ 210,119
Employee Retirement					
Contributions Paid by Employer	68,100	59,151	-	59,151	8,949
State Contributions to Social Security	130,300	109,307	-	109,307	20,993
Contractual Services (0000)	33,400	5,207	-	5,207	28,193
Purchase of Election Codes	17,500	-	4,499	4,499	13,001
Travel	51,300	18,932	1,329	20,261	31,039
Printing	6,900	6,049	-	6,049	851
Equipment	3,300	1,140	520	1,660	1,640
HAVA Maintenance of Effort	550,000	462,534	51,752	514,286	35,714
Costs related to development and implementation of statewide voter canvassing operations and reporting system projects	65,000	6,685	-	6,685	58,315
Reimbursement and assistance to local election jurisdictions for ongoing support costs and SBE maintenance of local election jurisdiction interfaces for the IVRS Statewide database	3,700,000	3,306,679	12,078	3,318,757	381,243
Total Elections	<u>\$ 6,328,300</u>	<u>\$ 5,468,065</u>	<u>\$ 70,178</u>	<u>\$ 5,538,243</u>	<u>\$ 790,057</u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Year Ended June 30, 2014

Fourteen Months Ended August 31, 2014

	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
Public Act 98-0064					
General Counsel					
Personal Services	\$ 319,600	\$ 318,889	\$ -	\$ 318,889	\$ 711
Employee Retirement					
Contributions Paid by Employer	13,100	12,766	-	12,766	334
State Contributions to Social Security	24,200	23,482	-	23,482	718
Contractual Services	121,700	62,304	18,928	81,232	40,468
Travel	11,500	9,476	1,047	10,523	977
Equipment	500	-	-	-	500
Total General Counsel	\$ 490,600	\$ 426,917	\$ 19,975	\$ 446,892	\$ 43,708
Campaign Financing					
Personal Services	\$ 779,600	\$ 731,177	\$ -	\$ 731,177	\$ 48,423
Employee Retirement					
Contributions Paid by Employer	31,200	29,208	-	29,208	1,992
State Contributions to Social Security	59,700	53,497	-	53,497	6,203
Contractual Services	2,300	760	50	810	1,490
Travel	11,300	2,332	239	2,571	8,729
Printing	20,200	2,272	-	2,272	17,928
Equipment	500	260	-	260	240
Total Campaign Financing	\$ 904,800	\$ 819,506	\$ 289	\$ 819,795	\$ 85,005

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STATE BOARD OF ELECTIONS
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Year Ended June 30, 2014

Fourteen Months Ended August 31, 2014

	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
Public Act 98-0064					
Information Technology					
Personal Services	\$ 727,500	\$ 675,750	-	\$ 675,750	\$ 51,750
Employee Retirement					
Contributions Paid by Employer	29,100	26,019	-	26,019	3,081
State Contributions to Social Security	55,700	50,290	-	50,290	5,410
Contractual Services	342,400	244,585	50,388	294,973	47,427
Travel	10,900	3,497	-	3,497	7,403
Commodities	24,000	14,206	-	14,206	9,794
Printing	700	-	-	-	700
Equipment	131,100	103,139	6,659	109,798	21,302
Total Information Technology	<u>\$ 1,321,400</u>	<u>\$ 1,117,486</u>	<u>\$ 57,047</u>	<u>\$ 1,174,533</u>	<u>\$ 146,867</u>
Subtotal - Fund 001	<u>\$ 10,698,400</u>	<u>\$ 9,256,732</u>	<u>\$ 175,556</u>	<u>\$ 9,432,288</u>	<u>\$ 1,266,112</u>
HELP ILLINOIS VOTE FUND - 206					
Distribution to Local Election Authorities	\$ 9,300,000	\$ 1,883,053	-	\$ 1,883,053	\$ 7,416,947
Statewide Voter Registration System	3,600,000	579,342	4,001	583,343	3,016,657
Discretionary Grants to Local Election Authorities	2,000,300	161,662	35	161,697	1,838,603
Subtotal - Fund 206	<u>\$ 14,900,300</u>	<u>\$ 2,624,057</u>	<u>\$ 4,036</u>	<u>\$ 2,628,093</u>	<u>\$ 12,272,207</u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Year Ended June 30, 2014

Fourteen Months Ended August 31, 2014

Public Act 98-0064 <u>PERSONAL PROPERTY TAX REPLACEMENT</u> <u>FUND - 802</u>	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
For Reimbursement to Counties	\$ 2,300,000	\$ 1,774,785	\$ -	\$ 1,774,785	\$ 525,215
County Clerk Records Chief Elect	806,000	796,205	-	796,205	9,795
Subtotal - Fund 802	<u>\$ 3,106,000</u>	<u>\$ 2,570,990</u>	<u>\$ -</u>	<u>\$ 2,570,990</u>	<u>\$ 535,010</u>
<u>GRAND TOTAL - ALL FUNDS</u>	<u>\$ 28,704,700</u>	<u>\$ 14,451,779</u>	<u>\$ 179,592</u>	<u>\$ 14,631,371</u>	<u>\$ 14,073,329</u>

Note 1: Appropriations, expenditures, and lapsed balances were taken directly from the Agency's records and reconciled to State Comptroller records.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2015, 2014, and 2013

	2015	2014	2013
	P.A. 98-0679 & 99-0001	P.A. 98-0064	P.A. 97-0726 & 98-0017
General Revenue Fund - 001			
Appropriations (Net After Transfers)	\$ 11,339,000	\$ 10,698,400	\$ 10,801,700
Expenditures			
The Board			
Contractual Services	\$ -	\$ 15,564	\$ -
Travel	-	16,890	-
Equipment	-	129	-
Total Board	\$ -	\$ 32,583	\$ -
Administration			
Personal Services	\$ -	\$ 662,821	\$ -
Employee Retirement			
Contributions Paid by Employer	-	26,572	-
State Contributions to Social Security	-	48,345	-
Contractual Services	-	518,379	-
Travel	-	7,778	-
Commodities	-	9,423	-
Printing	-	6,506	-
Equipment	-	5,219	-
Telecommunication	-	133,419	-
Operation of Automotive Equipment	-	1,780	-
Total Administration	\$ -	\$ 1,420,242	\$ -
Elections			
Personal Services	\$ -	\$ 1,492,381	\$ -
Employee Retirement			
Contribution Paid by Employer	-	59,151	-
State Contribution to Social Security	-	109,307	-
Contractual Services (0000)	-	5,207	-
Purchase of Election Codes	-	4,499	-
Travel	-	20,261	-
Printing	-	6,049	-
Equipment	-	1,660	-
HAVA Maintenance of Effort	-	514,286	-
Costs related to development and implementation of statewide voter canvassing operations and reporting system projects	-	6,685	-
Reimbursement and assistance to local election jurisdictions for ongoing support costs and SBE maintenance of local election jurisdiction interfaces for the IVRS Statewide database	-	3,318,757	-
Total Elections	\$ -	\$ 5,538,243	\$ -

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2015, 2014, and 2013

	2015	2014	2013
	P.A. 98-0679 & 99-0001	P.A. 98-0064	P.A. 97-0726 & 98-0017
General Counsel			
Personal Services	\$ -	\$ 318,889	\$ -
Employee Retirement			
Contributions Paid by Employer	-	12,766	-
State Contributions to Social Security	-	23,482	-
Contractual Services	-	81,232	-
Travel	-	10,523	-
Equipment	-	-	-
Total General Counsel	<u>\$ -</u>	<u>\$ 446,892</u>	<u>\$ -</u>
Campaign Financing			
Personal Services	\$ -	\$ 731,177	\$ -
Employee Retirement			
Contributions Paid by Employer	-	29,208	-
State Contributions to Social Security	-	53,497	-
Contractual Services	-	810	-
Travel	-	2,571	-
Printing	-	2,272	-
Equipment	-	260	-
Total Campaign Financing	<u>\$ -</u>	<u>\$ 819,795</u>	<u>\$ -</u>
Information Technology			
Personal Services	\$ -	\$ 675,750	\$ -
Employee Retirement			
Contributions Paid by Employer	-	26,019	-
State Contributions to Social Security	-	50,290	-
Contractual Services	-	294,973	-
Travel	-	3,497	-
Commodities	-	14,206	-
Printing	-	-	-
Equipment	-	109,798	-
Total Information Technology	<u>\$ -</u>	<u>\$ 1,174,533</u>	<u>\$ -</u>
Operating			
Operational Expenses	\$ 9,741,051	\$ -	\$ 5,392,985
Redevelopment and Replacement of IDIS Campaign	-	-	63,374
Reimbursement County Election Day Judge Support	-	-	1,347,100
HAVA Maintenance of Effort Contribution-State	-	-	321,035
Statewide Voter Canvassing Operations and			
Reporting Systems	-	-	4,067
Reimbursement to Local Election Jurisdictions	-	-	1,430,479
Lump Sum Awards for Compensation for Additional			
Duties	-	-	642,841
Total Operating	<u>\$ 9,741,051</u>	<u>\$ -</u>	<u>\$ 9,201,881</u>
Total Expenditures	<u>\$ 9,741,051</u>	<u>\$ 9,432,288</u>	<u>\$ 9,201,881</u>
Lapsed Balances	<u>\$ 1,597,949</u>	<u>\$ 1,266,112</u>	<u>\$ 1,599,819</u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2015, 2014, and 2013

	2015 P.A. 98-0679 & 99-0001	2014 P.A. 98-0064	2013 P.A. 97-0726 & 98-0017
Help Illinois Vote Fund - 206			
Appropriations (Net After Transfers)	\$ 11,000,000	\$ 14,900,300	\$ 20,600,000
Expenditures			
Elections			
Distribution to Local Election Authorities	\$ 4,761,236	\$ 1,883,053	\$ 2,053,664
Statewide Voter Registration System	16,998	583,343	1,736,333
Discretionary Grants to Local Election Authorities	403,816	161,697	628,389
Total Expenditures	<u>\$ 5,182,050</u>	<u>\$ 2,628,093</u>	<u>\$ 4,418,386</u>
Lapsed Balances	<u>\$ 5,817,950</u>	<u>\$ 12,272,207</u>	<u>\$ 16,181,614</u>
Personal Property Tax Replacement Fund - 802			
Appropriations (Net After Transfers)	\$ 5,842,500	\$ 3,106,000	\$ 161,200
Expenditures			
Elections			
For Reimbursement to Counties	\$ 4,010,830	\$ 1,774,785	\$ -
County Clerk Records Chief Elect	791,967	796,205	158,689
Total Expenditures	<u>\$ 4,802,797</u>	<u>\$ 2,570,990</u>	<u>\$ 158,689</u>
Lapsed Balances	<u>\$ 1,039,703</u>	<u>\$ 535,010</u>	<u>\$ 2,511</u>
Grand Total - ALL FUNDS			
Appropriations (Net After Transfers)	\$ 28,181,500	\$ 28,704,700	\$ 31,562,900
Total Expenditures	19,725,898	14,631,371	13,778,956
Lapsed Balances	<u>\$ 8,455,602</u>	<u>\$ 14,073,329</u>	<u>\$ 17,783,944</u>
STATE OFFICERS' SALARY			
State Officer Appropriations	\$ 332,100	\$ 332,100	\$ 332,100
State Officer Expenditures	317,039	331,871	331,871
Lapsed Balance	<u>\$ 15,061</u>	<u>\$ 229</u>	<u>\$ 229</u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF CHANGES IN STATE PROPERTY
For the Two Years Ended June 30, 2015

	Total	Buildings and Building Improvements	Equipment
Balance at July 1, 2013	\$ 2,621,546	\$ 230,070	\$ 2,391,476
Additions	347,264	-	347,264
Deletions	(223,931)	-	(223,931)
Net Transfers	<u>(117,743)</u>	<u>-</u>	<u>(117,743)</u>
Balance at June 30, 2014	<u>\$ 2,627,136</u>	<u>\$ 230,070</u>	<u>\$ 2,397,066</u>
Balance at July 1, 2014	\$ 2,627,136	\$ 230,070	\$ 2,397,066
Additions	178,716	-	178,716
Deletions	(133,695)	-	(133,695)
Net Transfers	<u>(85,903)</u>	<u>-</u>	<u>(85,903)</u>
Balance at June 30, 2015	<u>\$ 2,586,254</u>	<u>\$ 230,070</u>	<u>\$ 2,356,184</u>

Note: The above schedule has been derived from Board records which have been reconciled to property reports submitted to the Office of the Comptroller.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
**COMPARATIVE SCHEDULE OF CASH RECEIPTS AND RECONCILIATION OF
CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**
For the Fiscal Years Ended June 30, 2015, 2014 and 2013

	<u>2015</u>	<u>2014</u>	<u>2013</u>
General Revenue Fund - 001			
Sale of Voter Information Tapes	\$ 20,720	\$ 21,345	\$ 2,890
Sale of Petition Copies	540	8,090	48,624
Penalties Imposed by the Board	346,726	351,951	264,443
Indirect Cost Reimbursements	581,435	-	1,060,188
Miscellaneous	1,930	183	45
System Testing	750	100	1,250
Total cash receipts per Board	<u>952,101</u>	<u>381,669</u>	<u>1,377,440</u>
Less: In transit at End of Year	10,703	25,782	21,732
Plus: In transit at Beginning of Year	25,782	21,732	18,199
Total cash receipts per State Comptroller's Records	<u>\$ 967,180</u>	<u>\$ 377,619</u>	<u>\$ 1,373,907</u>
Help Illinois Vote Fund - 206			
Help America Vote Act	\$ 374,691	\$ 56,017	\$ 328,826
Interest	23,139	38,582	48,935
Penalties Imposed by the Board	29	142,423	35
Miscellaneous	41	15,000	-
Indirect Cost Reimbursements	-	581,435	-
Total cash receipts per Board	<u>397,900</u>	<u>833,457</u>	<u>377,796</u>
Less: In transit at End of Year (Interest)	23,139	38,582	48,935
Plus: In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's Records	<u>\$ 374,761</u>	<u>\$ 794,875</u>	<u>\$ 328,861</u>
GRAND TOTAL - ALL FUNDS			
Total cash receipts per Board	\$ 1,350,001	\$ 1,215,126	\$ 1,755,236
Less - In transit at End of Year	33,842	64,364	70,667
Plus - In transit at Beginning of Year	25,782	21,732	18,199
Total cash receipts per State Comptroller's Records - All Funds	<u>\$ 1,341,941</u>	<u>\$ 1,172,494</u>	<u>\$ 1,702,768</u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2015

The following is a summary of explanations for significant variations in expenditures. Variations between fiscal years were considered significant if greater than \$20,000 and 20%.

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2015 AND 2014

General Revenue Fund (001)

The difference was due to changes in the format by which the General Assembly provided the Board's annual General Revenue Fund (GRF) appropriations in FY14 and FY15. In FY14, the General Assembly broke out the operational expenses into The Board, Administration, Elections, General Counsel, Campaign Financing, and Information Technology. In FY15, the lump sum format allocated all of the funds to the Operational Expenses line item.

Help Illinois Vote Fund (206)

ELECTIONS

Distribution to Local Election Authorities

The increase was due primarily to an increase in the number of Phase III grants given from the Help America Vote Act (HAVA) Requirements funds in FY15.

Statewide Voter Registration System

The decrease was due to several modifications/maintenance upgrades to the Illinois Voter Registration System (IVRS) in FY14, which required additional contractual service spending. In addition, FY14 had one year worth of Indirect Cost Reimbursement payments to the GRF as opposed to none in FY15.

Discretionary Grants to Local Election Authorities

The increase was due primarily to an increase in the number of Voting Access for Individuals with Disabilities (VAID) V grants given for the HAVA Election Assistance for Individuals with Disabilities (EAID) funds in FY15.

Personal Property Tax Replacement Fund (802)

ELECTIONS

For Reimbursements to Counties

The increase was due to three elections being held in FY15 compared to only one election in FY14. This caused an increase in the amount of election judge reimbursements to the counties in FY15.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2015

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2014 AND 2013

General Revenue Fund (001)

The difference was due to changes in the format by which the General Assembly provided the Board's annual General Revenue Fund (GRF) appropriations in FY13 and FY14. In FY13, the General Assembly broke out operational expenses into Operational Expenses, Redevelopment and Replacement of IDIS Campaign, Reimbursement County Election Day Judge Support, Help America Vote Act (HAVA) Maintenance of Effort Contribution - State, Statewide Voter Canvassing Operations and Reporting Systems, Reimbursement to Local Election Jurisdictions, and Lump Sum Awards for Compensation for Additional Duties. In FY14, the General Assembly broke out the operational expenses into The Board, Administration, Elections, General Counsel, Campaign Financing, and Information Technology.

Help Illinois Vote Fund (206)

ELECTIONS

Statewide Voter Registration System

The decrease was due to several more modifications/maintenance upgrades to the Illinois Voter Registration System (IVRS) in FY13 than FY14, which required additional contractual service spending. In addition, FY13 had two years of Indirect Cost Reimbursement payments to the GRF as opposed only one year worth of Indirect Cost Reimbursements in FY14.

Discretionary Grants to Local Election Authorities

The decrease was due primarily to FY13 having two years worth of Indirect Cost Reimbursement payments to the GRF as opposed to only one year worth of Indirect Cost Reimbursements in FY14.

Personal Property Tax Replacement Fund (802)

ELECTIONS

For Reimbursement to Counties

The increase was due to election judge reimbursements being paid solely out of the 802 fund in FY14, instead of the 001 fund as in FY13.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2015

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2014 AND 2013 (Continued)

County Clerk Records Chief Elect

The increase was due to County Clerk/Recorder stipend payments being paid solely out of the 802 fund in FY14, instead of being split between the 001 and 802 funds as in FY13.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Fiscal Years Ended June 30, 2015

The following is a summary of explanations for significant variations in receipts. Variations between fiscal years were considered significant if greater than \$10,000 and 20%.

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEAR 2015 AND FISCAL YEAR 2014

General Revenue Fund (001)

Indirect Cost Reimbursements

The increase was due to the FY13 Indirect Cost Reimbursements from the Help America Vote Act (HAVA) being erroneously deposited into the Help Illinois Vote Fund in June of FY14. The error was corrected and the funds were deposited into the General Revenue Fund in FY15.

Help Illinois Vote Fund (206)

Help America Vote Act

The increase was due to an increase in Voting Assistance to Individuals with Disabilities (VAID) V grant spending and subsequent VAID reimbursements in FY15.

Interest

The decrease was due to the total fund balance of HAVA decreasing significantly in FY15, resulting in less principle on which to earn interest.

Penalties Imposed by the Board

The decrease was due to fewer penalties imposed during FY15.

Miscellaneous

The decrease was due to the Board receiving funds for the sale of equipment by an election authority only in FY14. The election authority had previously been issued funds from HAVA for the purchase of voting equipment. The equipment became obsolete and was sold in FY14. As required, the election authority returned the proceeds from the sale to the Board.

Indirect Cost Reimbursements

The decrease was due to the FY13 Indirect Cost Reimbursements from HAVA being erroneously deposited into the Help Illinois Vote Fund in June of FY14. The error was corrected and the funds were deposited into the General Revenue Fund in FY15.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Fiscal Years Ended June 30, 2015

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEAR 2014 AND FISCAL YEAR 2013

General Revenue Fund (001)

Sale of Voter Information Tapes

The increase was due to a general increase in voter tapes requested during FY14.

Sale of Petition Copies

The decrease was due to the Board providing paperless petition copies in FY14, resulting in the reduction in the amount of receipts received.

Penalties Imposed by the Board

The increase was due to more penalties imposed during FY14.

Indirect Cost Reimbursements

The decrease was due to the FY12 and FY11 Indirect Cost Reimbursements from HAVA being processed in FY13. In addition, the FY13 Indirect Cost Reimbursement from HAVA was erroneously deposited into the Help Illinois Vote Fund in June of FY14.

Help Illinois Vote Fund (206)

Help America Vote Act

The decrease was due to FY14 VAID grant receiving fewer funds. The FY13 VAID III grant spending and reimbursement were significantly higher.

Interest

The decrease was due to the total fund balance of HAVA decreasing significantly in FY14 resulting in less principle on which to earn interest.

Penalties Imposed by the Board

The increase was due to more penalties imposed during FY14.

Miscellaneous

The increase was due to the Board receiving the funds for the sale of equipment by an election authority in FY14. The election authority had previously been issued funds from HAVA for the purchase of voting equipment. The equipment became obsolete and was sold in FY14. As required, the election authority returned the proceeds from the sale to the Board.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Fiscal Years Ended June 30, 2015

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL
YEAR 2014 AND FISCAL YEAR 2013 (Continued)**

Help Illinois Vote Fund (206) (Continued)

Indirect Cost Reimbursement

The increase was due to the FY13 Indirect Cost Reimbursement from HAVA being erroneously deposited into the Help Illinois Vote Fund in June of FY14. The error was corrected and the funds were deposited into the General Revenue Fund in FY15.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2015

The following is a summary of explanations for significant lapse period spending. Lapse period spending was considered significant if 20% or greater of total expenditures for the fiscal year occurred during the lapse period.

FISCAL YEAR 2015

Help Illinois Vote Fund (206)

ELECTIONS

Statewide Voter Registration System

The State Board of Elections (Board) made the final quarterly payment to the American Association of Motor Vehicle Administrators during the FY15 lapse period, which could not be vouchered until after June 30th.

FISCAL YEAR 2014

General Revenue Fund (001)

ADMINISTRATION

Equipment

The Board purchased two chairs and a desk during June 2014 and the invoices were not received or processed until the lapse period.

ELECTIONS

Purchase of Election Codes

The Board did not receive the 2014 Election Code books until June 2014 and the invoice was not received or processed until the lapse period.

Equipment

The Board purchased two chairs during June 2014 and the invoices were not received or processed until the lapse period.

GENERAL COUNSEL

Contractual Services

The Board received and processed four vouchers, totaling \$14,235, during the lapse period for four different contractual hearing officers. The services were provided prior to June 30.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
BOARD FUNCTIONS AND PLANNING PROGRAM
(NOT EXAMINED)

For the Two Years Ended June 30, 2015

Board Functions

In 1973, the 78th General Assembly established the State Board of Elections (Board), which implemented Article III, Section 5 of the Illinois Constitution. The Board is responsible for general supervision over the administration of the registration and election laws throughout the State, which are contained in 10 ILCS 5/et seq. The Board serves as the central authority for all election laws, information and procedures in Illinois.

The Board consists of 8 members. The Board members as of June 30, 2015 were:

Chair	Jesse Smart
Vice Chair	Charles Scholz
Member	Harold Byers
Member	Betty Coffrin
Member	Ernest Gowen
Member	William McGuffage
Member	Vacant
Member	Cassandra Watson

Elections are administered locally by that county or city's responsible election authorities. The Board works closely with these election authorities to assure that elections are conducted in accordance with Illinois law. In addition, the Board is in the process of simplifying election procedures and bringing uniformity to the election process.

The Board also supervises the administration of the Disclosure of Campaign Contributions and Expenditures Act, which requires the disclosure of certain campaign contributions and expenditures. As required by law, candidates and committees complete various reports and forms, which contain financial information and submit them to the Board for audit and review. These disclosure statements are available for public inspection. If suspected violations of the Campaign Finance Act occur, the Board is authorized to hold hearings, levy fines and convey evidence of wrongdoing to local prosecutors.

The Board also maintains a research library, which includes abstracts of primary and general elections, precinct maps and poll lists. The library is to be open to the public during regular business hours.

Two divisions of the Board are responsible for numerous election-related publications. The Elections Division publishes the Board's election calendar, composes uniform forms approved by the Board, and responds to public and election authority inquiries concerning election law

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
BOARD FUNCTIONS AND PLANNING PROGRAM
(NOT EXAMINED)

For the Two Years Ended June 30, 2015

provisions. The Campaign Disclosure Division publications include instruction for candidates and pamphlets explaining the filing requirements of the Illinois Campaign Financing Act.

With the passage of the Help America Vote Act of 2002 (HAVA) in October of 2002, the Board is responsible for ensuring the provisions of HAVA are implemented in a proper and timely fashion. Illinois legislation was passed and signed by the Governor to implement provisions under the Help America Vote Act of 2002 on August 21, 2003.

Public Act 93-0574 established the Help Illinois Vote Act Fund so that Illinois could receive federal funds; establish new criteria in the Election Code for provisional voting; provide for the definition of a vote for punch card systems, optical scan systems and the Populex system; and authorize the use of direct recording electronic voting systems in Illinois.

Planning Program

The Board has adopted a two-year planning program, which lists all of the functions and activities to be performed by the Board during the period. The majority of the goals and objectives established by the Board are concerned with implementing the provisions of the Illinois Election Code and HAVA. The remaining goals and objectives consist of programs and activities that will enhance the efficiency of the Board, such as employee training seminars and public awareness programs.

For implementation of HAVA, a State Plan was developed through a committee of appropriate individuals (State Planning Committee), including the chief election officials of the two most populous jurisdictions, other election officials, stakeholders (such as representatives of groups of individuals with disabilities) and other citizens as well as the Chief Election Official (Executive Director of the State Board of Elections).

The State Plan outlines how the State will distribute and monitor the monies received and how the State is meeting or will meet the requirements of HAVA. The Chief Election Official is responsible for updating the State Plan every year by October 25th of that year. In addition, the Chief Election Official will conduct meetings with the HAVA State Planning Committee and its task forces as necessary to discuss the progress and objectives of the State Plan.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
AVERAGE NUMBER OF EMPLOYEES
(NOT EXAMINED)

For the Two Years Ended June 30, 2015

The following table, prepared from Board records, presents the average number of employees, by function, for the Fiscal Years ended June 30,

Division	2015	2014	2013
Administrative Services	17	17	17
Campaign Financing	15	16	16
Elections Operations	26	25	24
Information Technology	9	8	10
General Counsel	4	4	4
Total average full-time employees	<u>71</u>	<u>70</u>	<u>71</u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SERVICE EFFORTS AND ACCOMPLISHMENTS
(NOT EXAMINED)

For the Two Years Ended June 30, 2015

The State Board of Elections (Board) consists of several functional areas/divisions that perform the operational functions of the agency. One of the primary divisions that assists the Board in carrying out its mandated operations is the Election Division.

Elections Division:

The Election Division is primarily responsible for the administration of the candidate petition filing process, administration of objections filed against a candidate's nominating petitions, and certification of ballots. Following are output indicators relating to the Elections Division:

<u>Output Indicators</u>	Fiscal Year		
	<u>2015</u>	<u>2014</u>	<u>2013</u>
• Number of election publications requested in reporting period	267	779	823
• Number of election judge schools requested by local election jurisdictions	200	106	175
• Number of county voting systems available for pre-test in reporting period	19	5	12
• Number of election jurisdictions submitting voter registration database files	110	110	110
• Number of nominating petitions filed in reporting period	28	636	141
• Number of petition objections filed in reporting period	1	151	34
• Number of petition copy requests received in reporting period	3	1,432	14

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SERVICE EFFORTS AND ACCOMPLISHMENTS
(NOT EXAMINED)
For the Two Years Ended June 30, 2015

Campaign Disclosure Division:

The Campaign Disclosure Division oversees the submission of required campaign disclosure reports by applicable campaign committees and related groups, performs required disclosure reports, and administers corrective action to those entities found in noncompliance. In addition, this division reviews and approves raffle applications from political committees and other related entities for fund-raising programs. Following are output indicators related to the Campaign Disclosure Division:

<u>Output Indicators</u>	<u>2015</u>	Fiscal Year <u>2014</u>	<u>2013</u>
• Number of candidates for public office that qualify as political committees	2,175	2,301	2,322
• Number of organizations that qualify as political action committees	1,021	995	1,036
• Number of organizations that qualify as party organizations	385	378	400
• Number of organizations that qualify as referendum organizations	58	68	56
• Number of raffle applications submitted for approval by political committees	940	1,075	521
• Number of outside complaints filed with the State Board of Elections	23	19	65
• Number of raffle applications approved	909	1,063	1,000
• Number of financial disclosure reports reviewed by operations staff	17,440	15,116	18,280
• Number of report amendments filed pursuant to the operational review process	1,463	960	2,300

Start Date	End Date	Division	Activity
2 /1 /2016		CAMP DISC	Date upon which the State Board of Election shall notify political committees that have failed to file the 2015 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.
2 /1 /2016		ELEC OP	Begin preparation of materials and PowerPoint presentation for LEO and Township workshops for the 2017 Consolidated Elections. Submit to legal: 5/31/16 Target completion date: 7/15/2016 LEO and townships workshops should start in August 2016. 10 ILCS 5/1A-8 (1-13)
2 /1 /2016		ELEC OP	Begin preparing the TOWNSHIP CAUCUS GUIDE for the 2017 Consolidated Elections. Submit to legal: 5/31/16 Target Completion date: 7/15/16 Township workshops should start in August 2016. 10 ILCS 5/1A-8 (1,2,3,7,11)
2 /1 /2016		ELEC OP	Begin preparing the LOCAL ELECTION OFFICIALS HANDBOOK for the 2017 Consolidated Elections. Submit to legal: 5/31/16 Target completion date: 7/15/16 LEO and township workshops should start in August 2016. 10 ILCS 5/1A-8 (1,2,3,7,11)
2 /1 /2016		LEG	Remind the Driver and Motor Vehicle Services of the registration cut off of 27 days before an election.
2 /4 /2016		ELEC OP	First day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15
2 /5 /2016		VRS	Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board the serial numbers for the early voting systems it will use in the General Primary Election.
2 /5 /2016		VRS	Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the General Primary Election. (Rules and Regulations)
2 /8 /2016		VRS	The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the December 15, 2013 submission.
2 /14/2016		CAMP DISC	First day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general primary election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(e)
2 /14/2016		CAMP DISC	First day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).
2 /15/2016		ELEC OP	Complete draft of Board's field and office plan for Election Day.

Start Date	End Date	Division	Activity
2 /15/2016		VRS	Notify election authorities of their need to give public test notification and re-tabulation notification for General Primary.
2 /16/2016		CAMP DISC	The date that a second letter goes out to all committees that have failed to file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file the 2015 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425
2 /16/2016		CAMP DISC	Begin preparation of packet materials for 2016 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed March 15,2016
2 /16/2016		ELEOP	Last day for voter registration or transfer of registration prior to March 15th General Primary Election. 10 ILCS 5/4,5,6
2 /17/2016		ELEOP	First day for grace period registration, change of address and voting begins. 10 ILCS 5/4-50, 5-50, 6-100
2 /17/2016		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7
2 /17/2016		LEG	Governor's budget message. 15 ILCS 20/50-5 (3rd Wednesday)
2 /18/2016		VRS	First day for election authority to submit computerized voter registration file for the Primary Election 2016. 10 ILCS 5/4-8,5-7,6-36
2 /19/2016		VRS	Begin testing of the automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9
2 /22/2016		VRS	Notify election authorities to forward a copy of their computer operator's log or analogous information following the proclamation of election results. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)
2 /25/2016		ELEOP	Have petitions available for new political party and independent candidates. (Candidates may begin to circulate petitions March 25, 2016). 10 ILCS 5/1A-8 (4)
2 /25/2016		VRS	Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in the election. 10 ILCS 5/24B-15, 24C-15
2 /28/2016		VRS	Last day for election authorities to submit computerized voter registration file, for the Primary Election 2016. 10 ILCS 5/4-8,5-7,6-36

Start Date	End Date	Division	Activity
2 /29/2016		VRS	Last day for election authorities to submit request for extension to file computerized voter registration information, for the Primary Election 2016. Rules and Regulations
2 /29/2016		VRS	Complete profile of pre-election Referenda. 10 ILCS 5/1A-8 (1, 2, 12)
3 /1 /2016		ELECOM	Last day to have pollwatcher credential available for distribution
3 /4 /2016		VRS	Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15
3 /4 /2016		VRS	Date those jurisdictions utilizing in-precinct counting equipment must submit list of those precincts that will be open for the election. 10 ILCS 5/24B-15, 24C-15
3 /4 /2016		VRS	Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdiction's 5% re-tabulation of early voting equipment. 10 ILCS 5/24A-15, 5/24B-15, 5/24C-15
3 /8 /2016		VRS	Send verification letter to election authorities to confirm the precinct data they originally submitted for their 5% re-tabulation. 10 ILCS 5/24B-15,24C-15
3 /8 /2016		VRS	Last day for election authority to notify public of time, date, and place of public test for General Primary. 10 ILCS 5/24B-9
3 /11/2016		VRS	Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9
3 /14/2016		CAMP DISC	Last day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general primary election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c).
3 /14/2016		CAMP DISC	Last day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).
3 /14/2016		ELECOM	Last day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15

Start Date	End Date	Division	Activity
3 /14/2016		VRS	Last day for election authorities to send a sealed copy of each tested program for the upcoming election to the State Board of Elections. The SBE secures the programs until the next General Primary Election. 10 ILCS 5/24B-9, 24C-9
3 /15/2016		CAMP DISC	The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2016 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).
3 /15/2016		ELEC OP	GENERAL PRIMARY ELECTION.
3 /15/2016		ELEC OP	Last day of grace period registration, change of address and voting. 10 ILCS 5/4-50, 5-50, 6-100
3 /15/2016		ELEC OP	Begin compiling PARTY OFFICIALS INFORMATION by county. Upon completion make data available to general public on disc and post completed listing on our website. Complete by: 6/15/2016 10 ILCS 5/1A-8 (1,2,6,11)
3 /16/2016		VRS	Provide the election authorities in those jurisdictions where in-precinct counting equipment is utilized a list of the precincts selected on a random basis by the State Board of Elections. 10 ILCS 5/24B-15, 24C-15
3 /17/2016		VRS	Destroy the sealed program or programs from the previous election of the same type. 10 ILCS 5/24B-9
3 /21/2016		ELEC OP	Send notice to current county party chairmen reminding them of their legal responsibility to provide the SBE with a list of their PRECINCT OFFICIALS. Their statutory deadline: April 23, 2016 (within 10 days after their county convention) 10 ILCS 5/7-9
3 /21/2016		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7, 22-7
3 /22/2016		VRS	Begin review of computer operator's logs for the performance of automatic tabulating equipment used in the General Primary Election. (Rules and Regulations)
3 /25/2016		LEG	Committee Deadline Final day for SENATE committee action on SENATE bills. (Senate Rule) Final day for HOUSE committee action on HOUSE bills. (House Rule)
3 /29/2016		ELEC OP	Begin canvass as soon as abstracts are received from county clerks. (Complete by: April 5, 2016 - depends on how soon abstracts are received from county clerks.) 10 ILCS 5/1A-8 (1, 2, 6, 11)

Start Date	End Date	Division	Activity
3 /29/2016		LEG	Last day for local election authorities to count vote by mail, military & overseas ballots which were postmarked by Election Day, March 15, 2016. 10 ILCS 5/19-8(c), 20-8(c)
3 /29/2016		VRS	Send notice to election authorities who failed to submit a computerized voter registration file, for the Primary Election 2016. Rules and Regulations
3 /29/2016		VRS	Send to each election authority a current computerized voter registration error report, from the Primary Election 2016 submission.
4 /1 /2016		CAMP DISC	First day that any political committee shall file its 2016 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.
4 /1 /2016		ELECOM	Begin development of OPTICAL SCAN MANUAL OF INSTRUCTIONS FOR ELECTION JUDGES 2017. Submit to legal: 7/31/16 Target Completion date: 10/1/16 Early start date to avoid potential conflicts with other agency projects
4 /1 /2016		VRS	Last day for election authority to notify public of time, date, and place of re-tabulation for General Primary. 10 ILCS 5/24B-15
4 /4 /2016		VRS	Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)
4 /5 /2016		ELECOM	Secure from each election authority a copy of precinct voting abstracts for the General Primary Election for filing in the SBE Research Library. 10 ILCS 5/1A-8 (11), 22-15
4 /5 /2016		ELECOM	Last day for canvassing of election results (March 15th Primary Election) by proper canvassing boards. (Exception: SBE as canvassing board) 10 ILCS 5/18A-15(a)
4 /5 /2016		LEG	Link report due from LEO's to SBE 10 ILCS 5/19-20, 20-20
4 /14/2016		VRS	The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the Primary Election 2016 submission.
4 /15/2016		CAMP DISC	Last day that a political committee shall file its 2016 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.

- 6. Follow up.**

- 7. Comments from the general public.**

- 8. Next Board Meeting scheduled for Monday, March 21, 2016 at 10:30 a.m. in Springfield.**